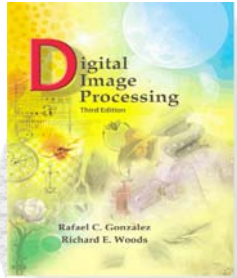
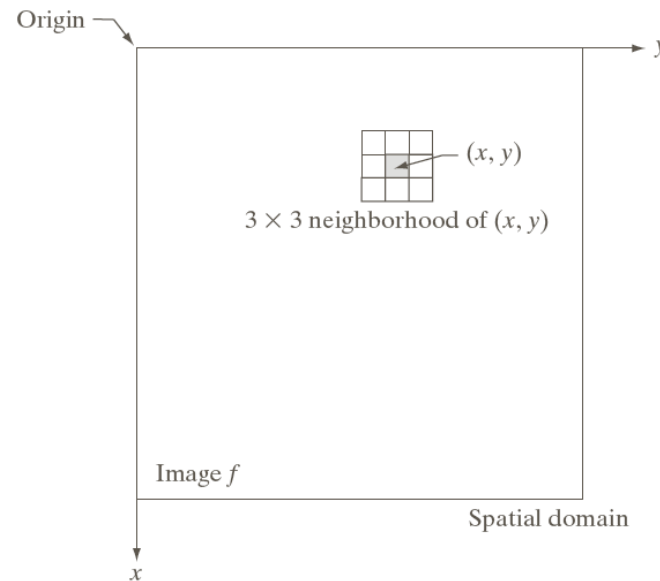


## Lecture #6

- Neighborhood (spatial) transformations
- Convolution - moving window, shift-multiply-add
  - Correlation
  - Padding
  - Impulses
- Filters
  - Blurring
  - Median
  - Derivative — gradient, Laplacian, Sobel
- Image Sharpening, Unsharp masking

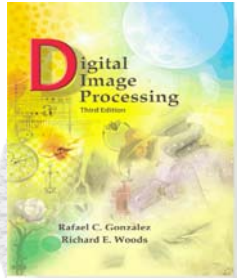


# Spatial Neighborhoods



**FIGURE 3.1**

A  $3 \times 3$  neighborhood about a point  $(x, y)$  in an image in the spatial domain. The neighborhood is moved from pixel to pixel in the image to generate an output image.



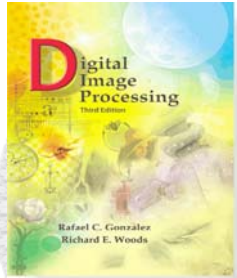
## Spatial Filtering

Let  $I$  and  $J$  be images such that  $J = T[I]$ .

$T[\cdot]$  represents a transformation, such that,

$$J(r, c) = T[I](r, c) = f\left(\left\{I(u, v) \mid u \in \{r-s, \dots, r, \dots, r+s\}, v \in \{c-d, \dots, c, \dots, c+d\}\right\}\right)$$

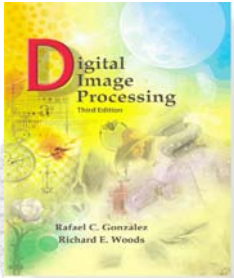
That is, the value of the transformed image,  $J$ , at pixel location  $(r, c)$  is a function of the values of the original image,  $I$ , in a  $2s+1 \times 2d+1$  rectangular neighborhood centered on pixel location  $(r, c)$ .



## Moving Windows

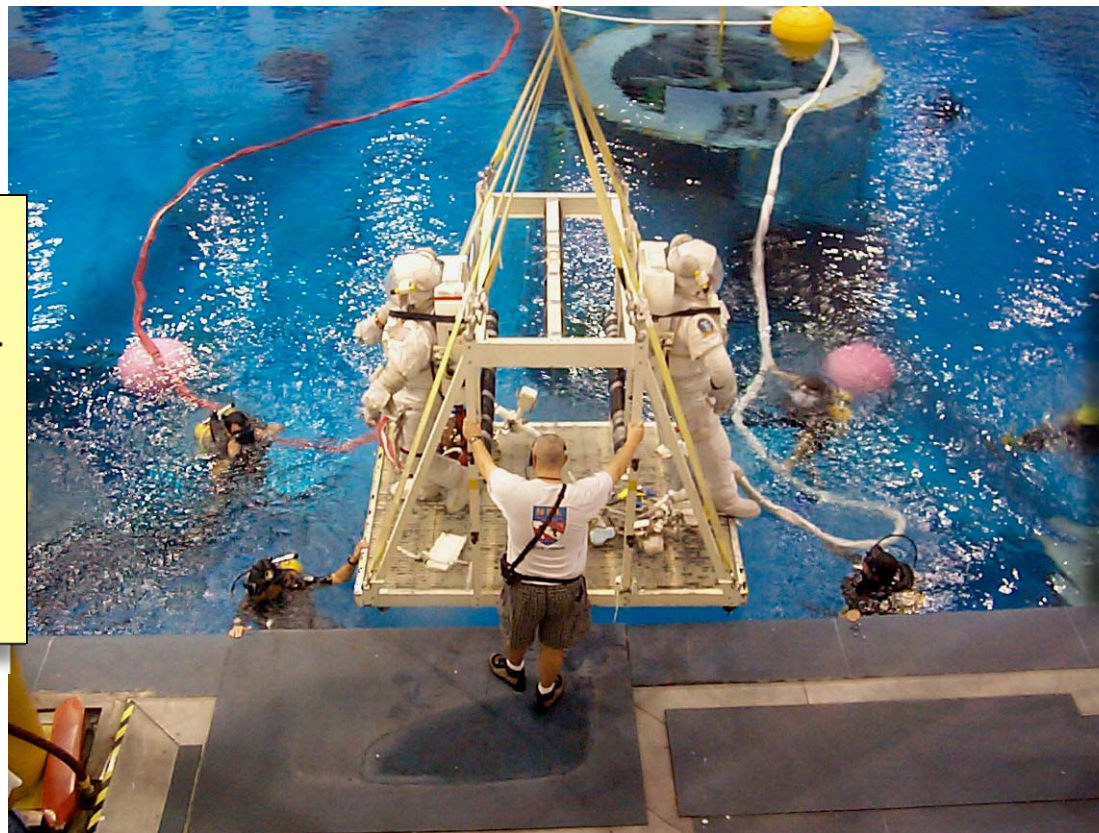
- The value,  $J(r,c) = T[I](r,c)$ , is a function of a rectangular neighborhood centered on pixel location  $(r,c)$  in  $I$ .
- There is a different neighborhood for each pixel location, but if the dimensions of the neighborhood are the same for each location, then transform  $T$  is sometimes called a *moving window transform*.





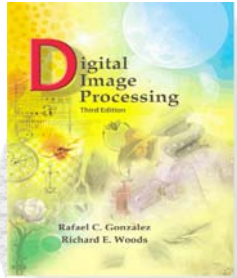
## Moving-Window Transformations

Neutral  
Buoyancy  
Facility at  
NASA  
Johnson  
Space  
Center

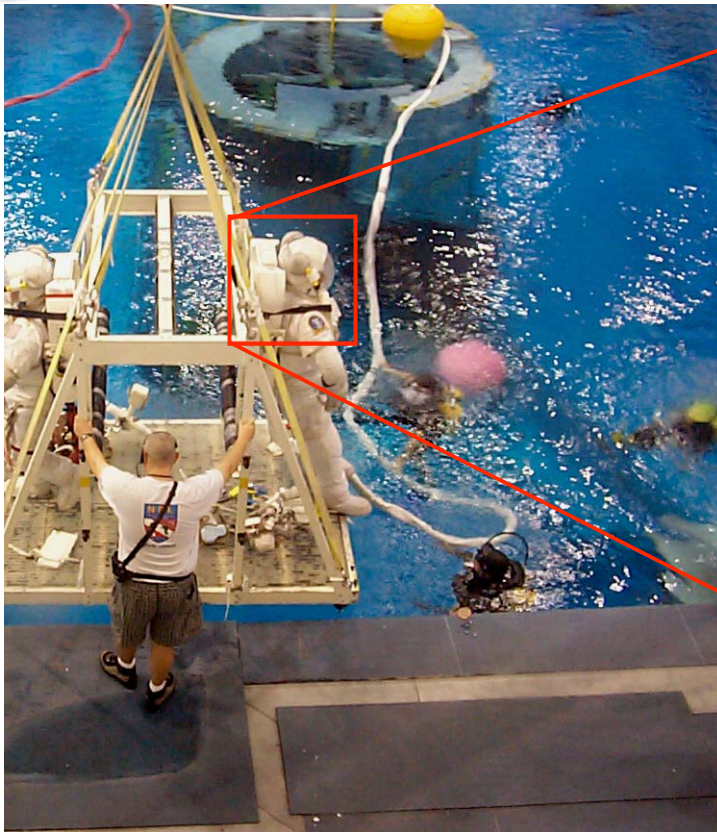


We'll take a  
section of  
this image to  
demonstrate  
the MWT

photo: R.A.Peters II, 1999

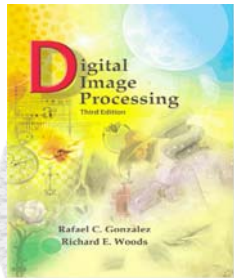


## Moving-Window Transformations



operate on this region

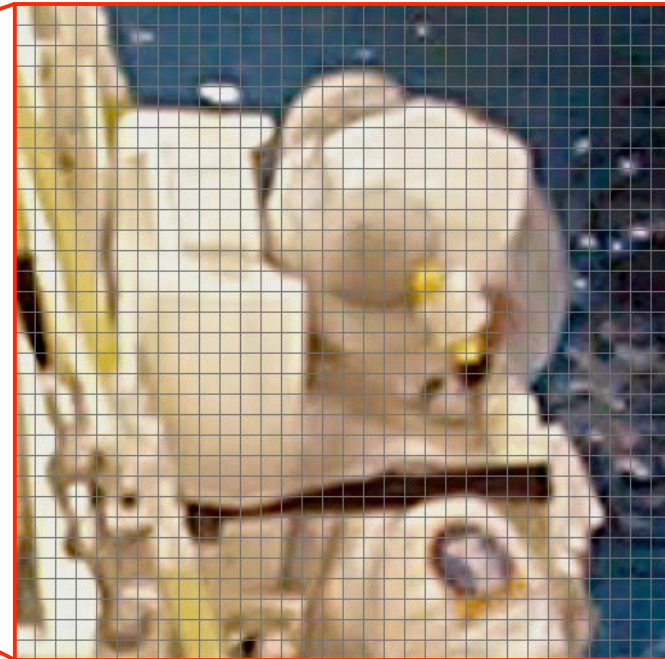
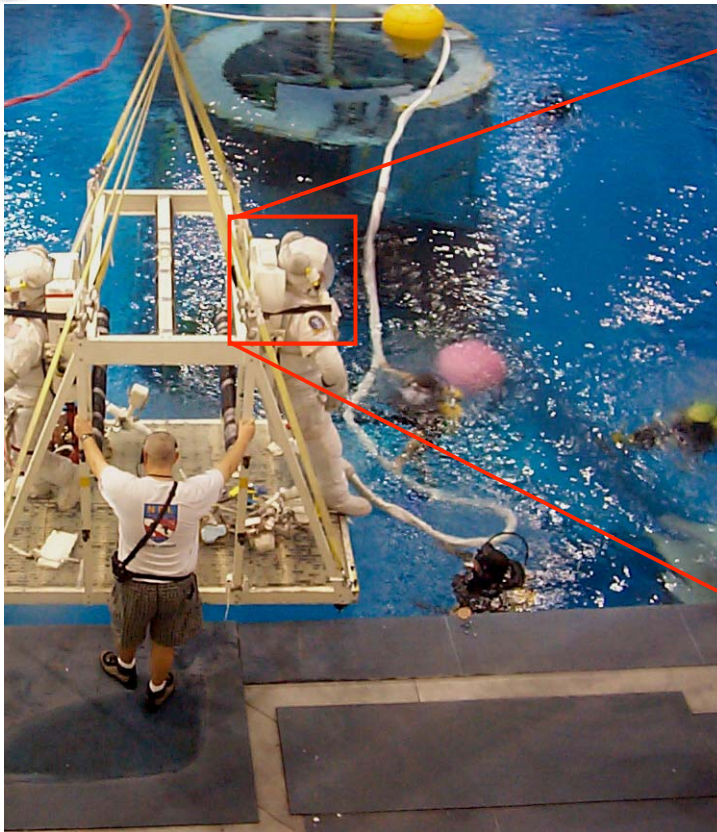




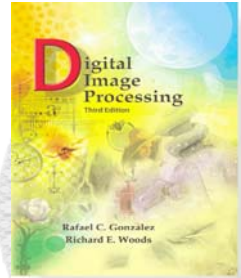
Pixelize the section to  
better see the effects.

EECS4100 Digital Image Processing

## Moving-Window Transformations

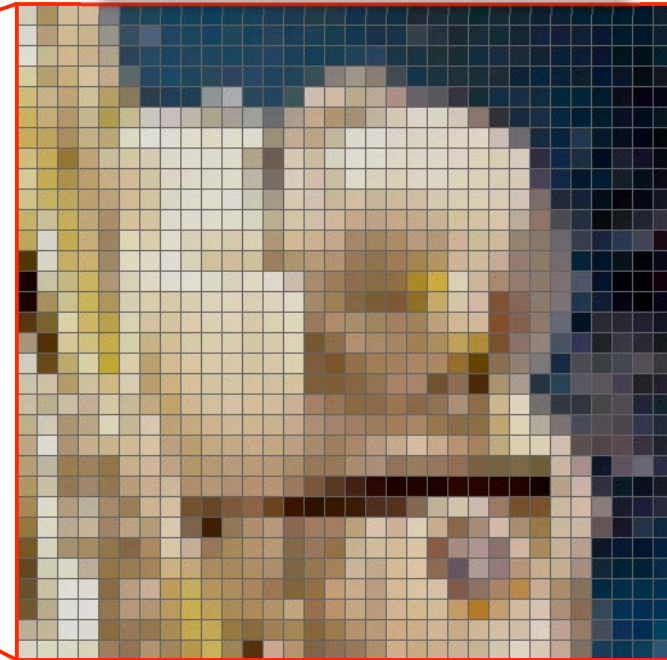
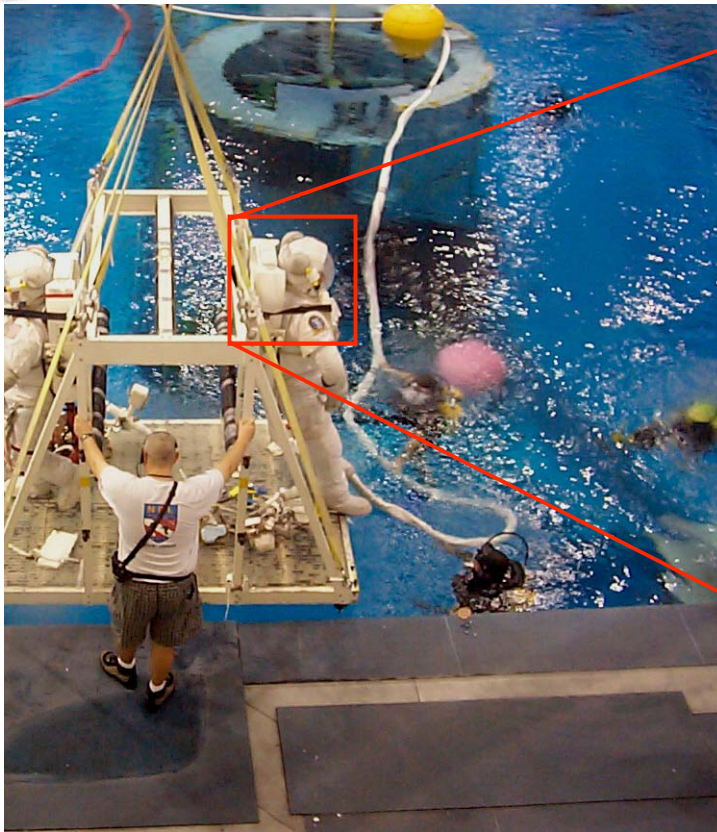


apply a pixel grid



## Moving-Window Transformations

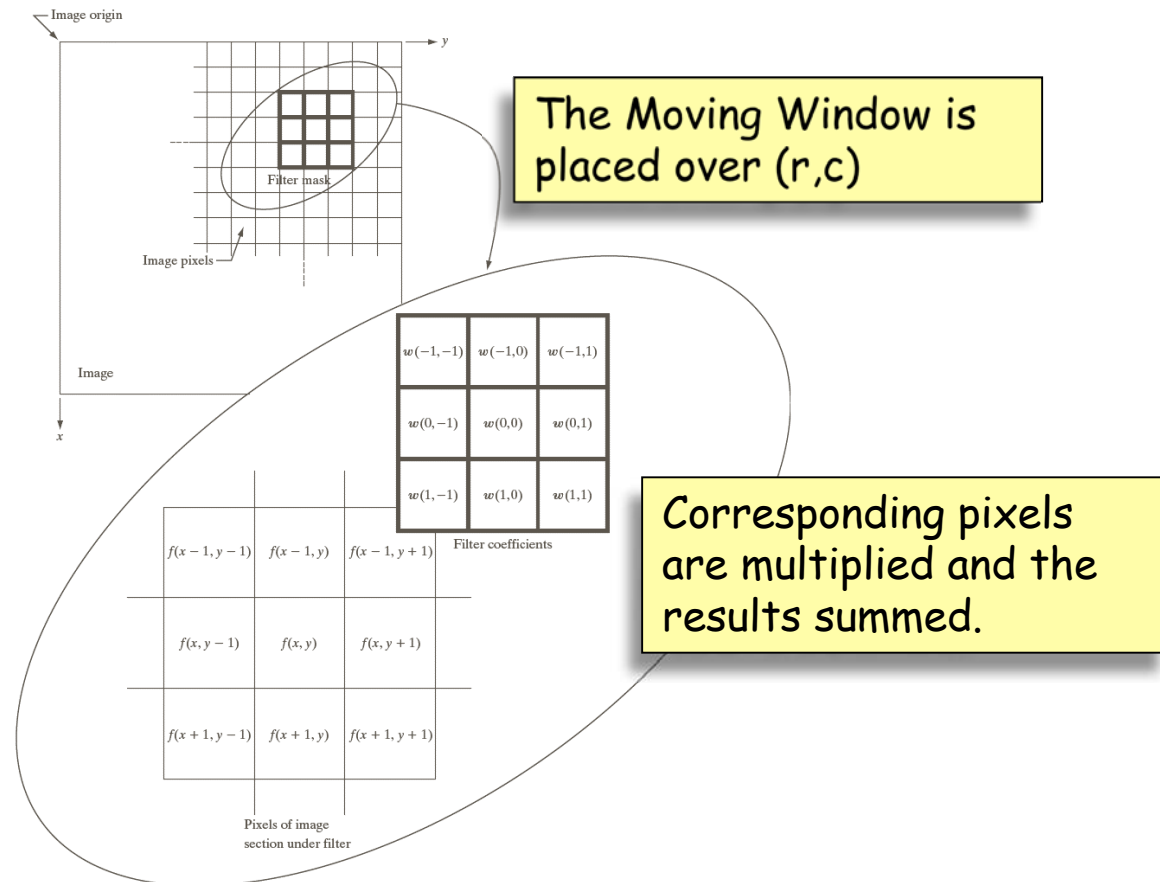
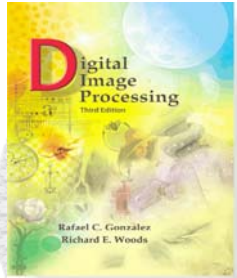
Pixelize the section to better see the effects.



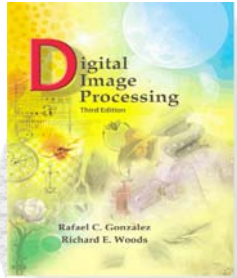
sample (average  
in the squares).



# Spatial Filtering



**FIGURE 3.28** The mechanics of linear spatial filtering using a  $3 \times 3$  filter mask. The form chosen to denote the coordinates of the filter mask coefficients simplifies writing expressions for linear filtering.



## EECS490: Digital Image Processing

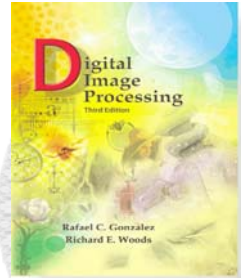
$$\frac{1}{9} \times \begin{array}{|c|c|c|} \hline 1 & 1 & 1 \\ \hline 1 & 1 & 1 \\ \hline 1 & 1 & 1 \\ \hline \end{array}$$

$$\frac{1}{16} \times \begin{array}{|c|c|c|} \hline 1 & 2 & 1 \\ \hline 2 & 4 & 2 \\ \hline 1 & 2 & 1 \\ \hline \end{array}$$

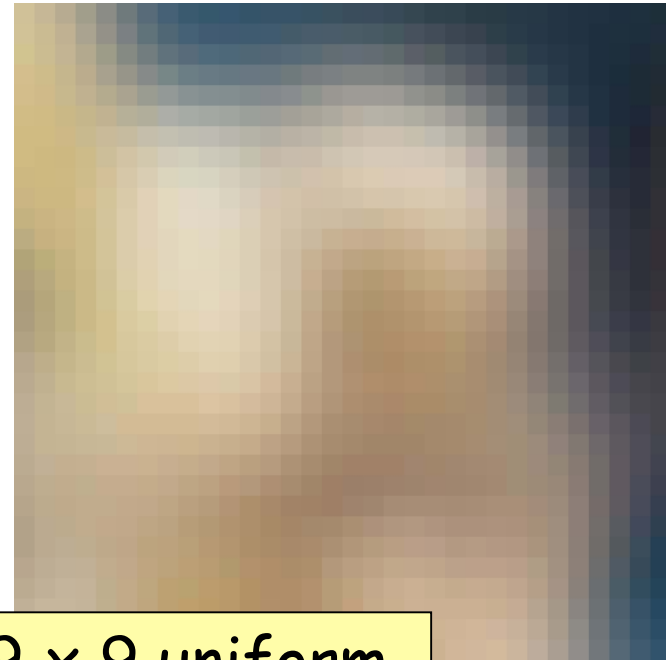
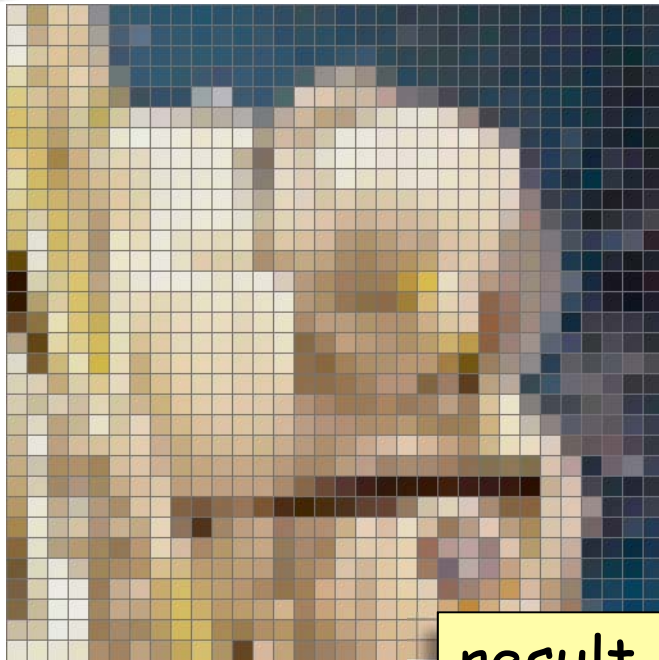
a b

**FIGURE 3.32** Two  $3 \times 3$  smoothing (averaging) filter masks. The constant multiplier in front of each mask is equal to 1 divided by the sum of the values of its coefficients, as is required to compute an average.

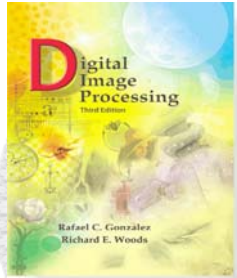
A normalization constant is necessary to prevent shifting image values out of range.



## Moving-Window Transformations



result of a  $9 \times 9$  uniform averaging window



# Convolution: Mathematical Representation

If a MW transformation is *linear* then it is a *convolution*:

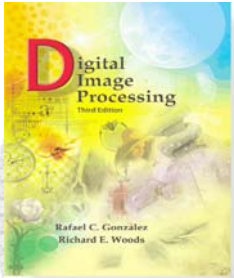
$$J(r,c) = [I * h](r,c) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(r-\rho, c-\kappa) h(\rho, \kappa) d\rho d\kappa,$$

for an ideal, Euclidean image, or for a digital image:

$$J(r,c) = [I * h](r,c) = \sum_{\rho=-\infty}^{\infty} \sum_{\kappa=-\infty}^{\infty} I(r-\rho, c-\kappa) h(\rho, \kappa)$$

kernel

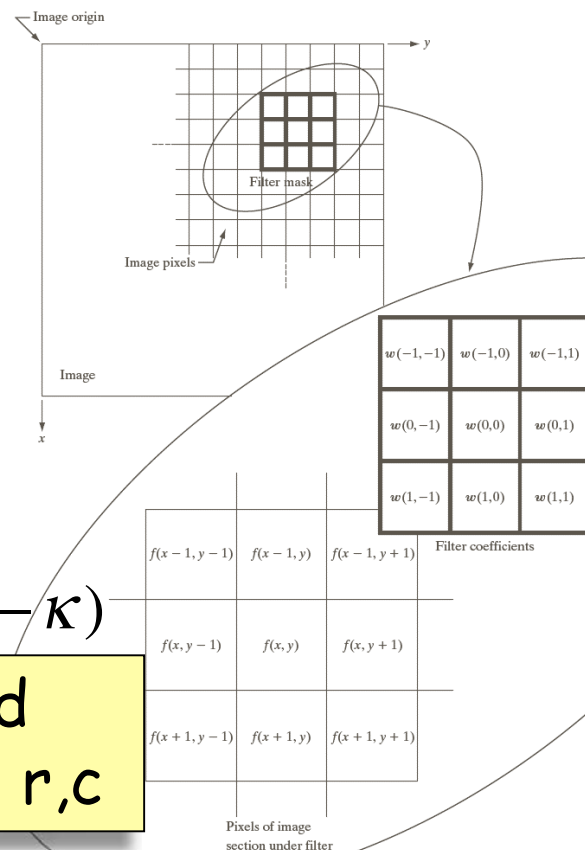
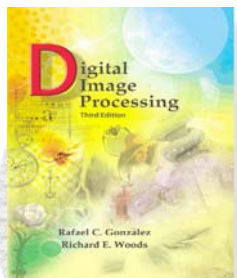




## Convolution Mask (Weight Matrix)

- The object,  $h(p, \kappa)$ , in the equation is a weighting function, or in the discrete case, a rectangular matrix of numbers.
- The matrix is the moving window.
- Pixel  $(r, c)$  in the output image is the weighted sum of pixels from the original image in the neighborhood of  $(r, c)$  traced by the matrix.
- Each pixel in the neighborhood of  $(r, c)$  is multiplied by the corresponding matrix value — after the matrix is rotated by  $180^\circ$ .
- The sum of those products is the value of pixel  $(r, c)$  in the output image

# Spatial Filtering

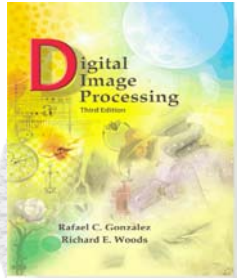


The mask is the kernel  $h(\rho, \kappa)$

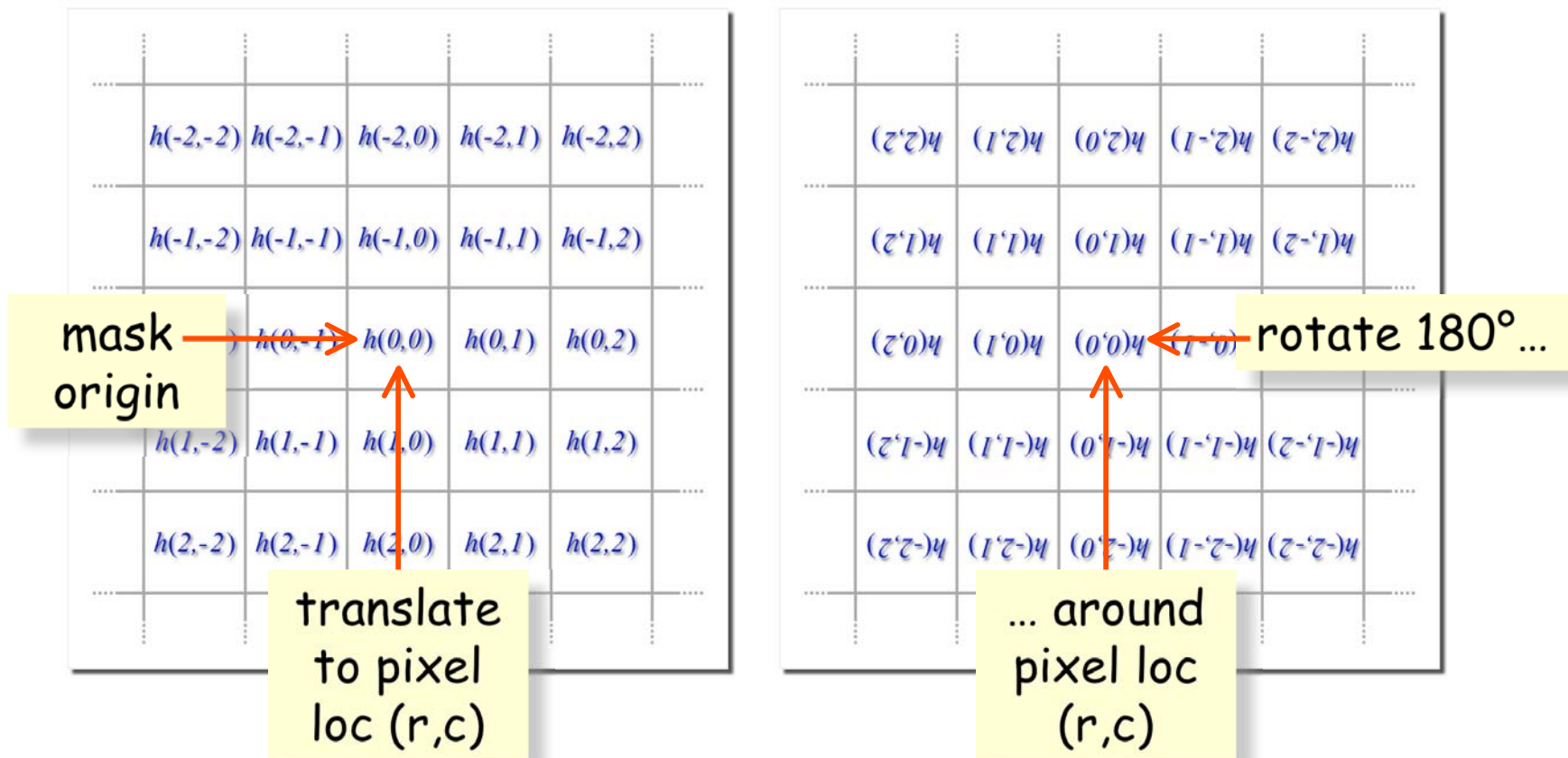
$$I(r - \rho, c - \kappa)$$

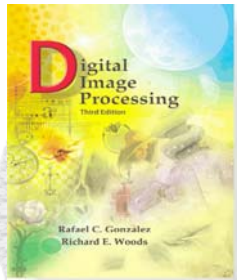
The neighborhood  $I(r - \rho, c - \kappa)$  around  $r, c$

**FIGURE 3.28** The mechanics of linear spatial filtering using a  $3 \times 3$  filter mask. The form chosen to denote the coordinates of the filter mask coefficients simplifies writing expressions for linear filtering.

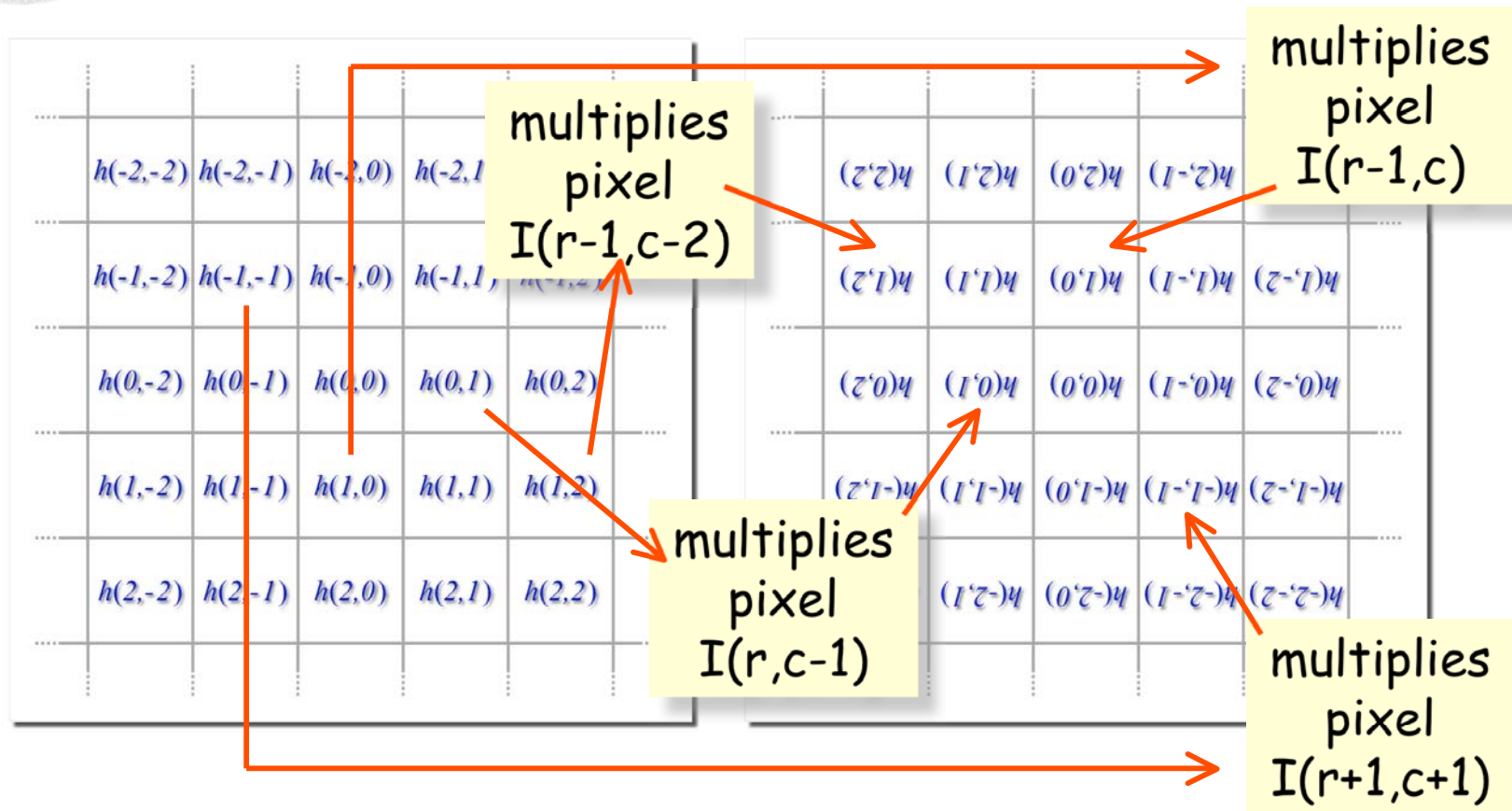


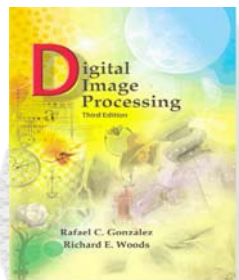
# Convolution Masks: Moving Window





# Convolution Masks: Moving Window

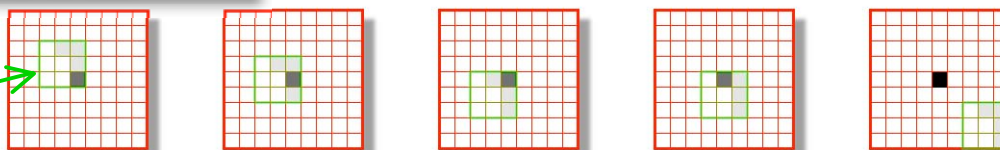




## Convolution by Rotating and Shifting the Weight Matrix

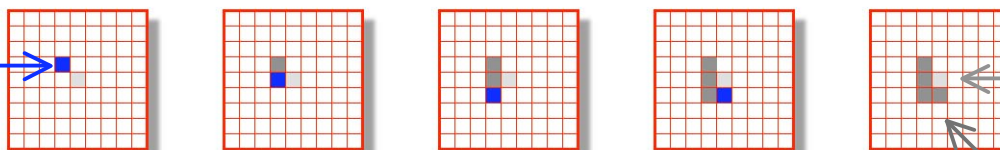
Flip mask and multiply, putting result at center of mask

Shifted weight matrix



At the locations not shown, the results were zeros.

Result of sum of products



Location of impulse

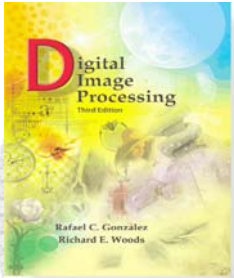
Accumulated nonzero results

The original image has a black impulse at the center and zeros (white) elsewhere.

The weight matrix has a gray 'L' at its left and zeros (white) elsewhere.

The resulting image has a copy of the weight matrix pegged to the impulse location.





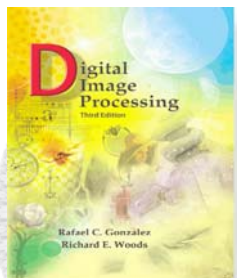
## Correlation: Mathematical Representation

A *correlation* looks almost identical to a *convolution*:

$$J(r, c) = [I \star h](r, c) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(r + \rho, c + \kappa) h(\rho, \kappa) d\rho d\kappa,$$

for an ideal, Euclidean image, or for a digital image:

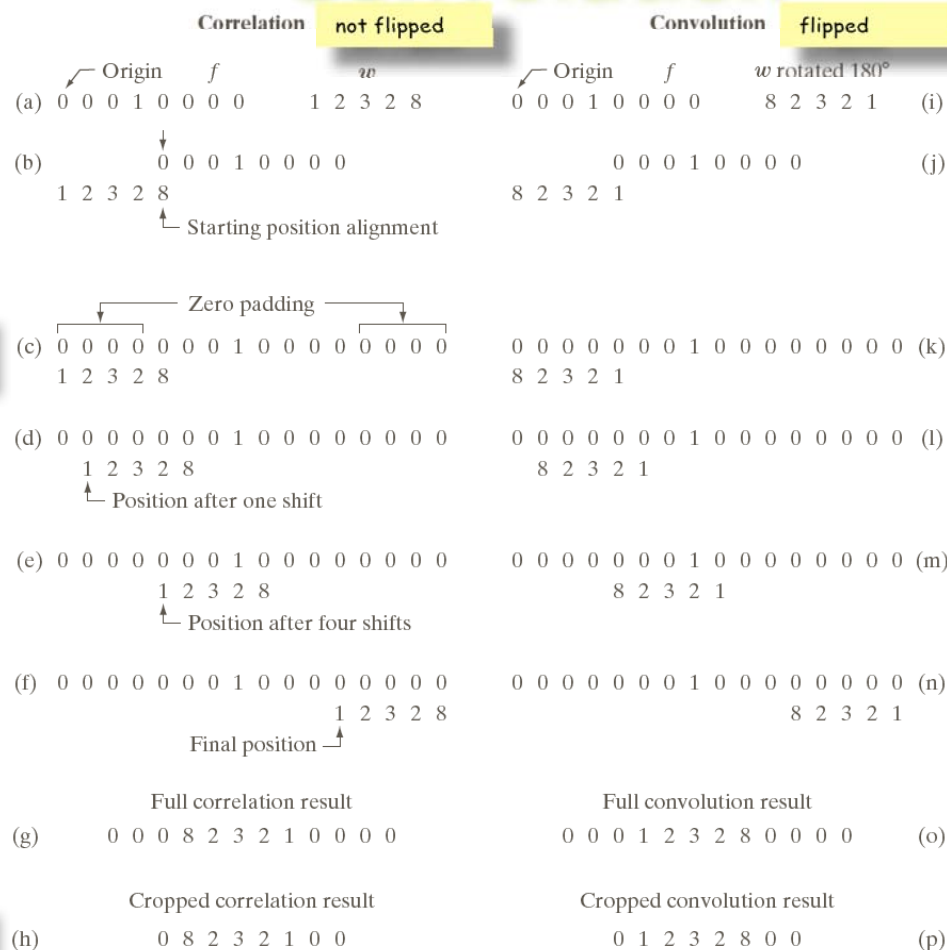
$$J(r, c) = [I \star h](r, c) = \sum_{\rho=-\infty}^{\infty} \sum_{\kappa=-\infty}^{\infty} I(r + \rho, c + \kappa) h(\rho, \kappa)$$



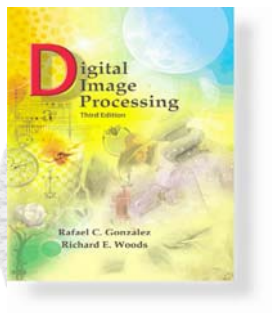
# 1-D Comparison of Correlation & Convolution

Pad image with zeros so mask is multiplying something.

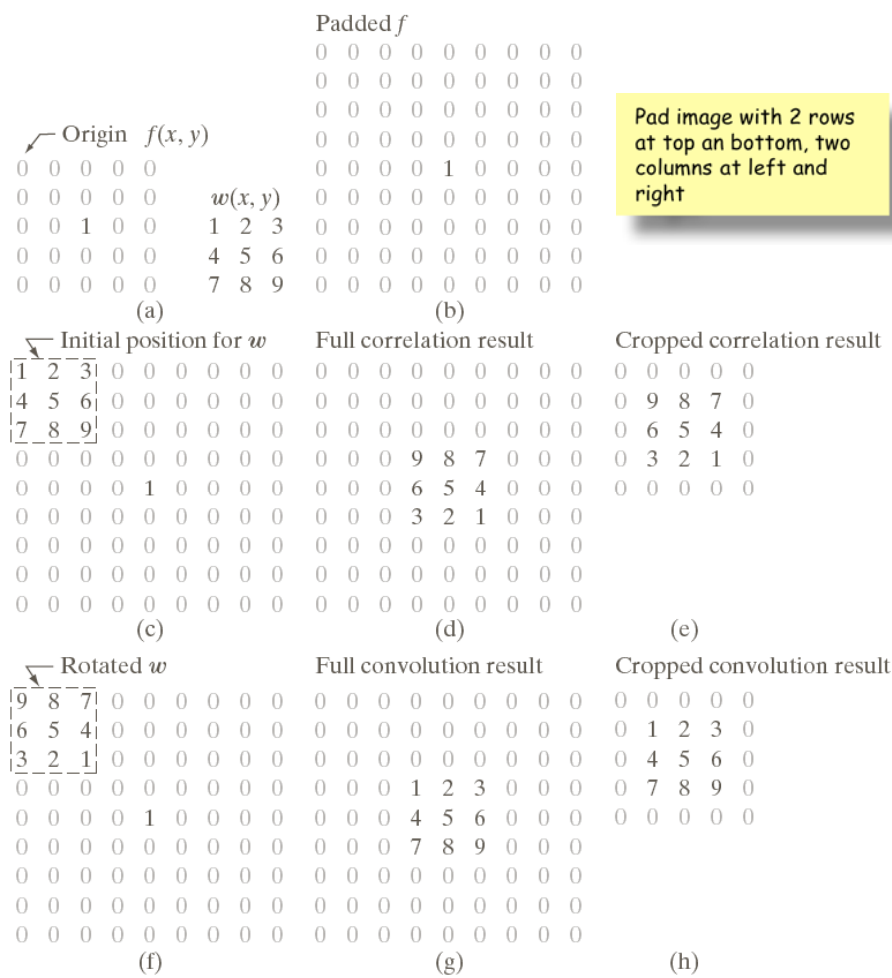
Crop to remove padding.



**FIGURE 3.29** Illustration of 1-D correlation and convolution of a filter with a discrete unit impulse. Note that correlation and convolution are functions of *displacement*.

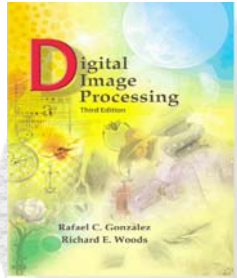


# 2-D Comparison of Correlation & Convolution



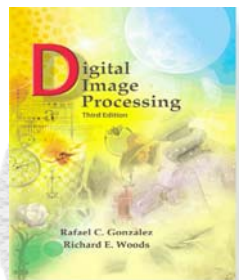
**FIGURE 3.30**  
Correlation (middle row) and convolution (last row) of a 2-D filter with a 2-D discrete, unit impulse. The 0s are shown in gray to simplify visual analysis.





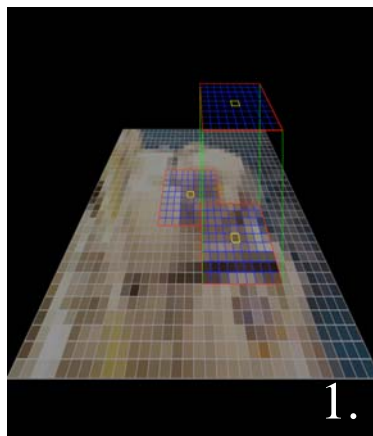
# Convolution and Correlation

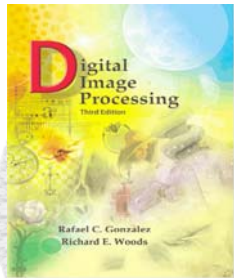
- Convolution is associated with filtering
  - The masks look like impulse responses
- Correlation is associated with pattern recognition
  - The masks look like objects to be found



## Three ways to compute a convolution

1. Moving window transform as just described.
2. Shift multiply add.
3. Fourier transform.

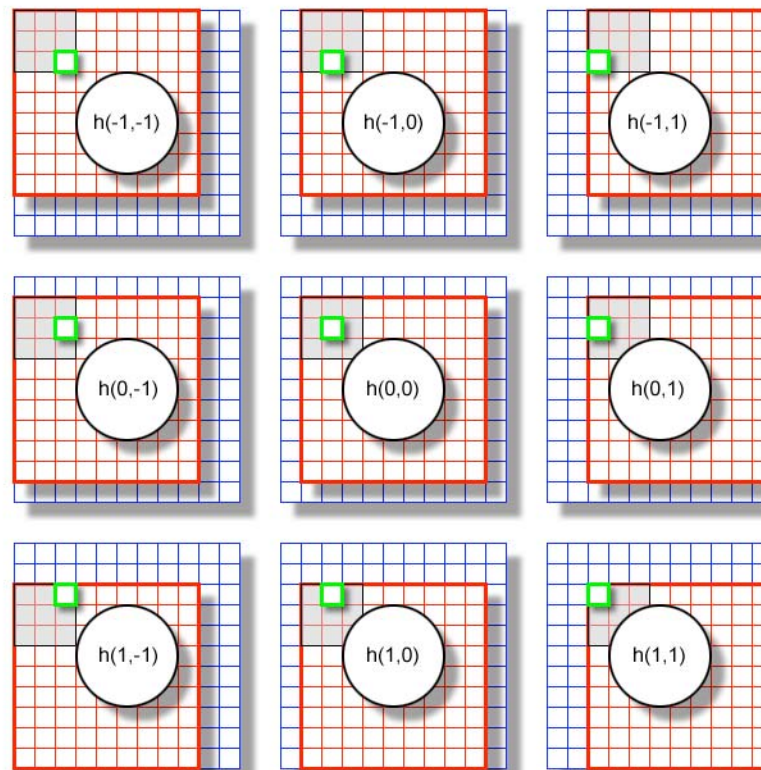




# EECS490: Digital Image Processing

## Convolution by Copying, Multiplying, and Shifting the Image

For each element  $h(r_h, c_h)$  in weight matrix,  $h$ , image  $I$  is copied into a zero-padded image,  $P$ , starting at  $(r_h, c_h)$ . Each  $P$  is multiplied by the corresponding weight,  $h(r_h, c_h)$ . All the  $P$  images are summed pixel-wise then divided by the sum of the elements of  $h$ . The result is cropped out of the center of the accumulated  $P$ 's.



original image,  $I$

padded image,  $P$

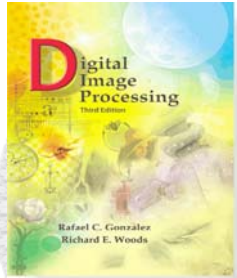
effective neighborhood

$h(-1,-1)$	$h(-1,0)$	$h(-1,1)$
$h(0,-1)$	$h(0,0)$	$h(0,1)$
$h(1,-1)$	$h(1,0)$	$h(1,1)$

weight matrix

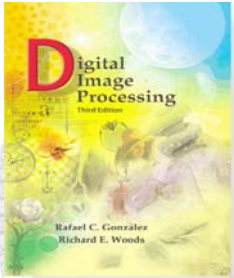
aligned pixels to be summed

weight for image ○



## Shift-Multiply-Add Approach

- The image is copied 1 time for each element in the convolution mask.
- Each copy is shifted relative to the original by the displacement of its associated mask element.
- Each copy is multiplied by the value of its associated mask element.
- The set of shifted and multiplied images is summed pixel wise.



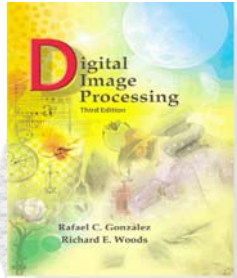
## Convolution by an Impulse

An *impulse* is a digital image, that has a single pixel with value 1; all others have value zero. An impulse at location  $(\rho, \chi)$  is represented by:

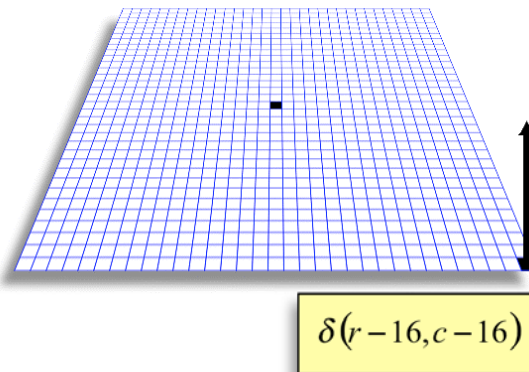
$$\delta(r - \rho, c - \chi) = \begin{cases} 1, & \text{if } r = \rho \text{ and } c = \chi \\ 0, & \text{otherwise} \end{cases}$$

If an image is convolved with an impulse at location  $(\rho, \chi)$ , the image is shifted in location down by  $\rho$  pixels and to the right by  $\chi$  pixels.

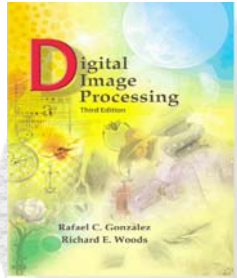
$$[I * \delta(r - \rho, c - \chi)](r, c) = I(r - \rho, c - \chi)$$



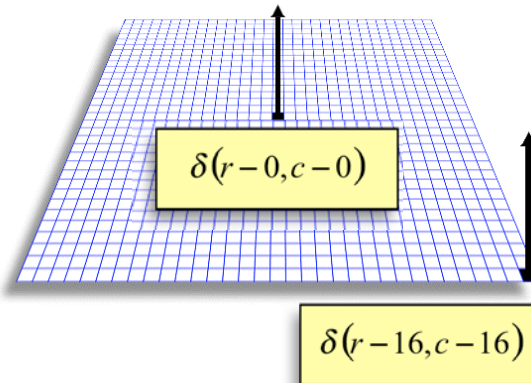
# Convolution by an Impulse





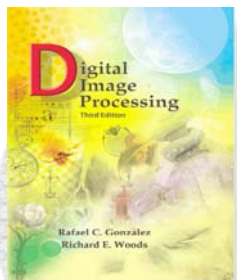


# Convolution by Two Impulses

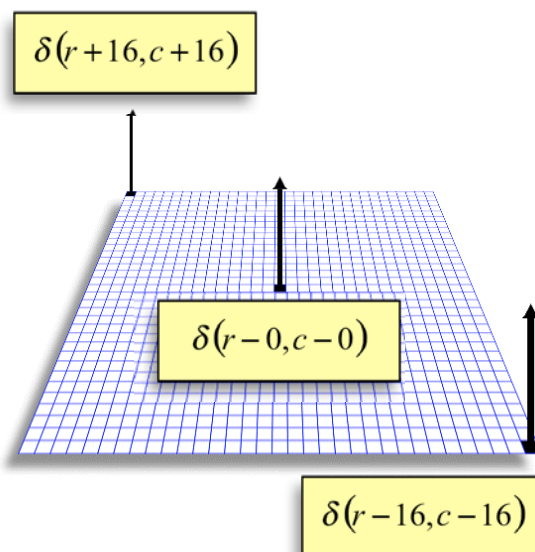


Sum times 1/2

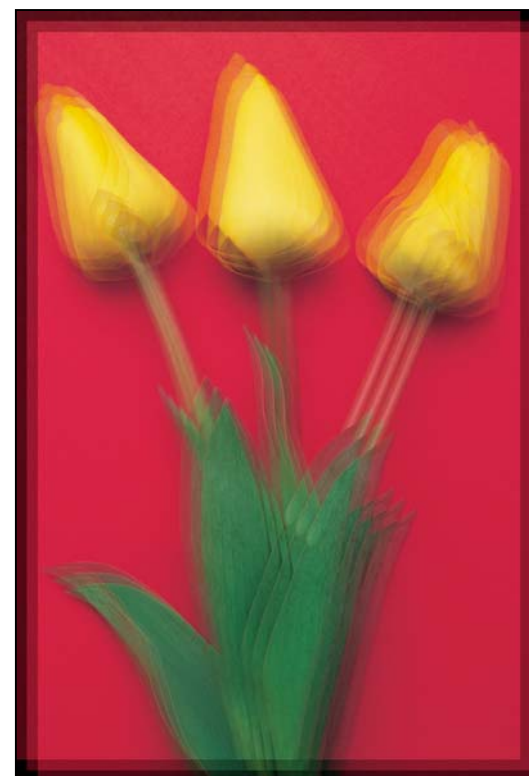




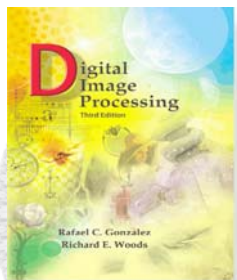
# Convolution by Three Impulses



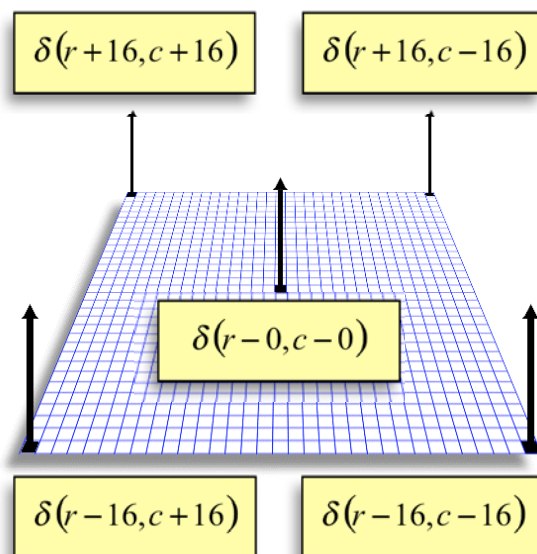
Sum times 1/3



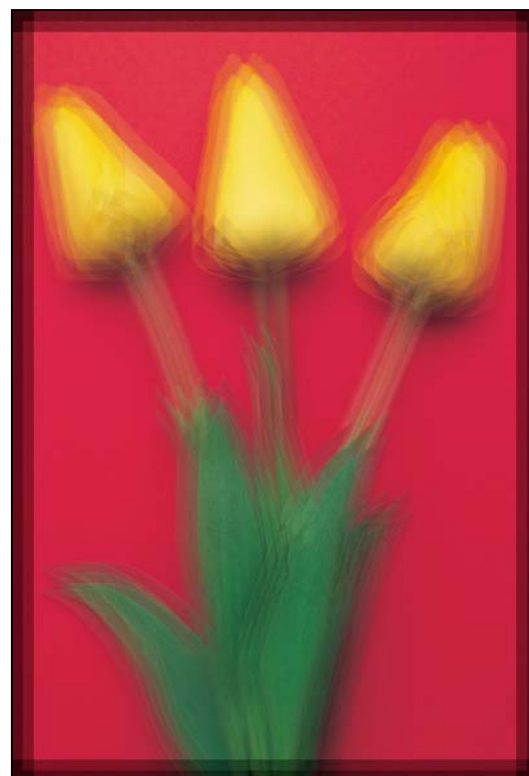


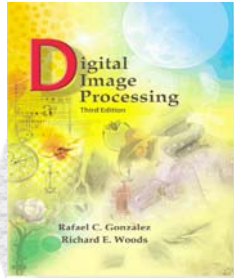


# Convolution by Five Impulses



Sum times 1/5



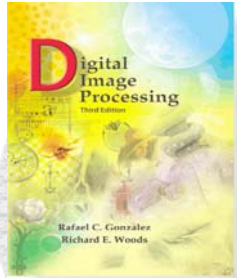


# Effects of Spatial Averaging

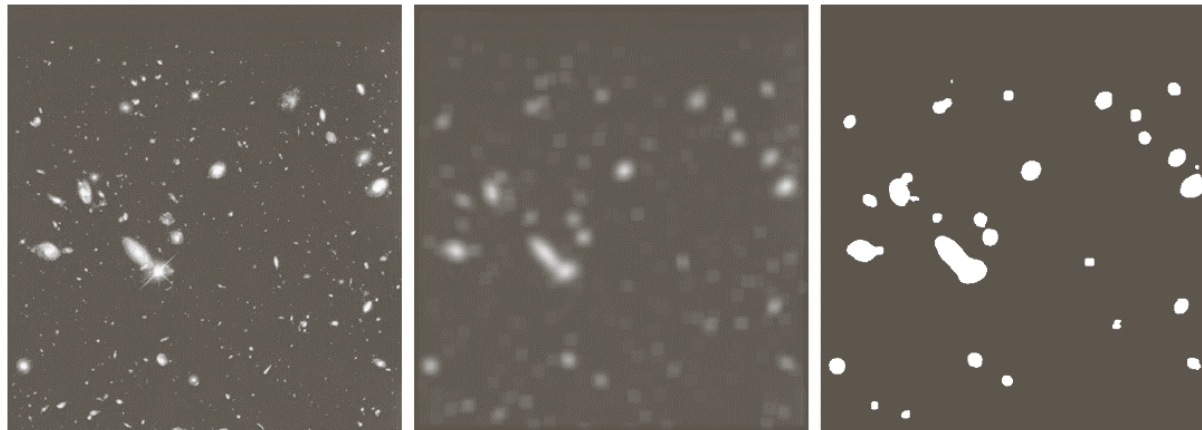
**FIGURE 3.33** (a) Original image, of size  $500 \times 500$  pixels. (b)–(f) Results of smoothing with square averaging filter masks of sizes  $m = 3, 5, 9, 15$ , and  $35$ , respectively. The black squares at the top are of sizes  $3, 5, 9, 15, 25, 35, 45$ , and  $55$  pixels, respectively; their borders are  $25$  pixels apart. The letters at the bottom range in size from  $10$  to  $24$  points, in increments of  $2$  points; the large letter at the top is  $60$  points. The vertical bars are  $5$  pixels wide and  $100$  pixels high; their separation is  $20$  pixels. The diameter of the circles is  $25$  pixels, and their borders are  $15$  pixels apart; their intensity levels range from  $0\%$  to  $100\%$  black in increments of  $20\%$ . The background of the image is  $10\%$  black. The noisy rectangles are of size  $50 \times 120$  pixels.

Averaging filters  
are also called blur  
filters



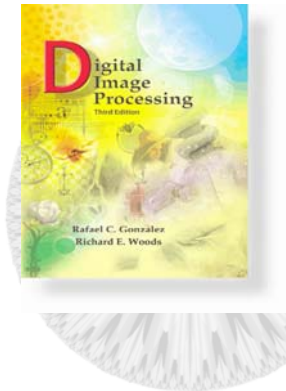


# Averaging and Thresholding

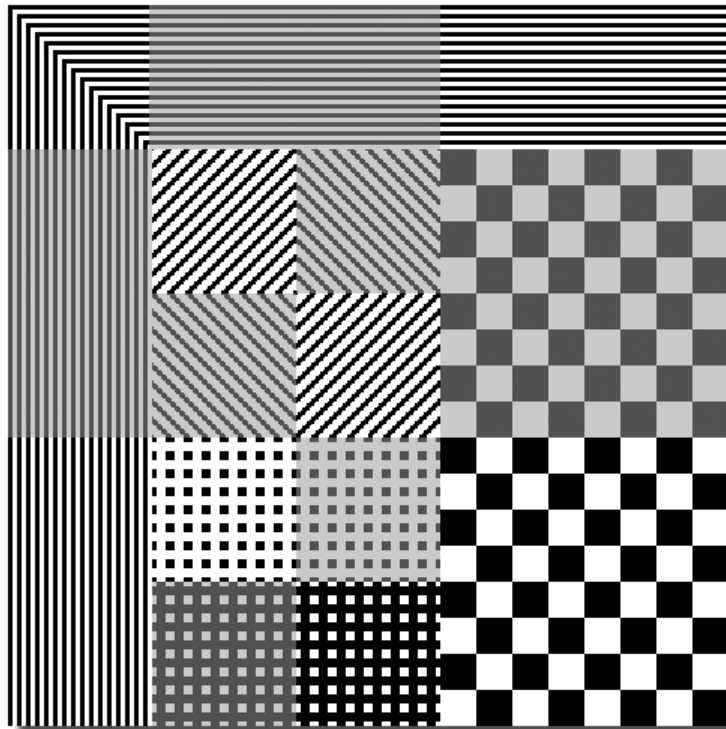


a b c

**FIGURE 3.34** (a) Image of size  $528 \times 485$  pixels from the Hubble Space Telescope. (b) Image filtered with a  $15 \times 15$  averaging mask. (c) Result of thresholding (b). (Original image courtesy of NASA.)



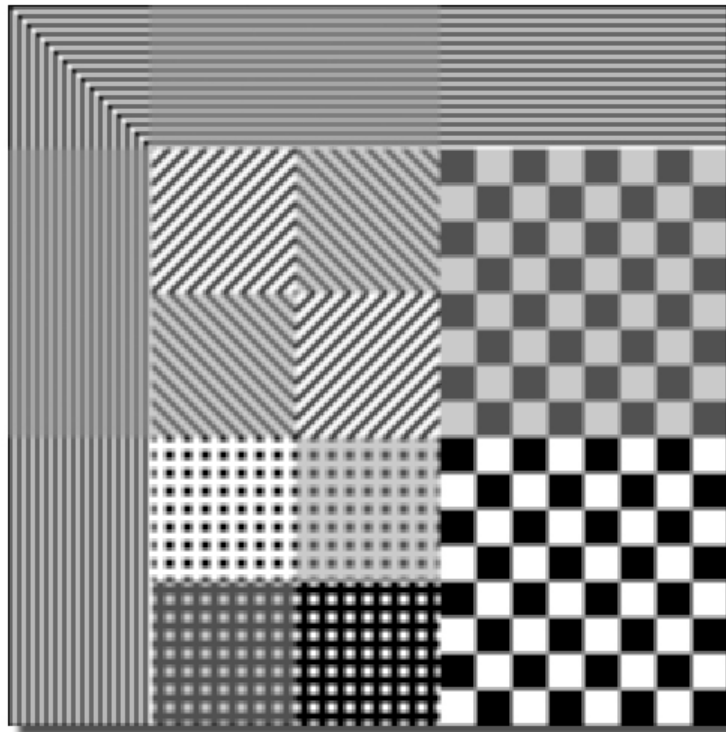
## Convolution Examples: Original Images





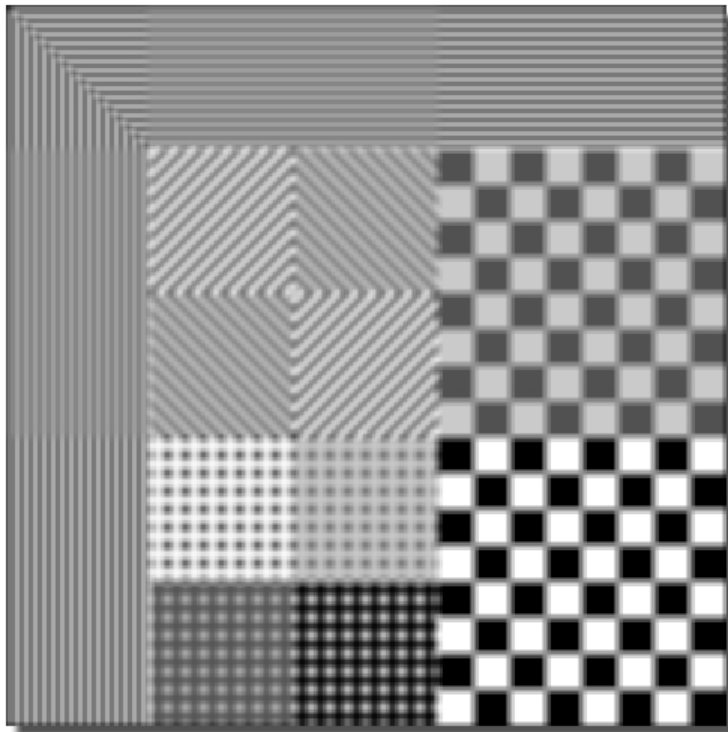


## Convolution Examples: 3x3 Blur



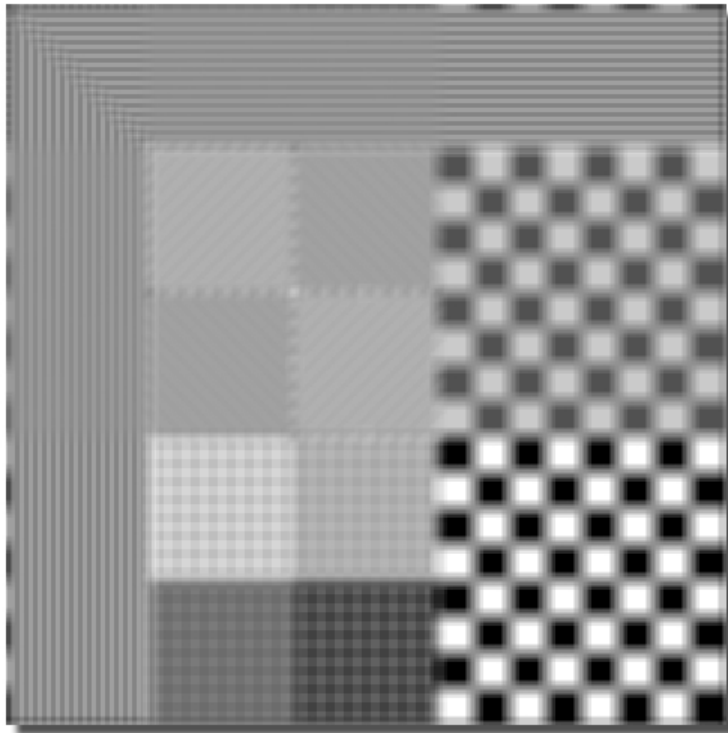


## Convolution Examples: 5×5 Blur

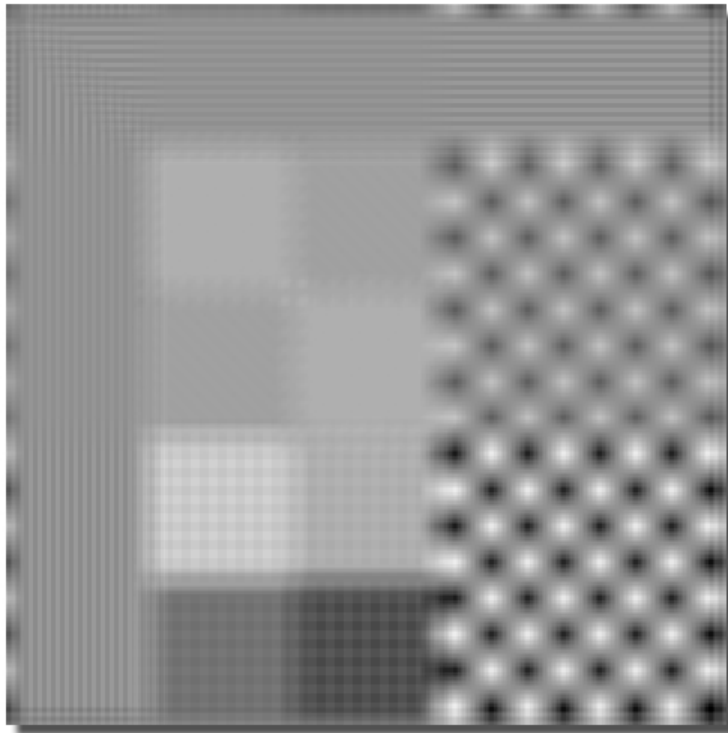




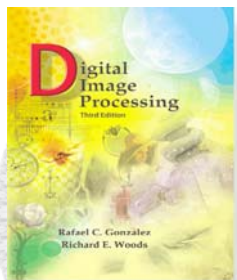
## Convolution Examples: 9x9 Blur



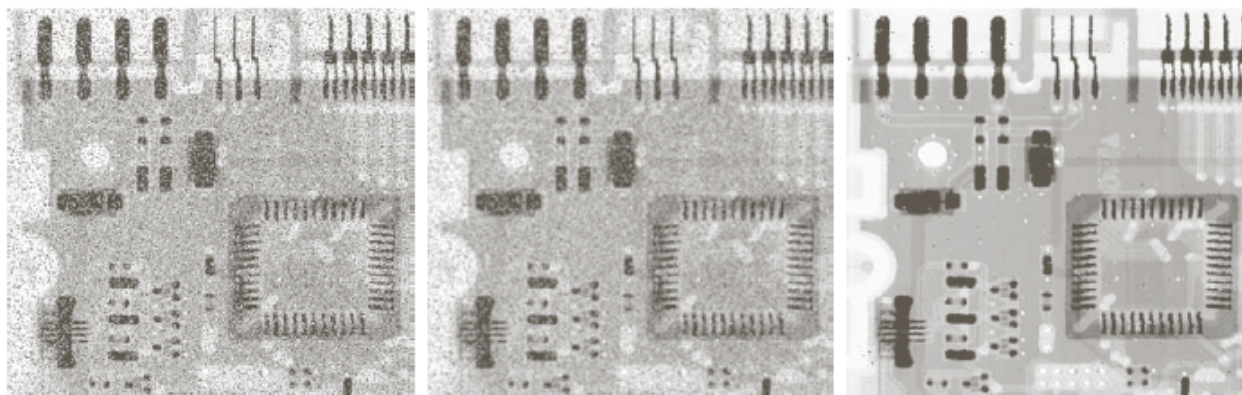
## Convolution Examples: 17×17 Blur







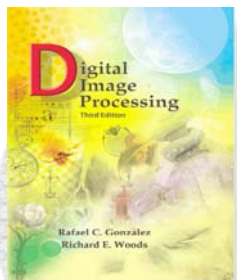
# Noise: average v. median



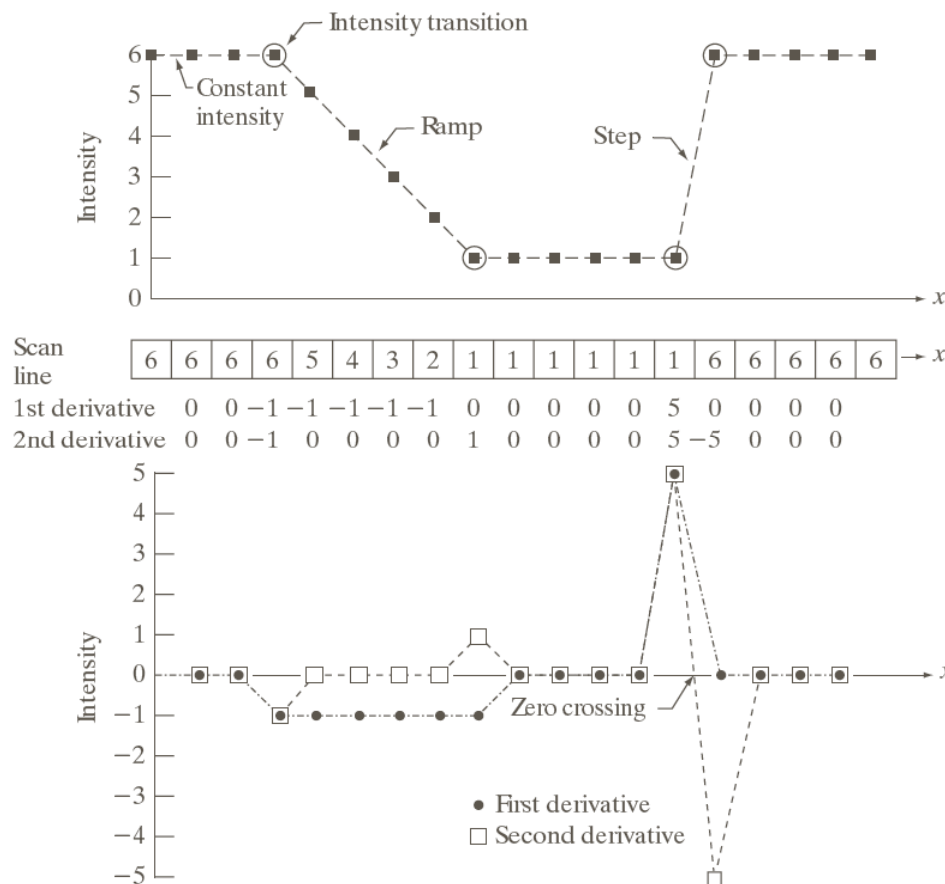
a b c

**FIGURE 3.35** (a) X-ray image of circuit board corrupted by salt-and-pepper noise. (b) Noise reduction with a  $3 \times 3$  averaging mask. (c) Noise reduction with a  $3 \times 3$  median filter. (Original image courtesy of Mr. Joseph E. Pascente, Lixi, Inc.)

The median selects the middle value of a distribution and is good for distributions with outliers



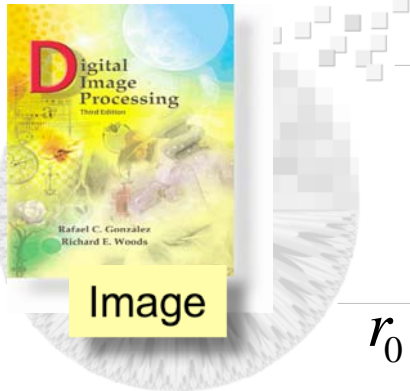
## The derivative in Image Processing



**FIGURE 3.36** Illustration of the first and second derivatives of a 1-D digital function representing a section of a horizontal intensity profile from an image. In (a) and (c) data points are joined by dashed lines as a visualization aid.

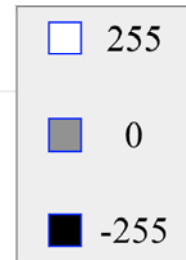
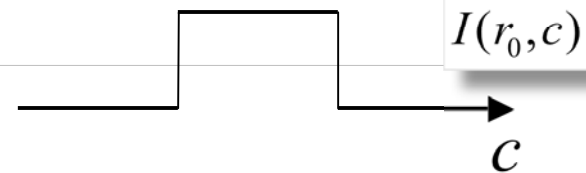
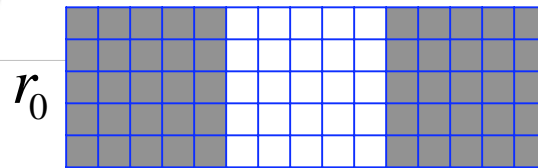
$$\frac{df}{dx} = \frac{f(x + \Delta x) - f(x)}{\Delta x} = f(x+1) - f(x)$$

$$\begin{aligned} \frac{d^2 f}{dx^2} &= \frac{\frac{df(x+1)}{dx} - \frac{df(x-1)}{dx}}{1} \\ &= f(x+1) - f(x) - f(x) + f(x-1) \\ &= f(x+1) - 2f(x) + f(x-1) \end{aligned}$$

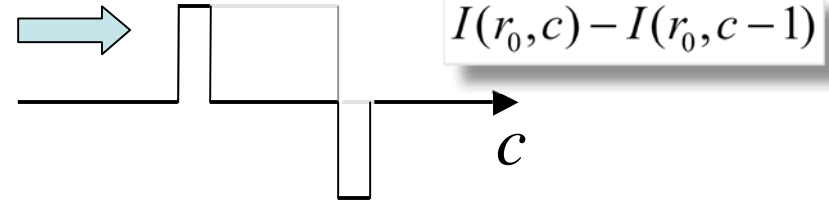
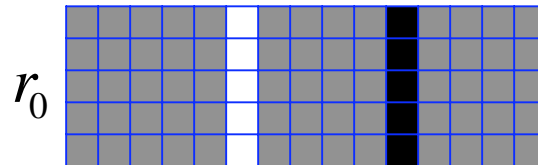


## Vertical Edge Detection

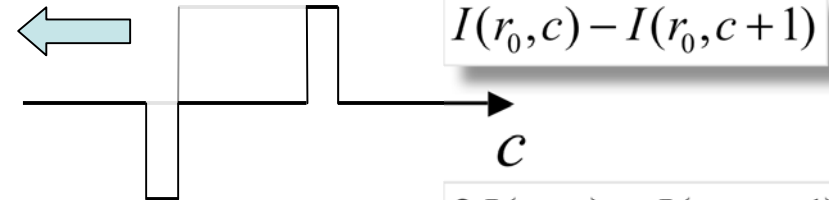
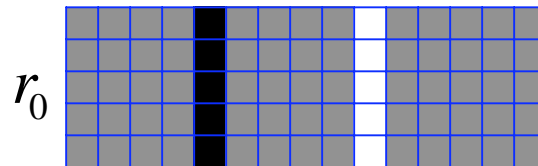
Image



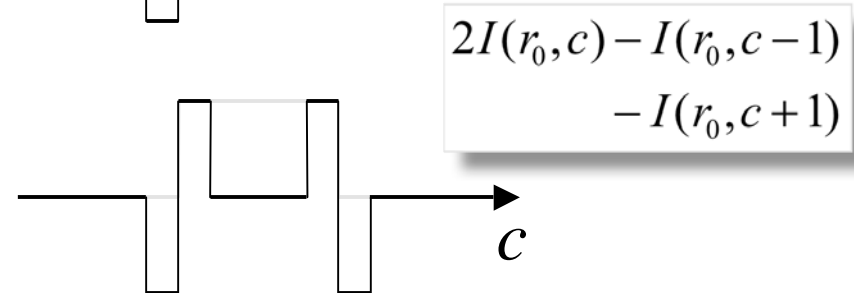
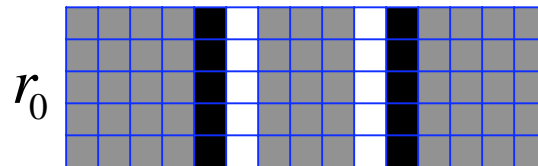
Backward  
Difference



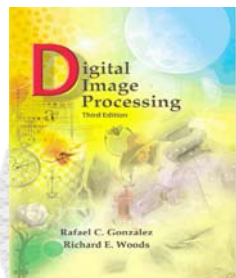
Forward  
Difference



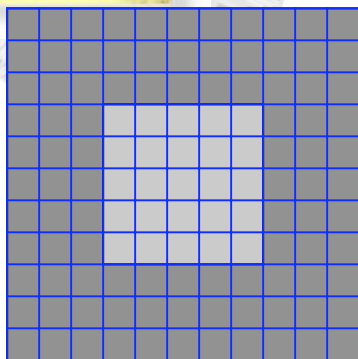
Sum of  
Differences



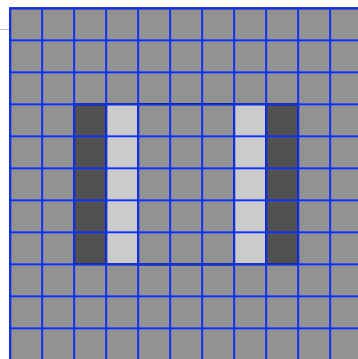
This is effectively a 2<sup>nd</sup> derivative



## Symmetric Edge Detection



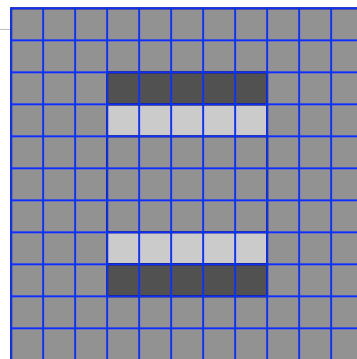
$I(r,c)$



$$2I(r,c) - I(r,c-1) - I(r,c+1)$$

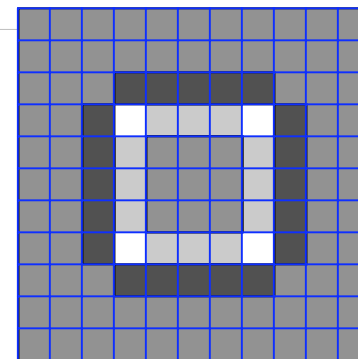
-1	2	-1

Express 2<sup>nd</sup> derivatives in x and y as a mask



$$2I(r,c) - I(r-1,c) - I(r+1,c)$$

	-1	
	2	
	-1	

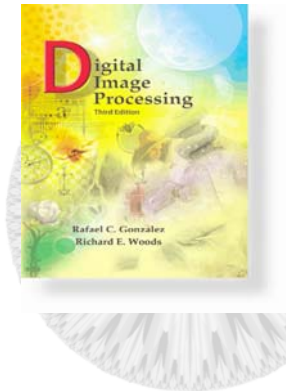


$$4I(r,c) - I(r-1,c) - I(r+1,c) - I(r,c-1) - I(r,c+1)$$

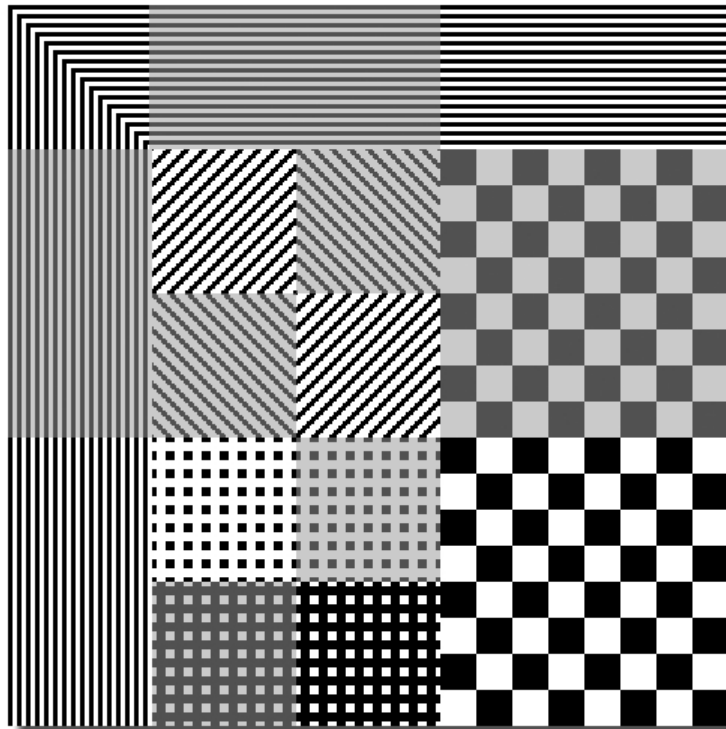
	-1	
-1	4	-1
	-1	

Combine x and y derivatives

□	510
■	255
■	0
■	-255



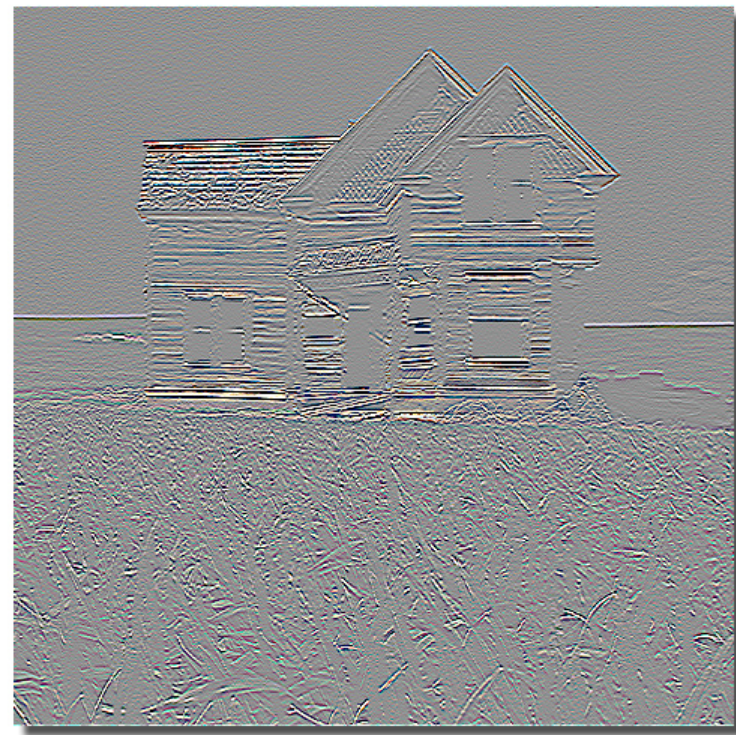
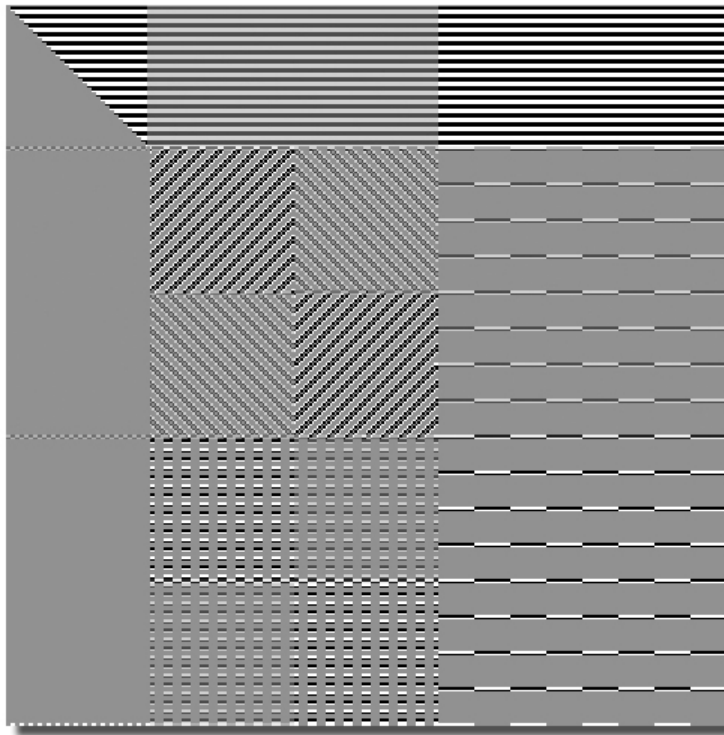
## Convolution Examples: Original Images







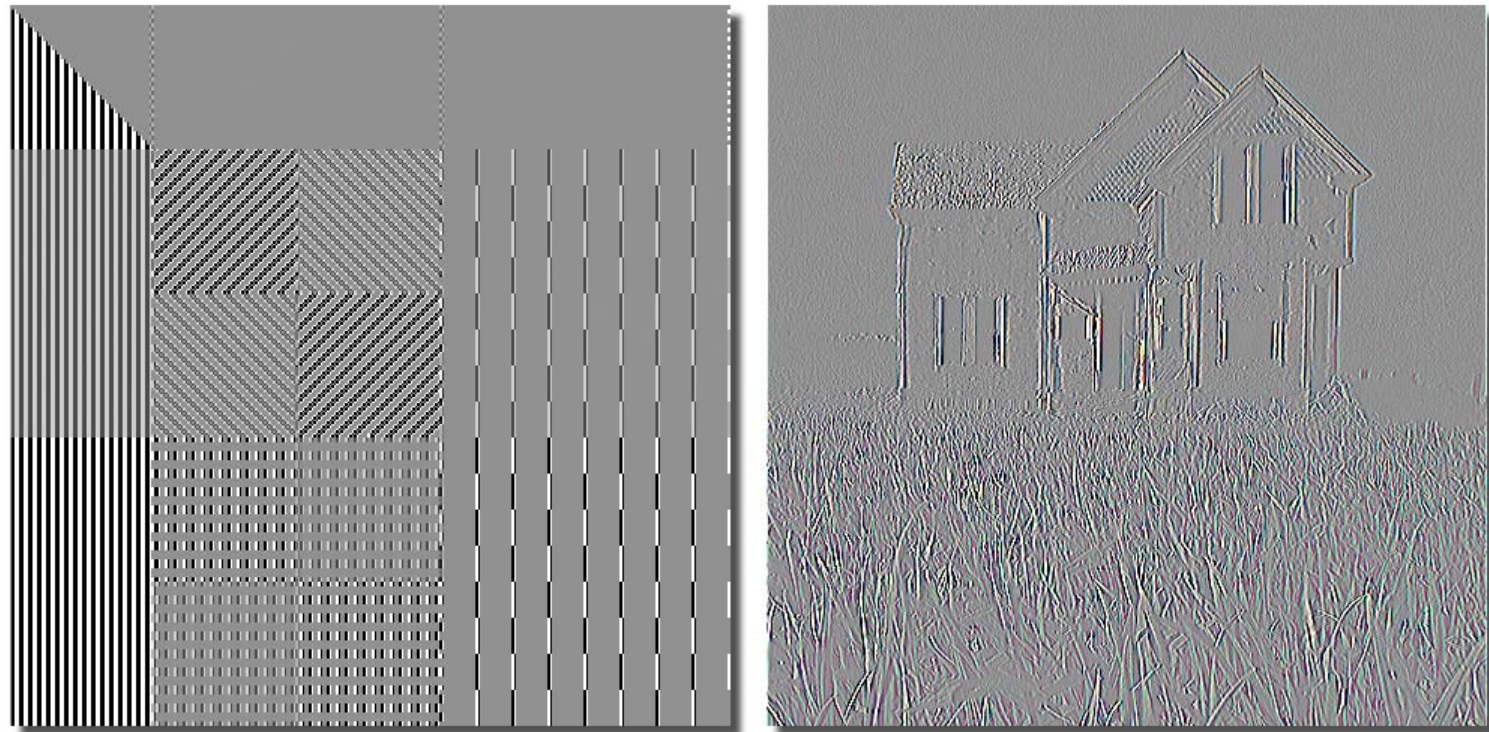
## Convolution Examples: Vertical Difference

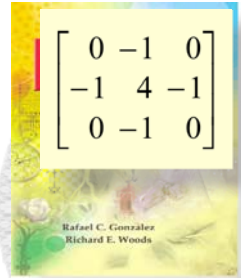




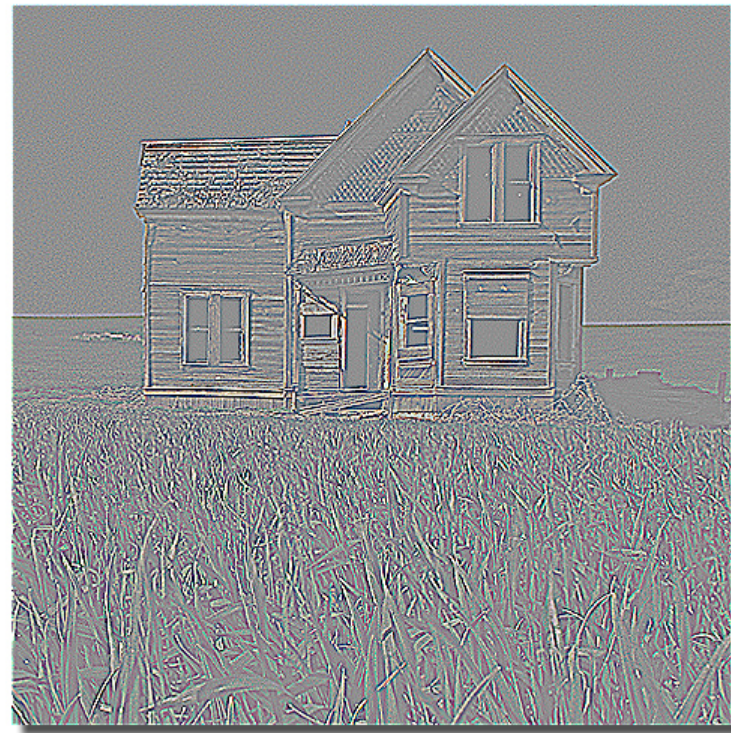
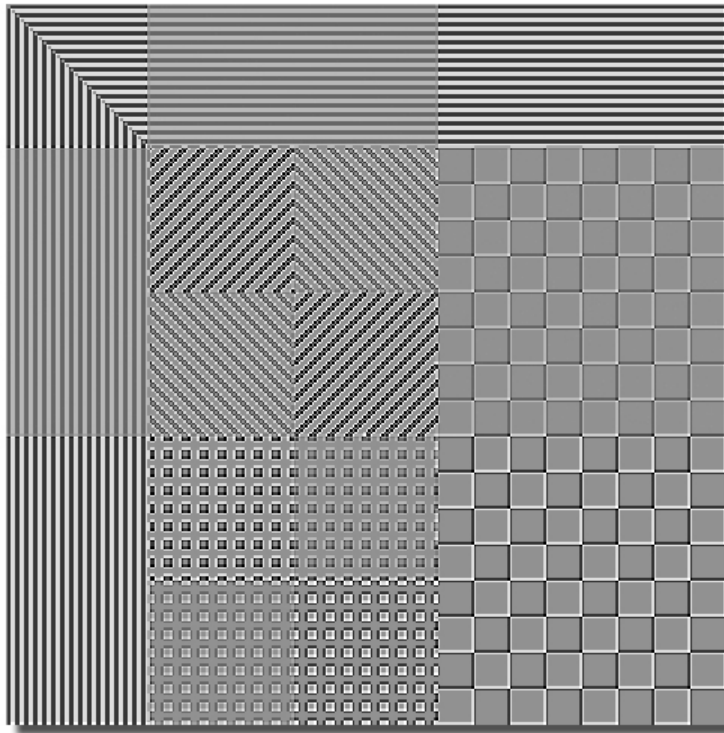


## Convolution Examples: Horizontal Difference





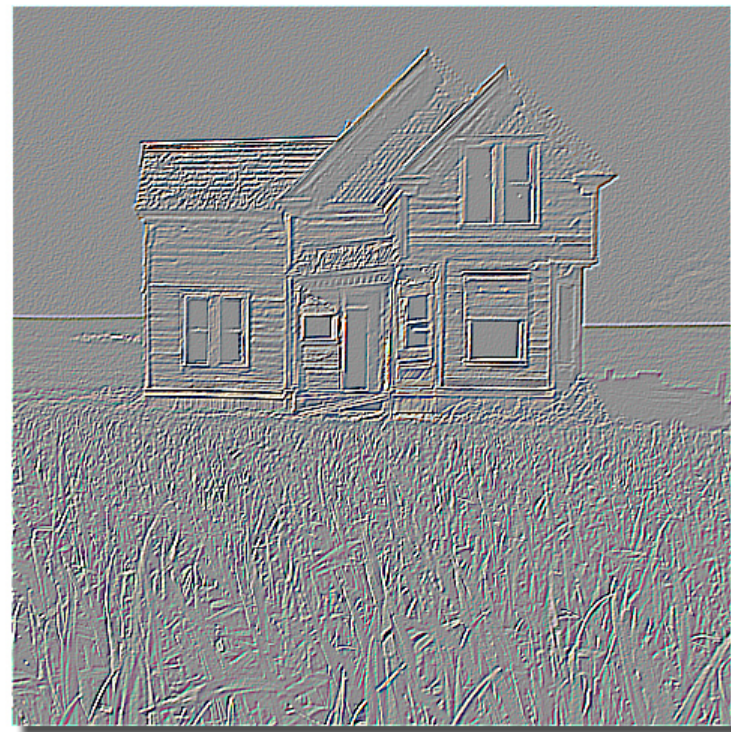
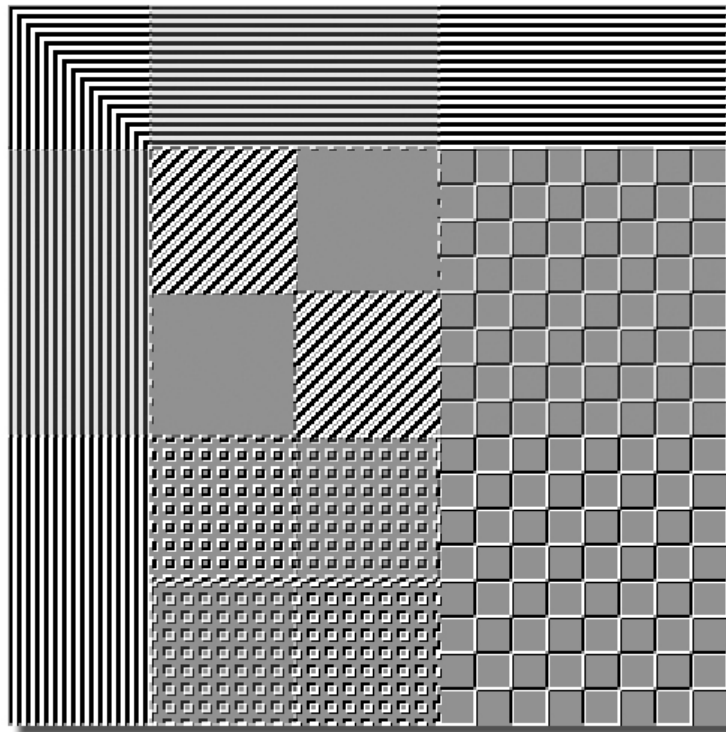
## Convolution Examples: H + V Diff.





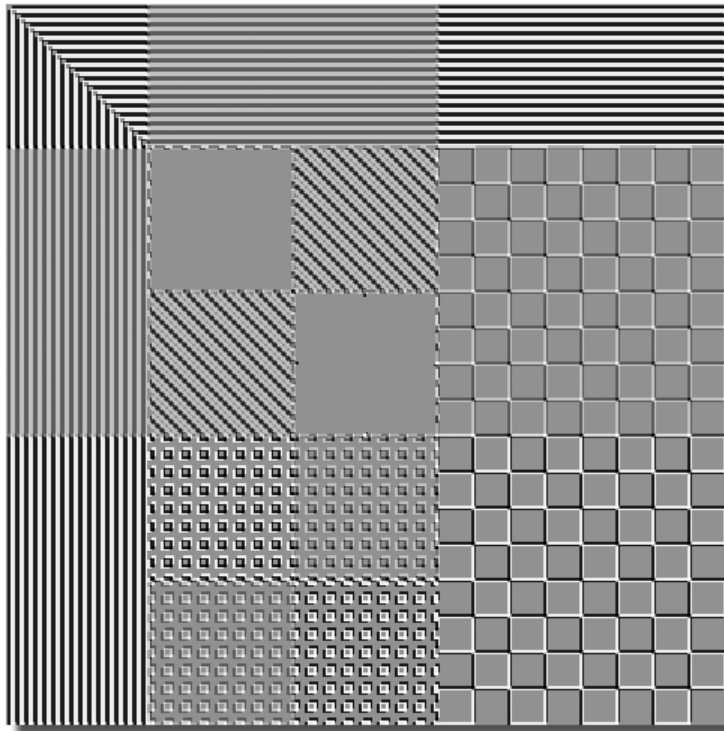


## Convolution Examples: Diagonal Difference

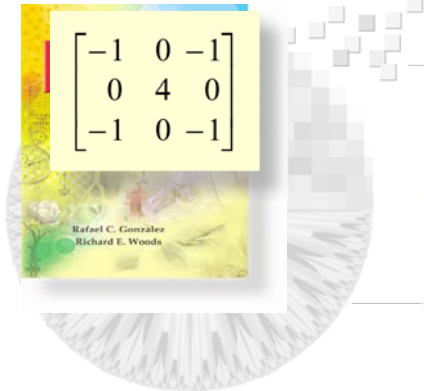




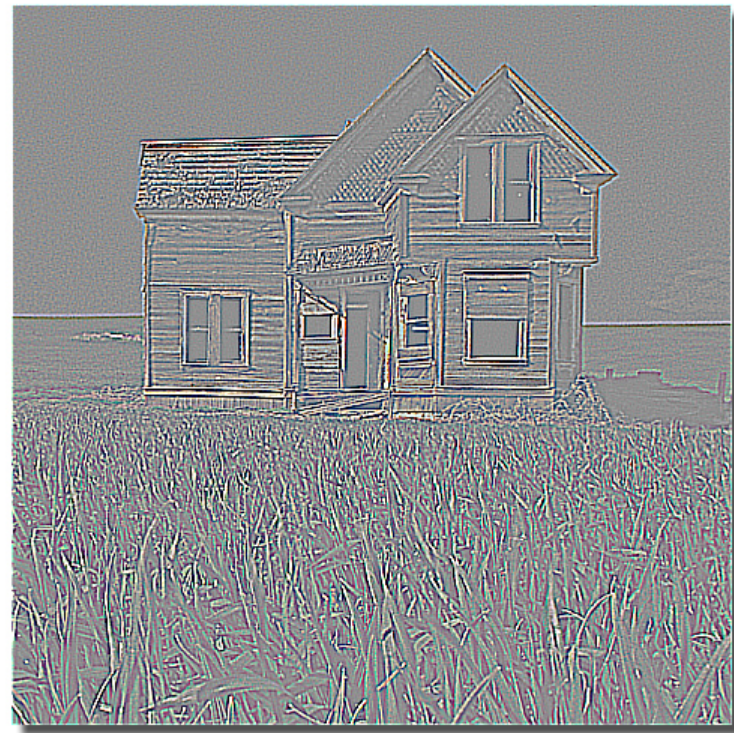
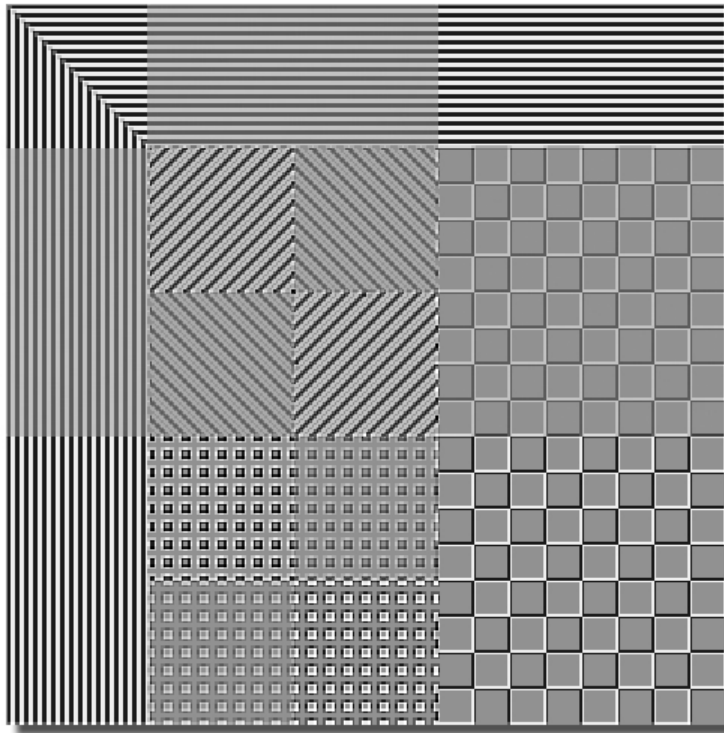
## Convolution Examples: Diagonal Difference

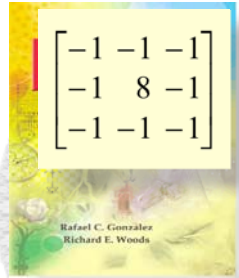




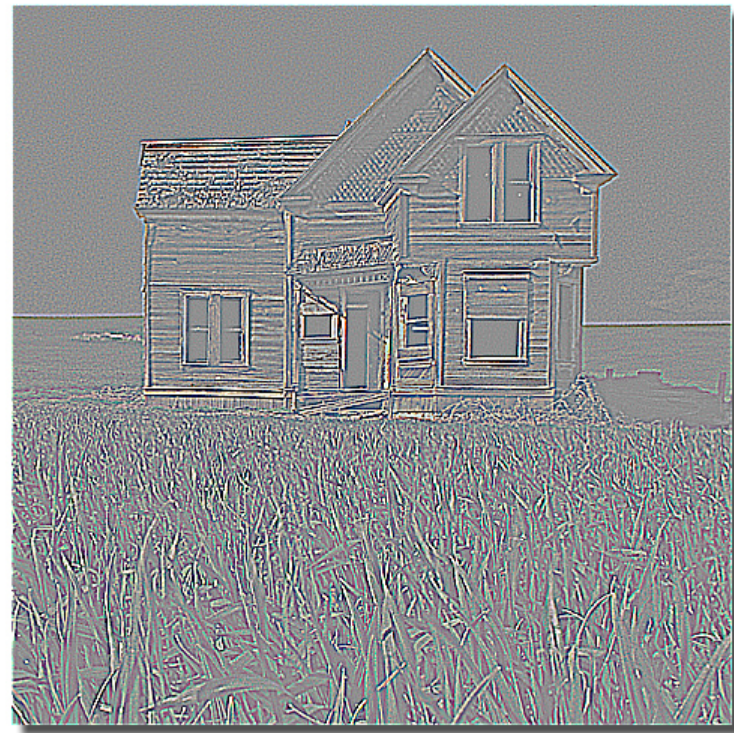
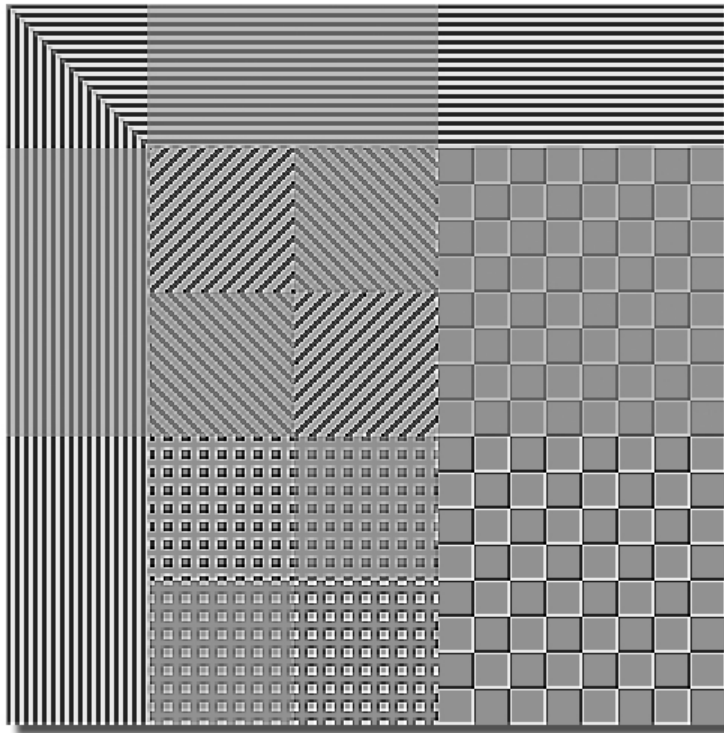


## Convolution Examples: D + D Difference

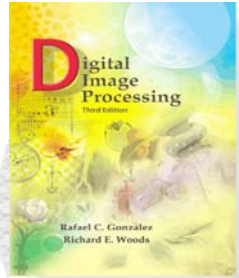




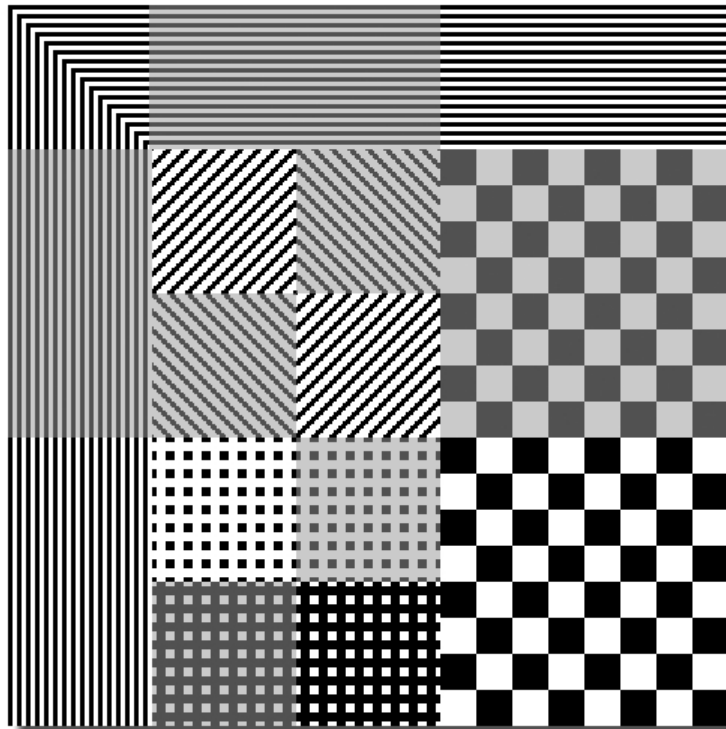
## Convolution Examples: H + V + D Diff.

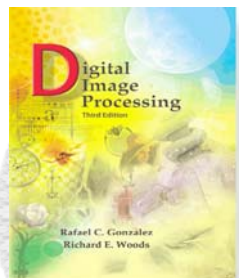






# Convolution Examples: Original Images





## Laplacian

$$\nabla^2 f = \frac{d^2 f}{dx^2} + \frac{d^2 f}{dy^2}$$

$$-\nabla^2 f = -\frac{d^2 f}{dx^2} - \frac{d^2 f}{dy^2}$$

H+V

0	1	0
1	-4	1
0	1	0

H+V+D

1	1	1
1	-8	1
1	1	1

0	-1	0
-1	4	-1
0	-1	0

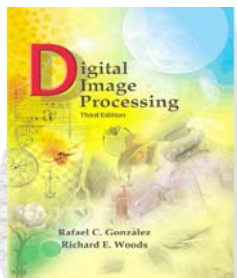
-1	-1	-1
-1	8	-1
-1	-1	-1

a b  
c d

**FIGURE 3.37**

(a) Filter mask used to implement Eq. (3.6-6).  
(b) Mask used to implement an extension of this equation that includes the diagonal terms.  
(c) and (d) Two other implementations of the Laplacian found frequently in practice.





# Image Sharpening

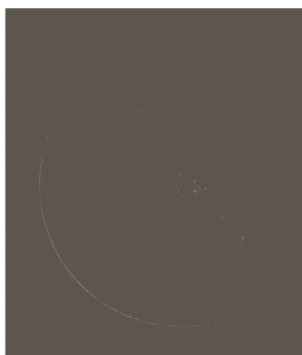


a
b c
d e

**FIGURE 3.38**

(a) Blurred image of the North Pole of the moon.  
 (b) Laplacian without scaling.  
 (c) Laplacian with scaling.  
 (d) Image sharpened using the mask in Fig. 3.37(a). (e) Result of using the mask in Fig. 3.37(b). (Original image courtesy of NASA.)

$$\nabla^2 f$$

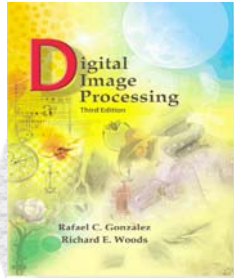


$$\nabla^2 f + \min(\nabla^2 f)$$

Sharpening using H+V  
Laplacian



Sharpening using H+V+D  
Laplacian

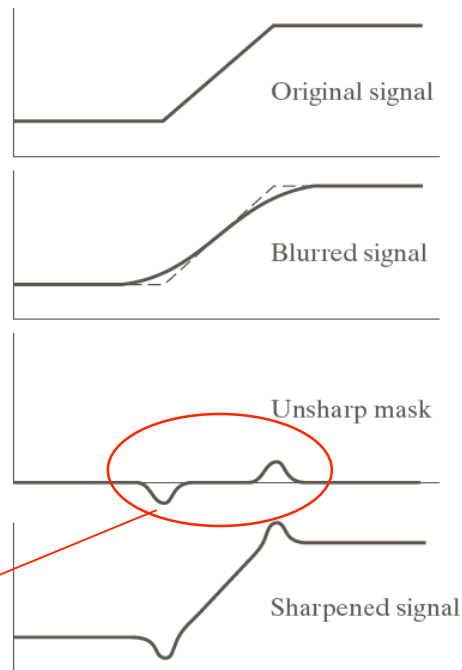


## Image Sharpening

### Sharpening

1. Blur original image
2. Subtract blurred image from original (this is called the mask)
3. Add mask to original image

The mask looks like a 2<sup>nd</sup> derivative



a  
b  
c  
d

**FIGURE 3.39** 1-D illustration of the mechanics of unsharp masking. (a) Original signal. (b) Blurred signal with original shown dashed for reference. (c) Unsharp mask. (d) Sharpened signal, obtained by adding (c) to (a).



## Image Sharpening

$$f(x, y)$$

DIP-XE

$$\bar{f}(x, y)$$

DIP-XE

$$g_{mask}(x, y) = f(x, y) - \bar{f}(x, y)$$

DIP-XE

$$g(x, y) = f(x, y) + k \times g_{mask}(x, y)$$

DIP-XE

$$g(x, y) = f(x, y) + k \times g_{mask}(x, y)$$

DIP-XE

a  
b  
c  
d  
e

**FIGURE 3.40**

(a) Original image.  
(b) Result of blurring with a Gaussian filter.  
(c) Unsharp mask. (d) Result of using unsharp masking.  
(e) Result of using highboost filtering.

Unsharp masking,  $k=1$

Highboost filtering,  $k=4.5$

## Roberts diagonal derivative operators

## Sobel center-weighted derivative operators

$z_1$	$z_2$	$z_3$
$z_4$	$z_5$	$z_6$
$z_7$	$z_8$	$z_9$

-1	0
0	1

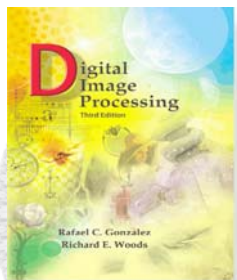
0	-1
1	0

-1	-2	-1	-1	0	1
0	0	0	-2	0	2
1	2	1	-1	0	1

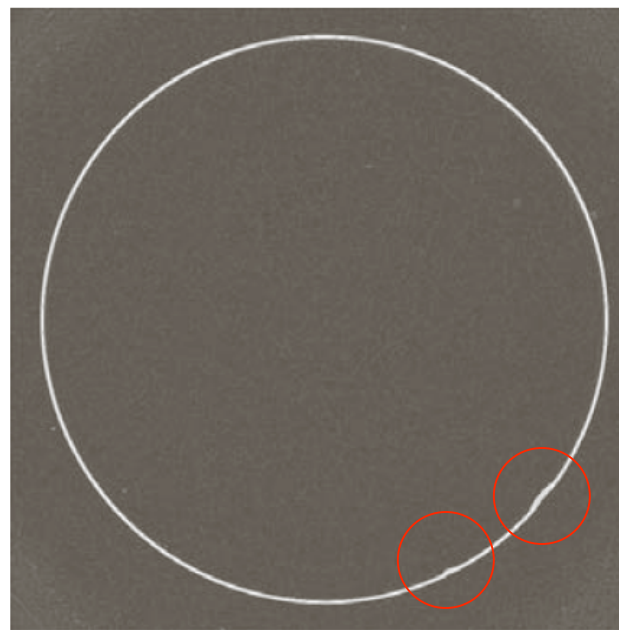
a	
b	c
d	e

A  $3 \times 3$  region of an image (the  $z$ s are intensity values).  
 (b)–(c) Roberts cross gradient operators.  
 (d)–(e) Sobel operators. All the mask coefficients sum to zero, as expected of a derivative operator.





## Using the Sobel Filter



a b

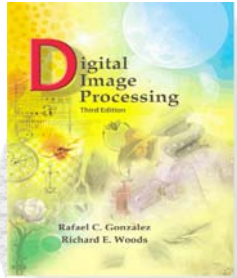
**FIGURE 3.42**

(a) Optical image of contact lens (note defects on the boundary at 4 and 5 o'clock).

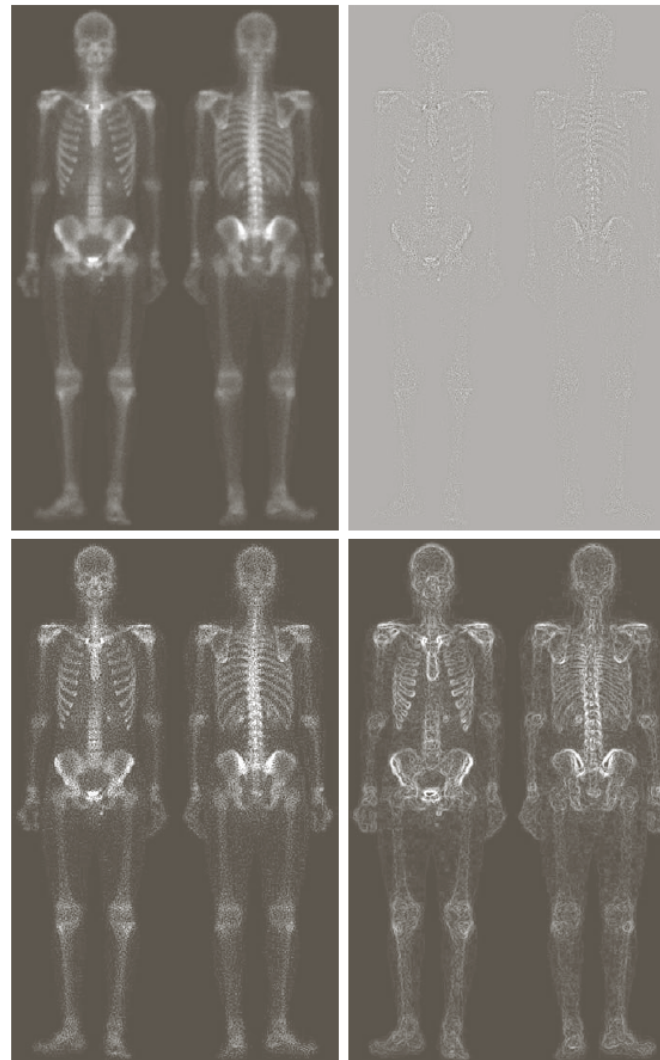
(b) Sobel gradient.

(Original image courtesy of Pete Sites, Perceptics Corporation.)

Sobel  $S_x + S_y$  operators



## Image Processing Example



a b  
c d

**FIGURE 3.43**

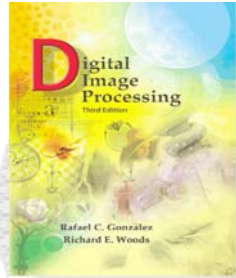
(a) Image of whole body bone scan.  
(b) Laplacian of (a). (c) Sharpened image obtained by adding (a) and (b).  
(d) Sobel gradient of (a).

original image

Laplacian of image

(c) Sharpened by  
adding Laplacian

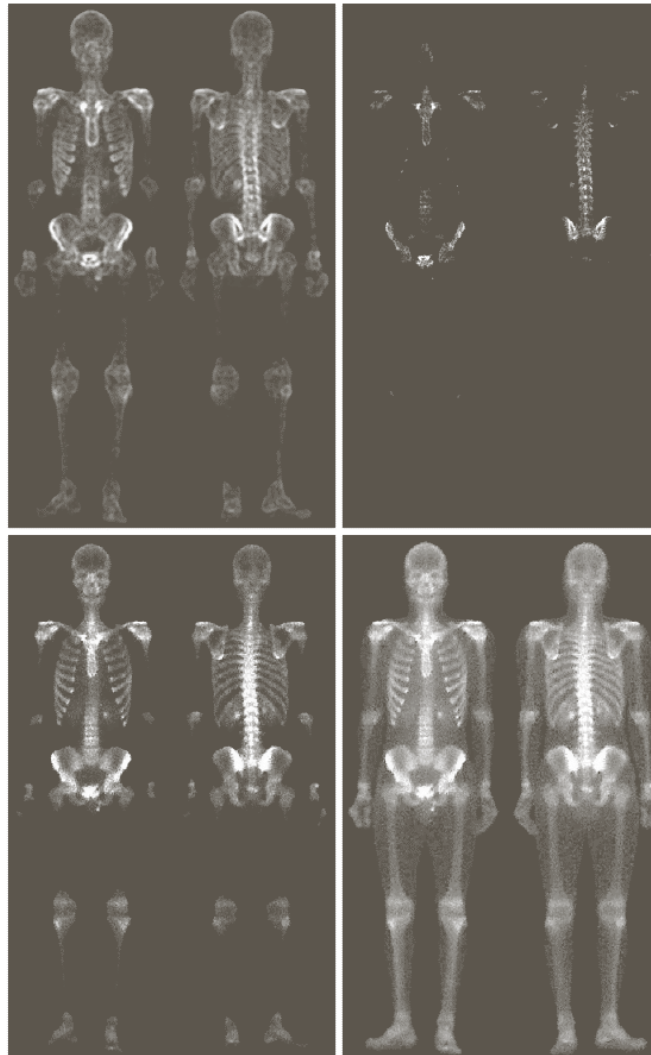
Sobel gradient  
image



## Image Processing Example

(e) Blurred Sobel gradient image

Add Mask to original



e f  
g h

**FIGURE 3.43**

(Continued)

(e) Sobel image smoothed with a

5 **Mask = (c) × (e)**

fi image formed by the product of (c) and (e).

(g) Sharpened image obtained by the sum of (a) and (f). (h) Final result obtained by applying a power-law transformation to (g). Compare (g) and (h) with (a). (Original image courtesy of G.E. Medical Systems.)

Power Law Intensity Transform