

## Lecture #11

- Filtering Applications: OCR, scanning
  - Highpass filters
  - Laplacian in the frequency domain
  - Image enhancement using highpass filters
  - Homomorphic filters
  - Bandreject/bandpass/notch filters
  - Correlation (revisited)
  - Color basics (Chapter 6)
-



## Filtering for OCR

Historically, certain computer programs were written using only two digits rather than four to define the applicable year. Accordingly, the company's software may recognize a date using "00" as 1900 rather than the year 2000.



No filtering. Broken characters are difficult to recognize.

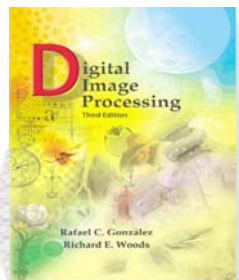
Historically, certain computer programs were written using only two digits rather than four to define the applicable year. Accordingly, the company's software may recognize a date using "00" as 1900 rather than the year 2000.



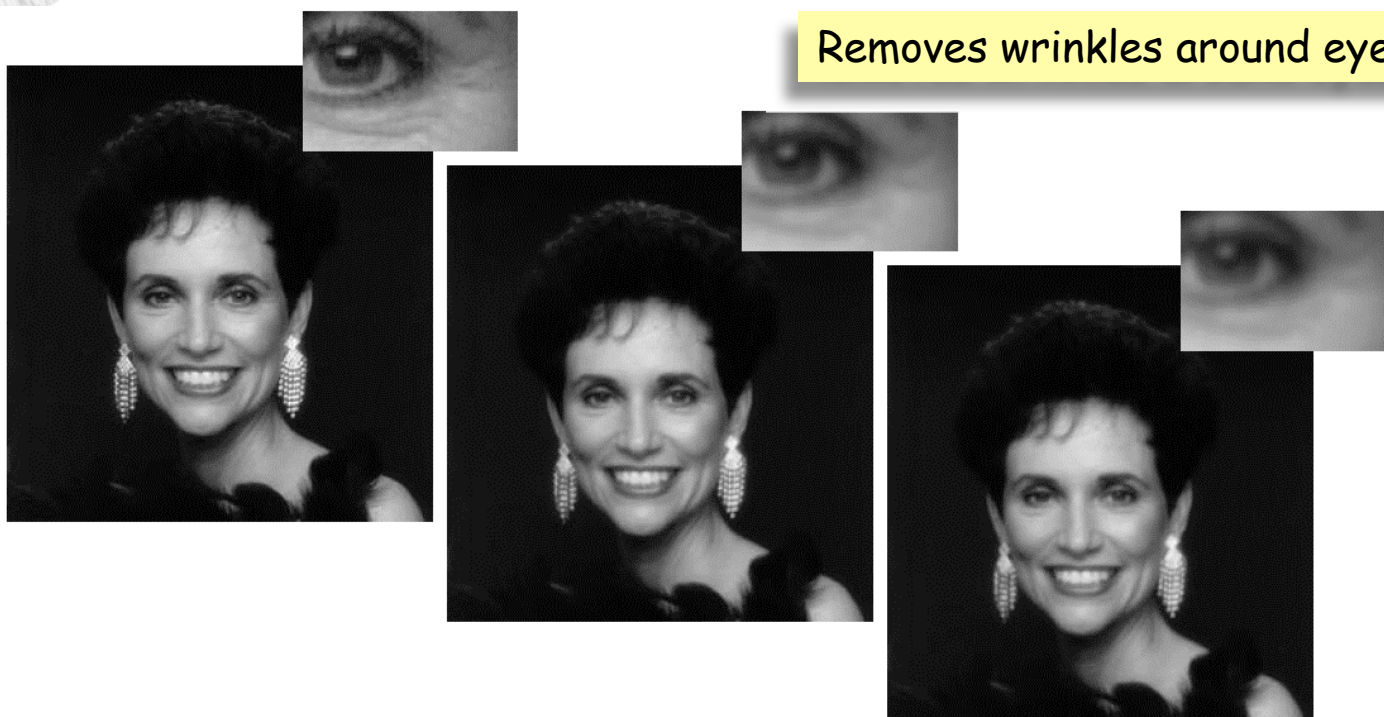
Filtered with a GLPF with  $D_0=80$ . Characters are fuller and filled in.

a b

**FIGURE 4.49**  
(a) Sample text of low resolution (note broken characters in magnified view).  
(b) Result of filtering with a GLPF (broken character segments were joined).

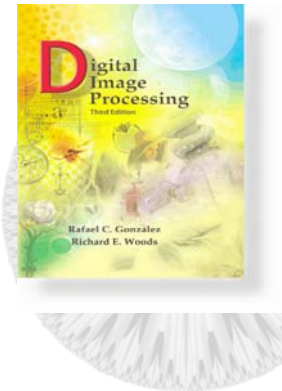


## Filtering for Fun and Profit

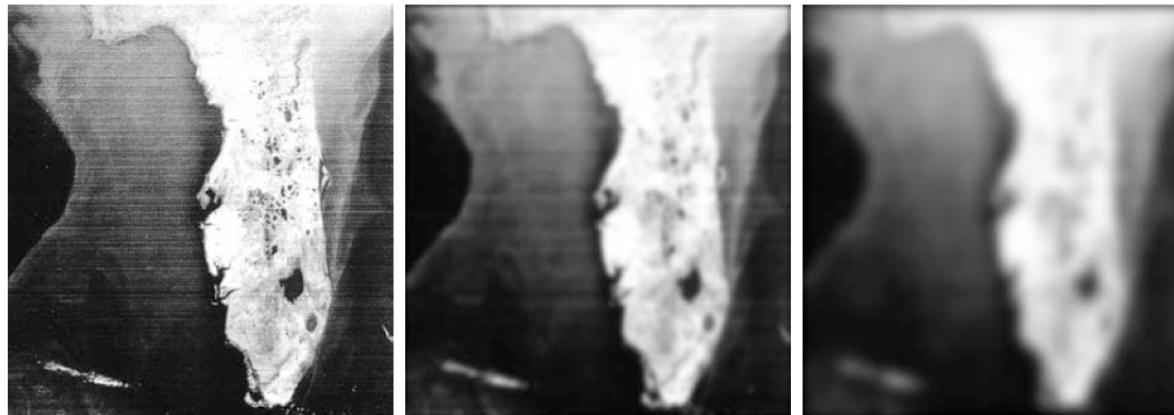


a b c

**FIGURE 4.50** (a) Original image ( $784 \times 732$  pixels). (b) Result of filtering using a GLPF with  $D_0 = 100$ . (c) Result of filtering using a GLPF with  $D_0 = 80$ . Note the reduction in fine skin lines in the magnified sections in (b) and (c).

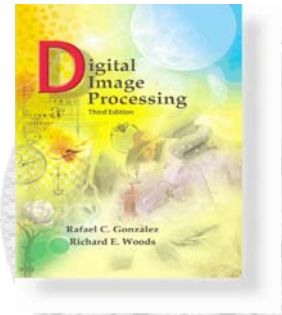


# Filtering to Remove Scan Lines

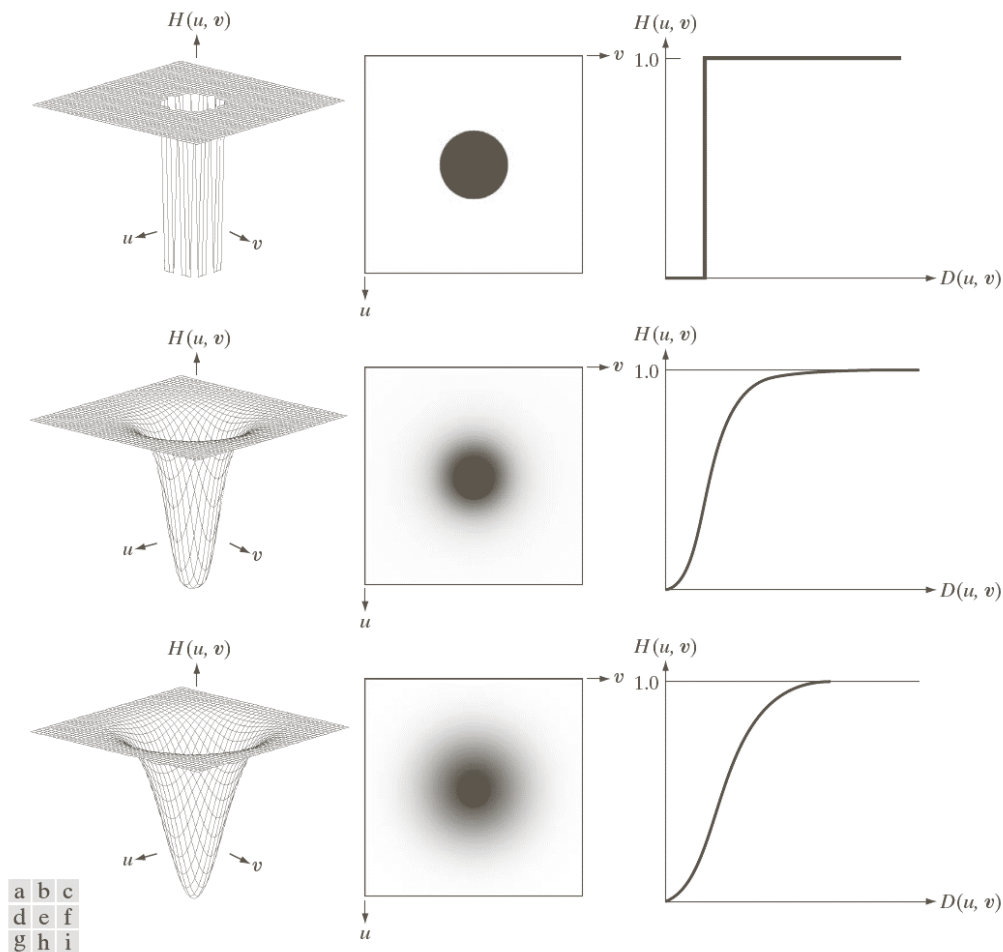


a b c

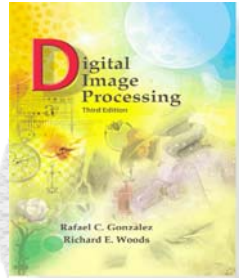
**FIGURE 4.51** (a) Image showing prominent horizontal scan lines. (b) Result of filtering using a GLPF with  $D_0 = 50$ . (c) Result of using a GLPF with  $D_0 = 20$ . (Original image courtesy of NOAA.)



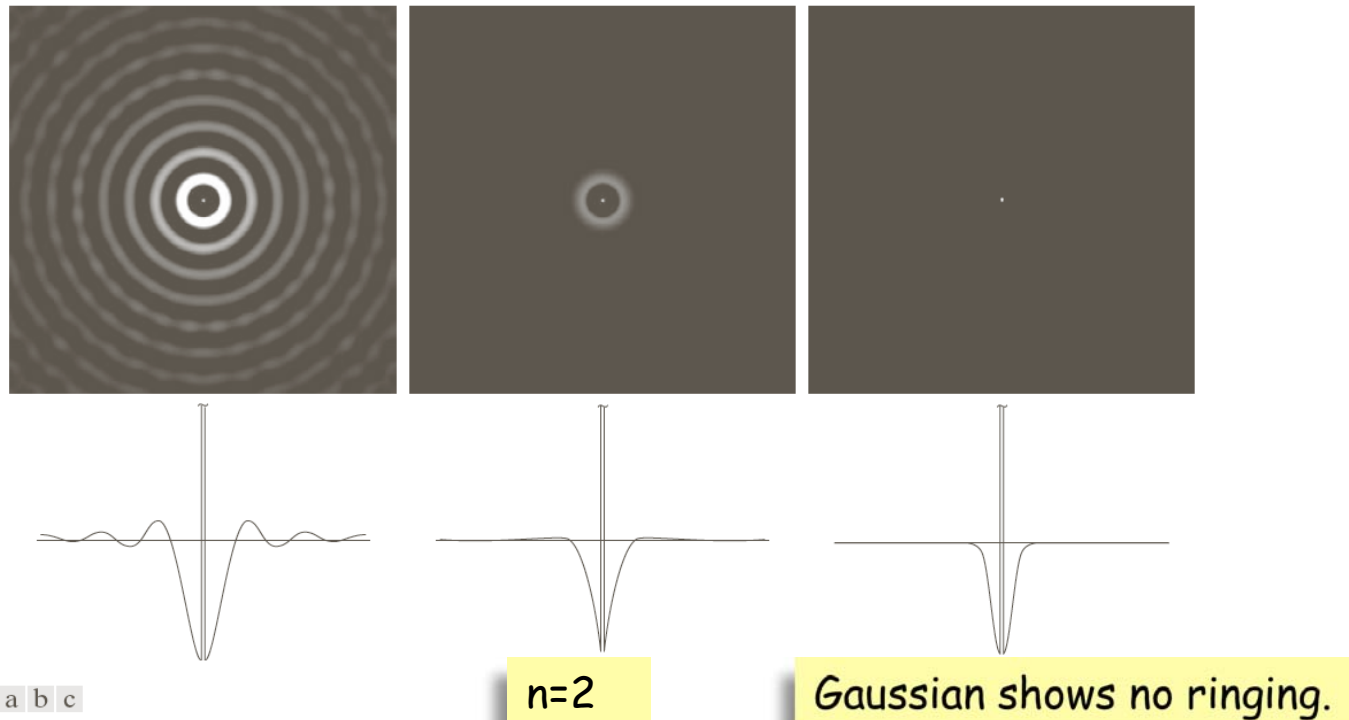
# Highpass Filters (Frequency Domain)



**FIGURE 4.52** Top row: Perspective plot, image representation, and cross section of a typical ideal highpass filter. Middle and bottom rows: The same sequence for typical Butterworth and Gaussian highpass filters.

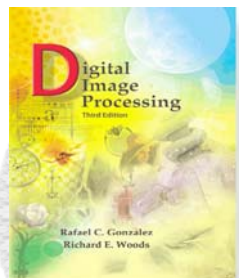


# Highpass Filters (Spatial Domain)



**FIGURE 4.53** Spatial representation of typical (a) ideal, (b) Butterworth, and (c) Gaussian frequency domain highpass filters, and corresponding intensity profiles through their centers.





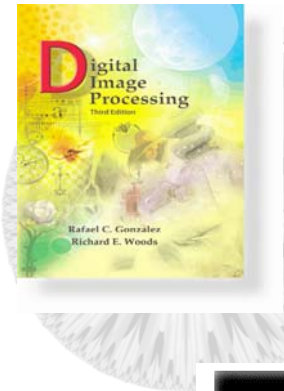
## Test Image: Ideal HPF



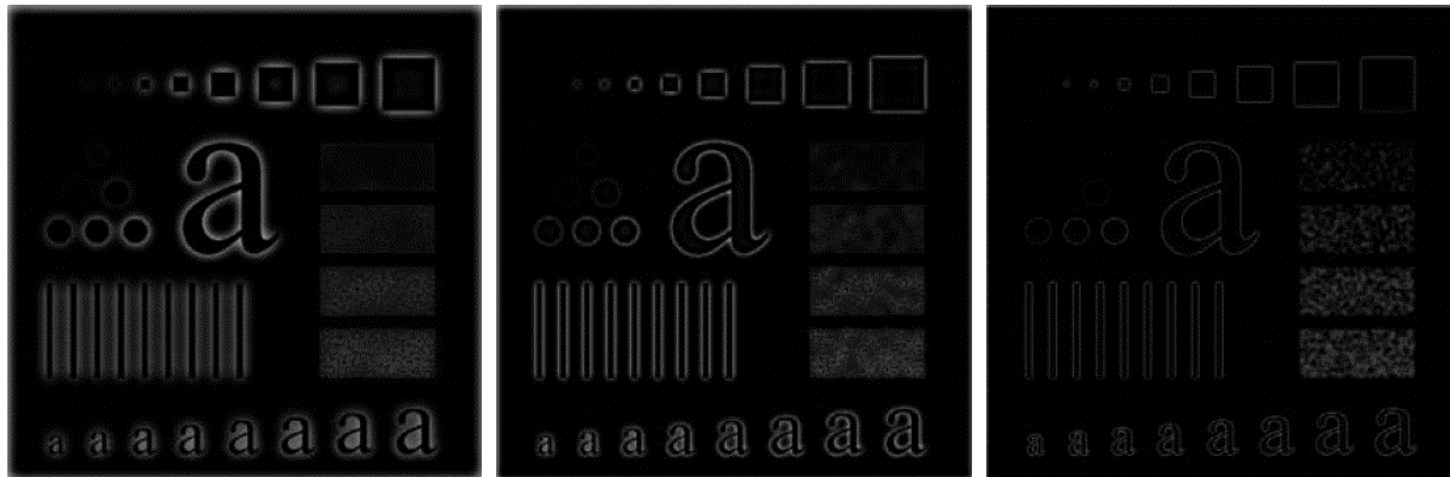
a b c

**FIGURE 4.54** Results of highpass filtering the image in Fig. 4.41(a) using an IHPF with  $D_0 = 30, 60,$  and  $160$ .

$$H(u, v) = \begin{cases} 0 & \text{if } D(u, v) \leq D_0 \\ 1 & \text{if } D(u, v) > D_0 \end{cases}$$



# Test Image: Butterworth HPF

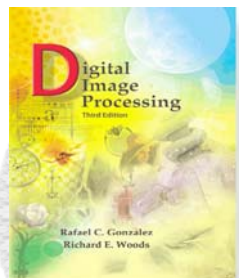


a b c

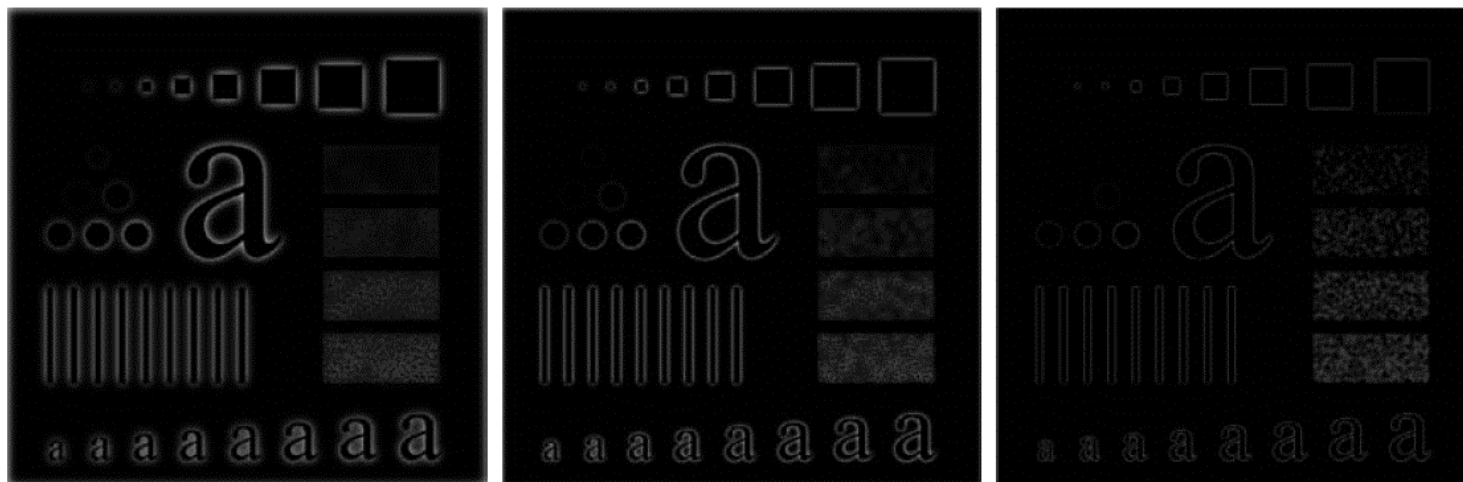
**FIGURE 4.55** Results of highpass filtering the image in Fig. 4.41(a) using a BHPF of order 2 with  $D_0 = 30, 60,$  and 160, corresponding to the circles in Fig. 4.41(b). These results are much smoother than those obtained with an IHPF.

$$H(u, v) = \frac{1}{1 + \left[ \frac{D_0}{D(u, v)} \right]^{2n}}$$





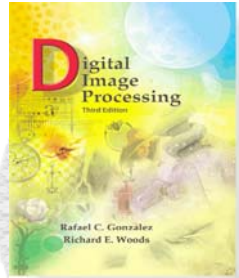
## Test Image: Gaussian HPF



a b c

**FIGURE 4.56** Results of highpass filtering the image in Fig. 4.41(a) using a GHPF with  $D_0 = 30, 60,$  and  $160,$  corresponding to the circles in Fig. 4.41(b). Compare with Figs. 4.54 and 4.55.

$$H(u, v) = 1 - e^{-\frac{D^2(u, v)}{2D_0^2}}$$

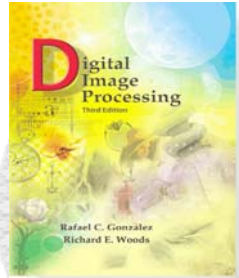


# HPF Mathematical Definitions

**TABLE 4.5**

Highpass filters.  $D_0$  is the cutoff frequency and  $n$  is the order of the Butterworth filter.

Ideal	Butterworth	Gaussian
$H(u, v) = \begin{cases} 1 & \text{if } D(u, v) \leq D_0 \\ 0 & \text{if } D(u, v) > D_0 \end{cases}$	$H(u, v) = \frac{1}{1 + [D_0/D(u, v)]^{2n}}$	$H(u, v) = 1 - e^{-D^2(u,v)/2D_0^2}$

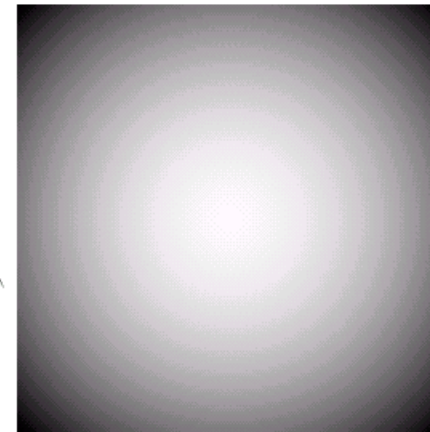
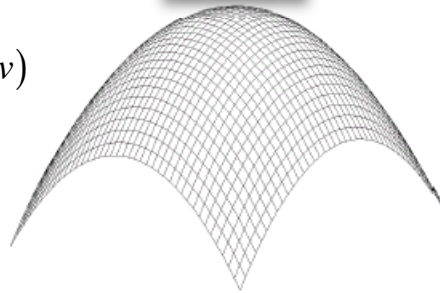


# Laplacian Frequency Domain Filter

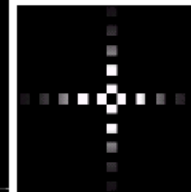
$$\mathcal{F} \left\{ \frac{\partial^2 f(x,y)}{\partial x^2} + \frac{\partial^2 f(x,y)}{\partial y^2} \right\} = (ju)^2 F(u,v) + (jv)^2 F(u,v)$$

$$\mathcal{F} \{ \nabla^2 f(x,y) \} = -(u^2 + v^2) F(u,v)$$

(0,0)



Note that the inverse Fourier transform of this filter is x-y oriented (no diagonals).

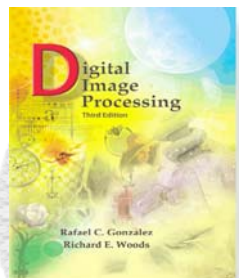


0	1	0
1	-4	1
0	1	0



a b  
c d e  
f

FIGURE 4.27 (a) 3-D plot of Laplacian in the frequency domain. (b) Image representation of (a). (c) Laplacian in the spatial domain obtained from the inverse DFT of (b). (d) Zoomed section of the origin of (c). (e) Gray-level profile through the center of (d). (f) Laplacian mask used in Section 3.7.



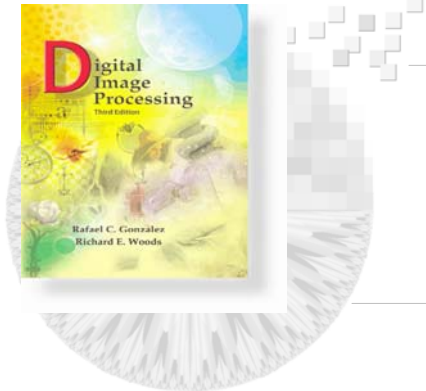
## HPF for Edge Detection



a b c

**FIGURE 4.57** (a) Thumb print. (b) Result of highpass filtering (a). (c) Result of thresholding (b). (Original image courtesy of the U.S. National Institute of Standards and Technology.)

Highpass filtering using a Butterworth filter to enhance ridges (high frequencies) and reduce effects of smudging (low frequencies). Since highpass filtering darkens the image thresholding is used to enhance the ridges.



# HPF Image Enhancement

## Unsharp masking

$$f_s(x, y) = f(x, y) - \bar{f}(x, y)$$

$$H_{hp}(u, v) = 1 - H_{lp}(u, v)$$



a b

**FIGURE 4.58**  
(a) Original, blurry image.  
(b) Image enhanced using the Laplacian in the frequency domain. Compare with Fig. 3.38(e).

## Sharpening

$$g(x, y) = f(x, y) - \nabla^2 f(x, y)$$

$$H(u, v) = 1 - \left[ \left( u - \frac{M}{2} \right)^2 + \left( v - \frac{N}{2} \right)^2 \right]$$





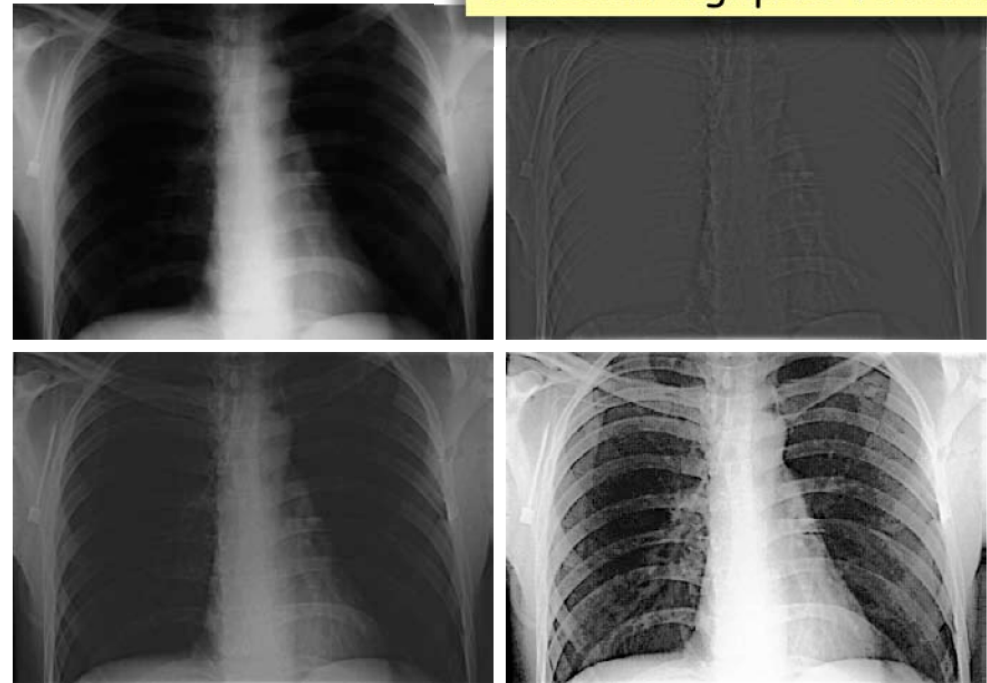
# High-Frequency Emphasis

$$H_{hb}(u, v) = (A - 1) + H_{hp}(u, v)$$

$$H_{hfe}(u, v) = a + bH_{hp}(u, v)$$

High-frequency emphasis using same filter

Gaussian highpass filtering

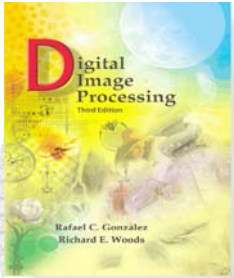


a b  
c d

Histogram equalized

**FIGURE 4.59** (a) A chest X-ray image. (b) Result of highpass filtering with a Gaussian filter. (c) Result of high-frequency-emphasis filtering using the same filter. (d) Result of performing histogram equalization on (c). (Original image courtesy of Dr. Thomas R. Gest, Division of Anatomical Sciences, University of Michigan Medical School.)





# Theory of Homomorphic Filtering

$$f(x, y) = i(x, y)r(x, y)$$

Separate image into incident (low frequency) and reflected (high frequency) components

$$z(x, y) = \ln(f(x, y)) = \ln i(x, y) + \ln r(x, y)$$

Use log to separate frequency components

$$\mathcal{F}\{z(x, y)\} = \mathcal{F}\{\ln i(x, y)\} + \mathcal{F}\{\ln r(x, y)\}$$

Fourier transform high and low frequency components

$$Z(u, v) = F_i(u, v) + F_r(u, v)$$

$$S(u, v) = H(u, v)Z(u, v) = H(u, v)F_i(u, v) + H(u, v)F_r(u, v)$$

Filter components

$$s(x, y) = i'(x, y) + r'(x, y)$$

$$g(x, y) = e^{s(x, y)} = e^{i'(x, y)} e^{r'(x, y)} = i_o(x, y)r_o(x, y)$$

Exponentiate to re-combine

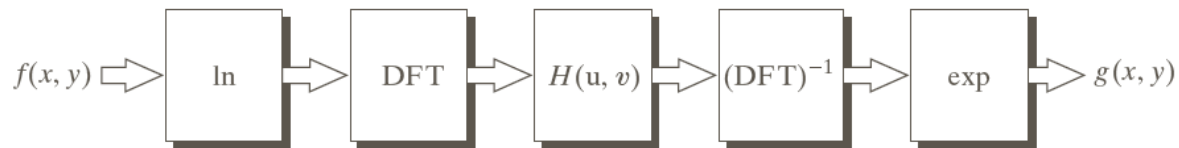
$$s(x, y) = \mathcal{F}^{-1}\{S(u, v)\} = \mathcal{F}^{-1}\{H(u, v)F_i(u, v)\} + \mathcal{F}^{-1}\{H(u, v)F_r(u, v)\}$$

Inverse transform



# Homomorphic Filtering

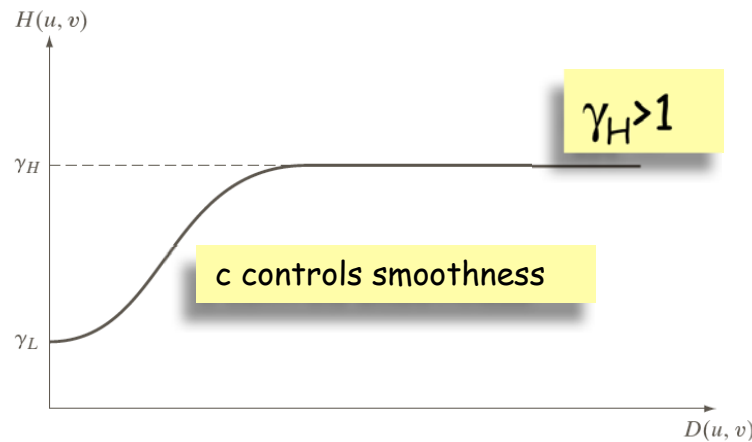
**FIGURE 4.60**  
Summary of steps  
in homomorphic  
filtering.



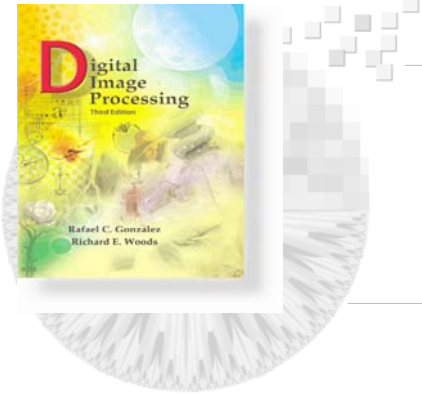
$$H(u, v) = (\gamma_H - \gamma_L) \left[ 1 - e^{-c \frac{D^2(u, v)}{D_0^2}} \right] + \gamma_L$$

Fourier transform  
and process high  
and low frequency  
components  
differently

$\gamma_L < 1$



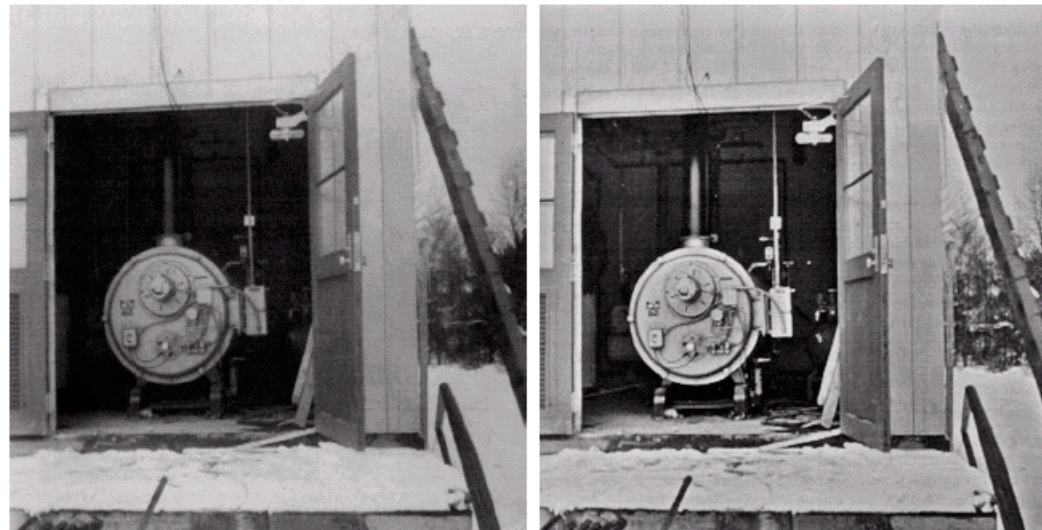
**FIGURE 4.61**  
Radial cross  
section of a  
circularly  
symmetric  
homomorphic  
filter function.  
The vertical axis is  
at the center of  
the frequency  
rectangle and  
 $D(u, v)$  is the  
distance from the  
center.

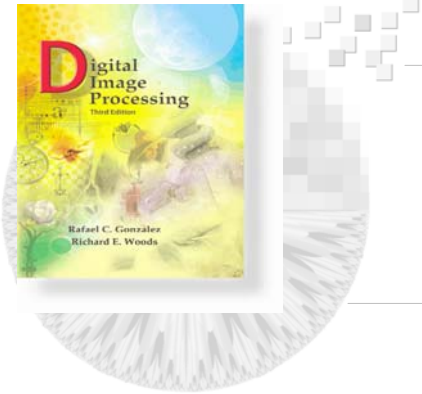


# Homomorphic Filtering

a b

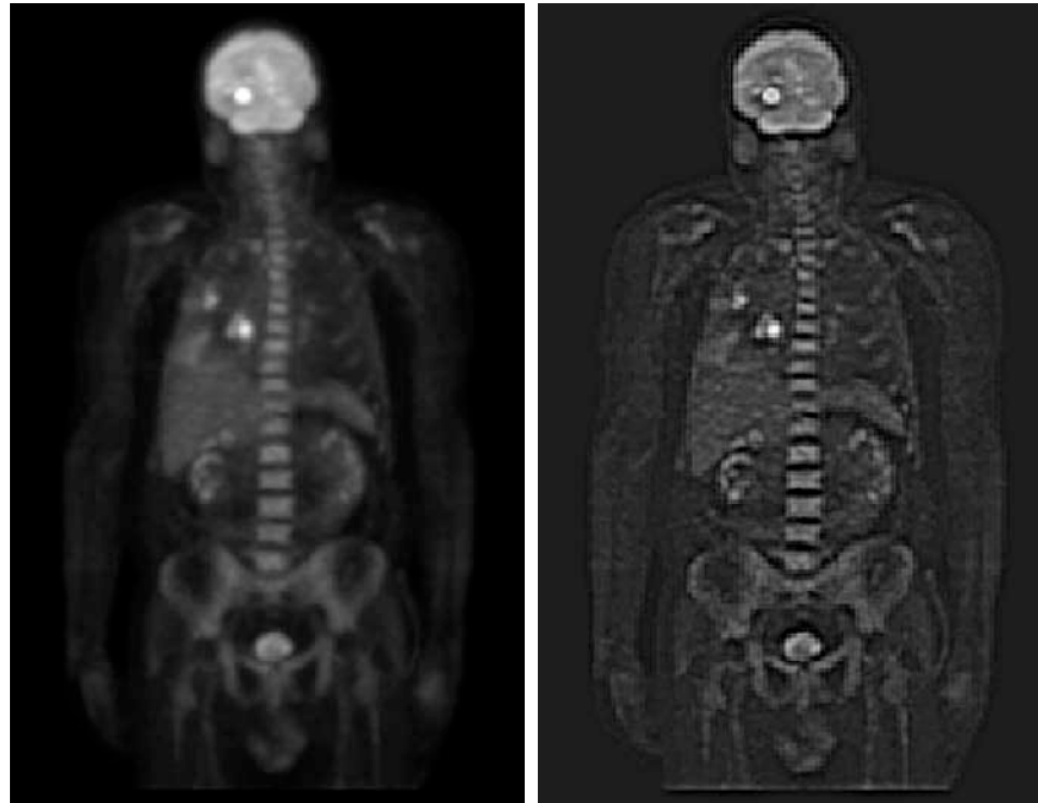
**FIGURE 4.33**  
(a) Original image. (b) Image processed by homomorphic filtering (note details inside shelter). (Stockham.)





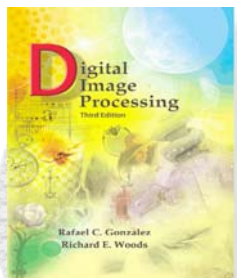
# Homomorphic Filtering

Reduce effects of low frequency hot spots



a b

**FIGURE 4.62**  
(a) Full body PET scan. (b) Image enhanced using homomorphic filtering. (Original image courtesy of Dr. Michael E. Casey, CTI PET Systems.)



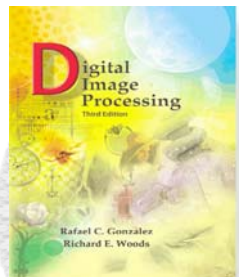
# Bandreject Filters

**TABLE 4.6**

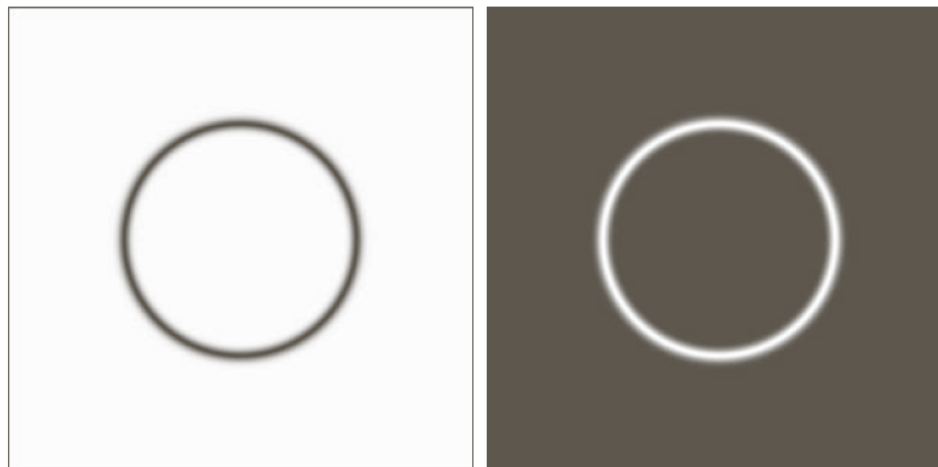
Bandreject filters.  $W$  is the width of the band,  $D$  is the distance  $D(u, v)$  from the center of the filter,  $D_0$  is the cutoff frequency, and  $n$  is the order of the Butterworth filter. We show  $D$  instead of  $D(u, v)$  to simplify the notation in the table.

Ideal	Butterworth	Gaussian
$H(u, v) = \begin{cases} 0 & \text{if } D_0 - \frac{W}{2} \leq D \leq D_0 + \frac{W}{2} \\ 1 & \text{otherwise} \end{cases}$	$H(u, v) = \frac{1}{1 + \left[ \frac{DW}{D^2 - D_0^2} \right]^{2n}}$	$H(u, v) = 1 - e^{-\left[ \frac{D^2 - D_0^2}{DW} \right]^2}$





# Bandreject/Bandpass Filters



a b

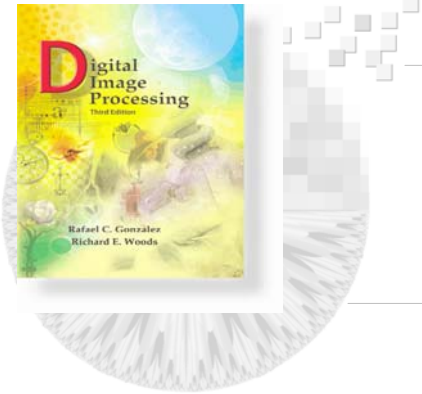
**FIGURE 4.63**

(a) Bandreject Gaussian filter.  
(b) Corresponding bandpass filter.  
The thin black border in (a) was added for clarity; it is not part of the data.

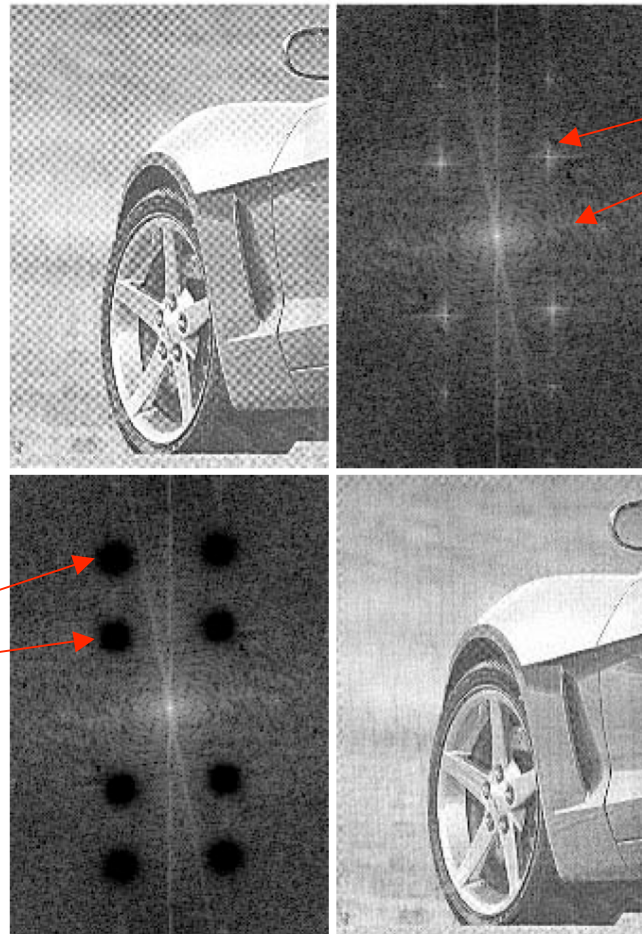
Bandreject

Bandpass





# Notch Filtering to Remove Periodic Noise



a b  
c d

**FIGURE 4.64**  
(a) Sampled newspaper image showing a moiré pattern. (b) Spectrum. (c) Butterworth notch reject filter multiplied by the Fourier transform. (d) Filtered image.

Frequency components (moire) due to halftone printing grid and scanning grid

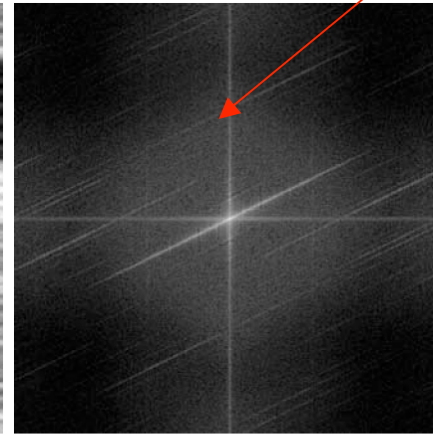
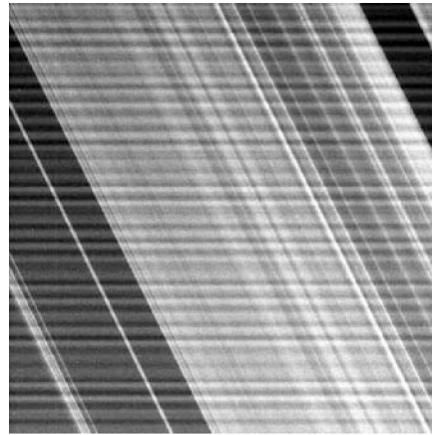
Butterworth notches to remove moire effects



# Notch Filtering

Strong periodic noise in y-direction

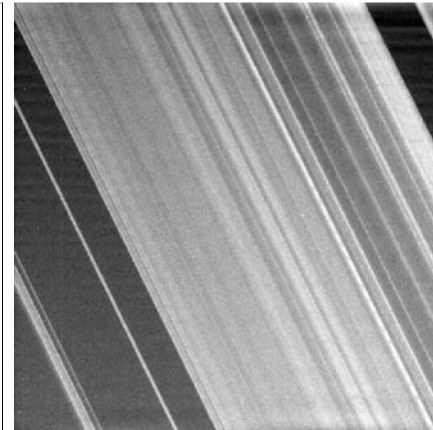
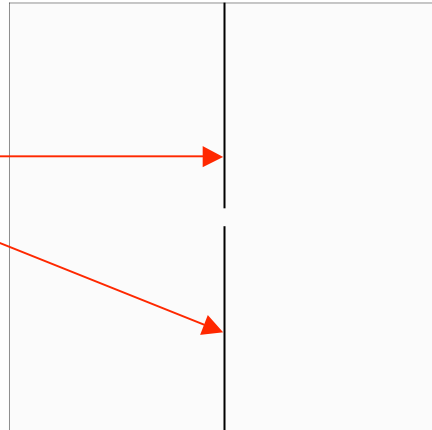
Image corrupted with 60Hz hum



a b  
c d

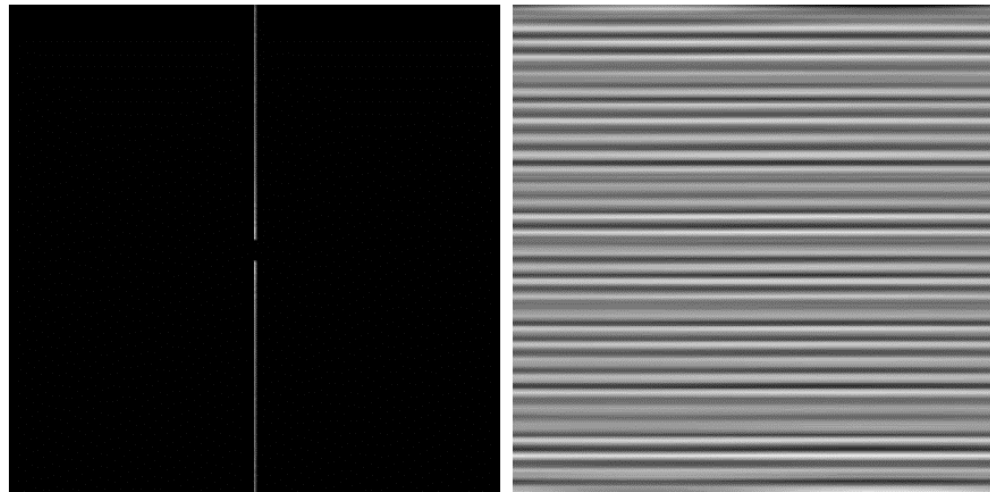
**FIGURE 4.65**  
(a)  $674 \times 674$  image of the Saturn rings showing nearly periodic interference. (b) Spectrum: The bursts of energy in the vertical axis near the origin correspond to the interference pattern. (c) A vertical notch reject filter. (d) Result of filtering. The thin black border in (c) was added for clarity; it is not part of the data. (Original image courtesy of Dr. Robert A. West, NASA/JPL.)

v-axis "notch" filter to remove periodic noise on vertical axis





# Notch Filtering



a b

**FIGURE 4.66**

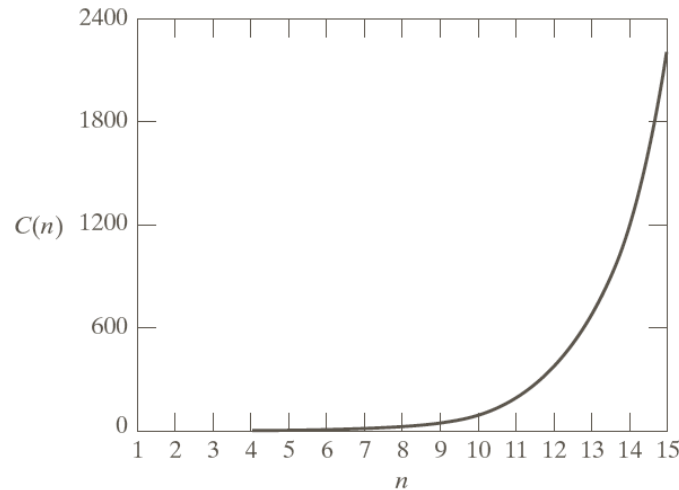
(a) Result of applying a notch pass filter to the DFT of Fig. 4.65(a).  
(b) Spatial pattern obtained by computing the IDFT of (a).

Convert the notch (reject) filter to a notch pass (invert it), filter the image, and inverse Fourier transform to reveal the nature of the noise.

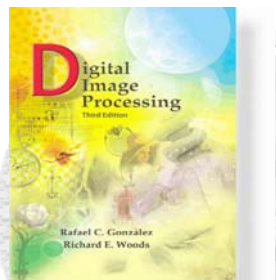


# FFT vs DFT Computational Advantage

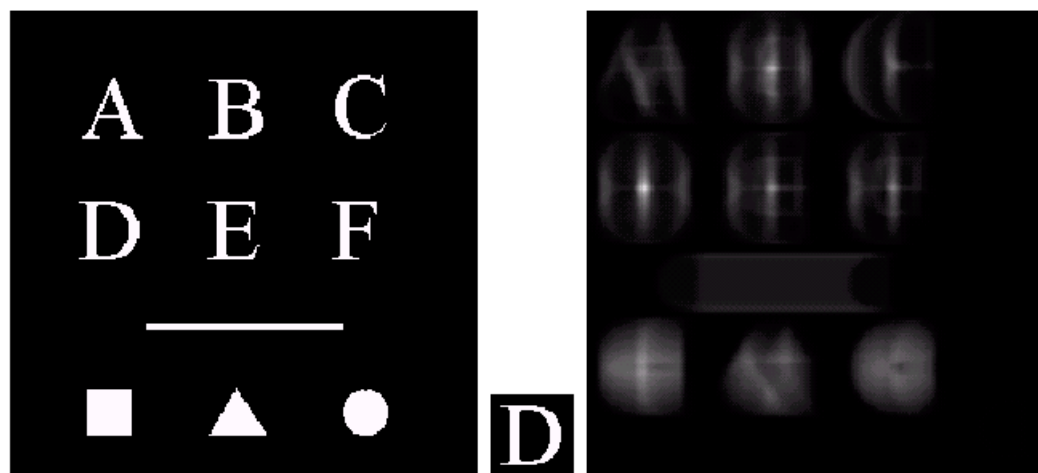
For large images you always want to use an FFT due to its large computational advantage.



**FIGURE 4.67** Computational advantage of the FFT over a direct implementation of the 1-D DFT. Note that the advantage increases rapidly as a function of  $n$ .



## Ch12 Object Recognition

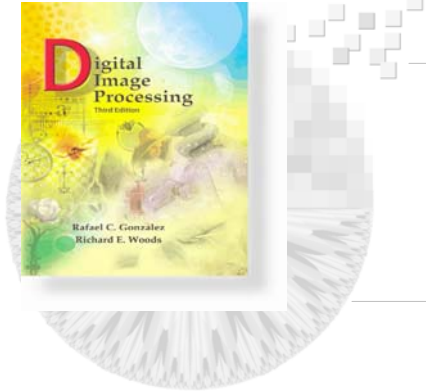


a b c

**FIGURE 12.9**

(a) Image.  
(b) Subimage.  
(c) Correlation coefficient of (a) and (b). Note that the highest (brighter) point in (c) occurs when subimage (b) is coincident with the letter "D" in (a).





## Correlation

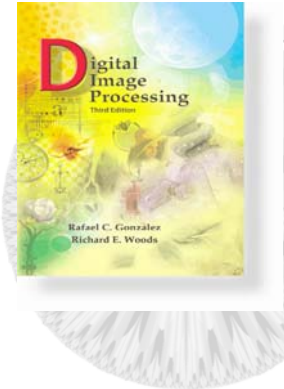
- Convolution

$$f_2(m, n) = \sum_{i=0}^{M-1} \sum_{j=0}^{N-1} f_1(i, j)h(m - i, n - j)$$

- Correlation

$$f_2(m, n) = \sum_{i=0}^{M-1} \sum_{j=0}^{N-1} f_1(i, j)h(m + i, n + j)$$



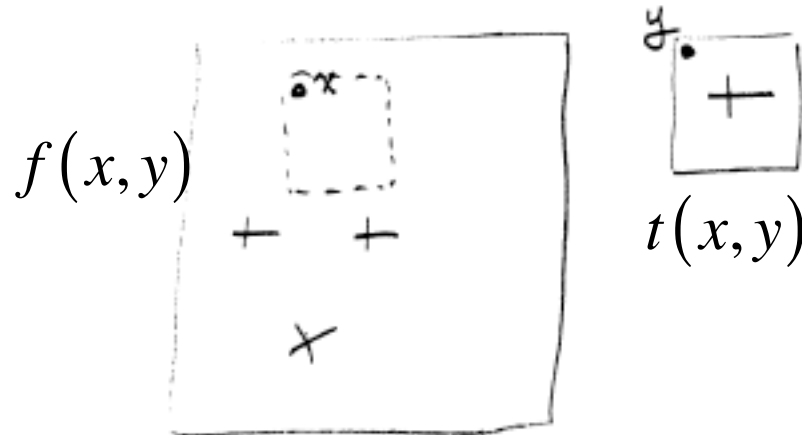


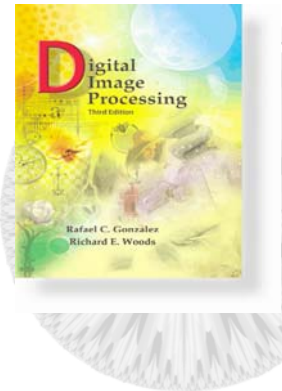
## How to find objects of interest in an image

- Define an Euclidian distance  $d^2(\underline{y})$

$$d^2(\underline{y}) = \sum_{\underline{x}} [f(\underline{x}) - t(\underline{x} - \underline{y})]^2 = \sum_{\underline{x}} [f^2(\underline{x}) - 2f(\underline{x})t(\underline{x} - \underline{y}) + t^2(\underline{x} - \underline{y})]$$

- The first term can be nearly constant depending upon the spatial uniformity of the image
- The second term is the cross correlation function
- The third term is the energy of the template, a constant.





# False errors from correlation

Example:

1	1	1
1	1	1
1	1	1

template

Noisy object

1	1	0	0	0
1	1	1	0	0
1	0	1	0	0
0	0	0	0	0
0	0	0	0	8

Large noise spike

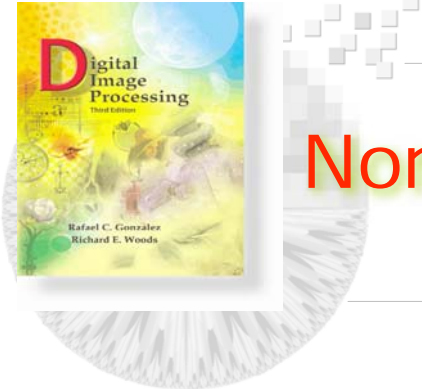
Correlation

7	4	2	x	x
5	3	2	x	x
2	1	9	x	x
x	x	x	x	x
x	x	x	x	x

correct best response

error due to large noise

x = undefined



## Normalize to prevent false responses

- Images to be matched

$$f_1(\underline{x}) \quad f_2(\underline{x})$$

- Patches to be matched

$$q_1 \quad q_2$$

- Variances

$$\sigma(q_1) = \sqrt{E(q_1^2) - E^2(q_1)} \quad \sigma(q_2) = \sqrt{E(q_2^2) - E^2(q_2)}$$

- Normalized correlation

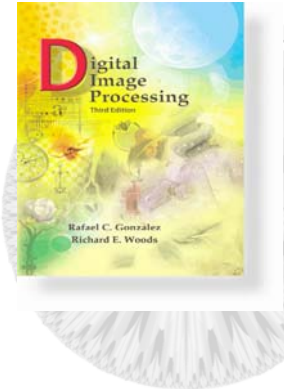
$$N(\underline{y}) = \frac{E(q_1 q_2) - E(q_1)E(q_2)}{\sigma(q_1)\sigma(q_2)}$$

Typically we can think of  $q_1$  as the template, i.e., all of  $f_1$ , and  $q_2$  the section of  $f_2$  covered by the displaced  $q_1$



## Sequential Similarity Detection Algorithm

- Correlation 
$$\phi_{ab}(\underline{y}) = \sum_{i,j} a_{ij}(\underline{x}) b_{ij}(\underline{x} - \underline{y})$$
- If a and b are highly correlated, this summation will be essentially all 1's yielding a large result. Threshold this summation after say 10 terms. If this sum is less than T (the threshold) it is probably uncorrelated and the summation will stop.



## Moravec Interest Operator

(produce candidate interest points based upon image activity)

- Define the variance operator

$$Var(\underline{x}) = Var(x, y) = \sqrt{\sum_{k, l \in S} [f(x, y) - f(x+k, y+l)]^2}$$

The region S is a local region defined to fit the application.

- Algorithm:
  1. Find the local minimum of the variance

$$IntOpVal(\underline{x}) := \min_{|\underline{y}| \leq 1} [Var(\underline{x} + \underline{y})]$$

Interest is minimum of variance in some small region

- 2. Find local maxima

$$IntOpVal(\underline{x}) := 0 - \text{unless} - IntOpVal(\underline{x}) \geq IntOpVal(\underline{x} + \underline{y}) \text{ for } |\underline{y}| \leq 1$$

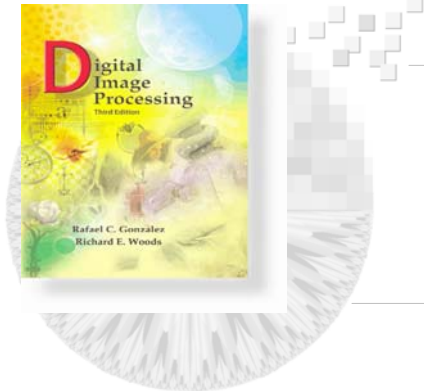
- 3. Interesting point if

Nonzero only if the activity is a local maximum

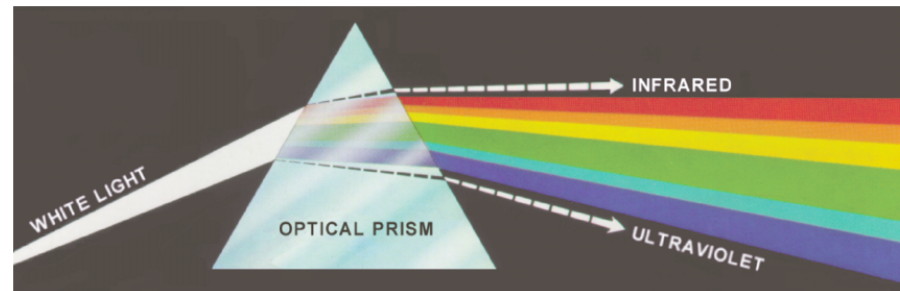
$$IntOpVal(\underline{x}) > T$$

Threshold to get really interesting points





# White Light

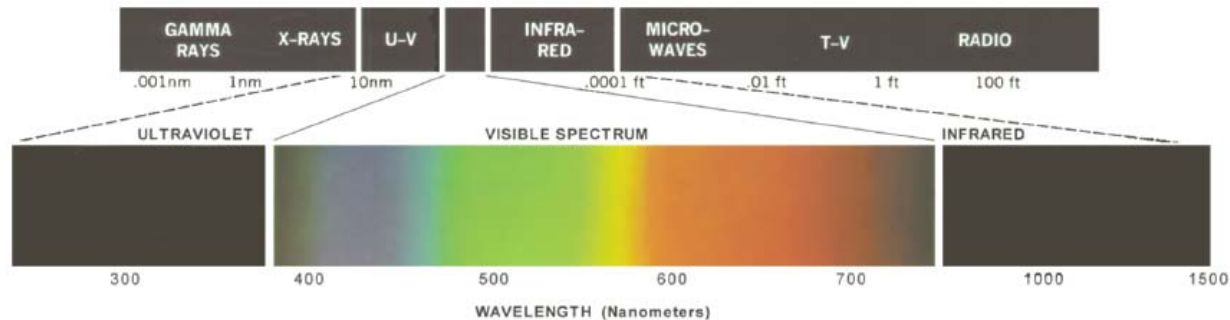


**FIGURE 6.1** Color spectrum seen by passing white light through a prism. (Courtesy of the General Electric Co., Lamp Business Division.)

A prism splits white light into its component colors.



## Optical Units



**FIGURE 6.2** Wavelengths comprising the visible range of the electromagnetic spectrum. (Courtesy of the General Electric Co., Lamp Business Division.)

### Radiometric units:

Radiance (watts/sr/m<sup>2</sup>) — total energy emitted by a light source

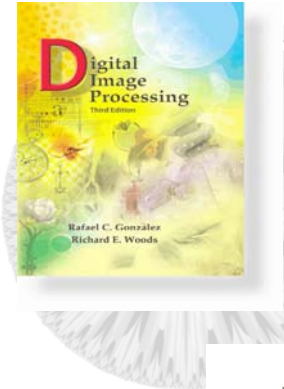
Irradiance (watts/m<sup>2</sup>) — total energy incident on a surface source

### Photometric units:

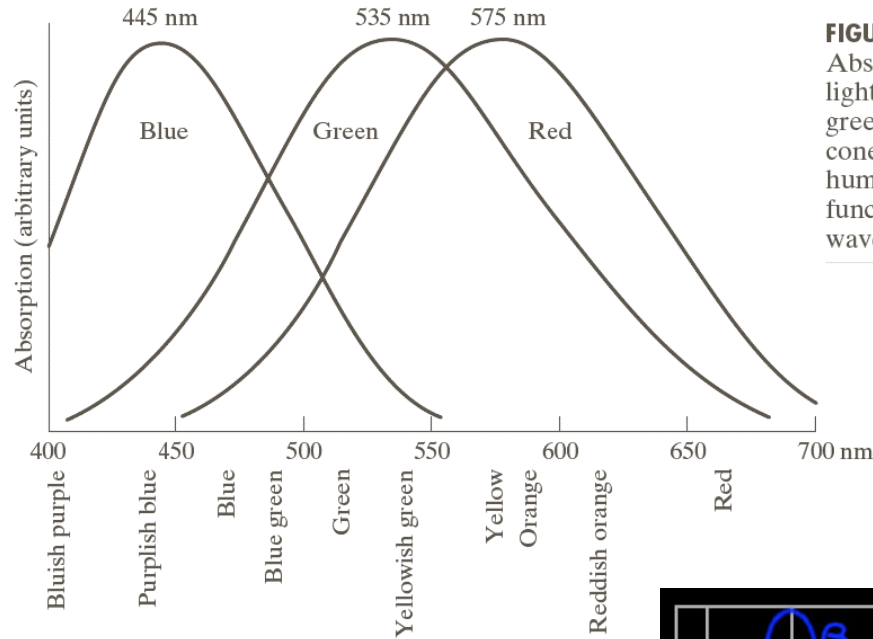
Luminous flux (lumens) — similar to radiance except corrected for wavelength sensitivity of the human eye

Candela — power emitted by a light source in a particular direction corrected for wavelength sensitivity of the human eye

Luminance (candela/m<sup>2</sup>) — incoming energy as measured by a detector

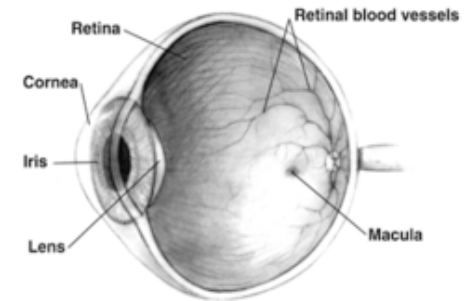


# Human Eye



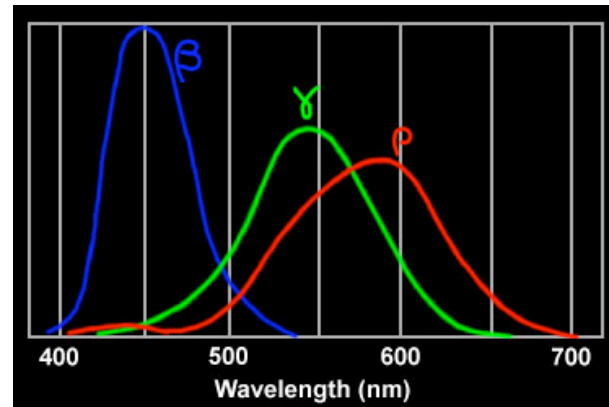
**FIGURE 6.3** Absorption of light by the red, green, and blue cones in the human eye as a function of wavelength.

The cones provide all the color sensitivity and are concentrated near the macula.



Relative color sensitivity of the cones.

65% of cones are red sensitive; 33% are green sensitive; 2% are blue sensitive.



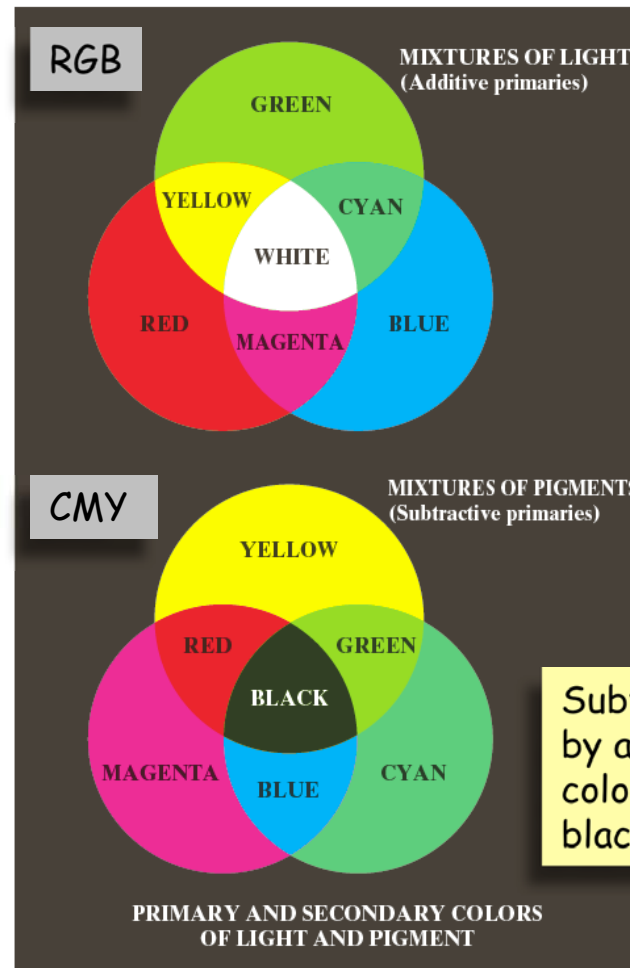


# Primary/Secondary Colors

(Primary) additive colors operate in transmission such as found in televisions and computer monitors

(Secondary) subtractive colors operate in reflection such as found in printing

For example, yellow absorbs blue and reflects red+green=yellow



a  
b

**FIGURE 6.4** Primary and secondary colors of light and pigments. (Courtesy of the General Electric Co., Lamp Business Division.)

Subtractive colors operate by absorbing a primary color. They usually require black.