

# Region-based Segmentation

## Overview of segmentation - Sonka

### Chap. 5

Segmentation - divide an image into parts that have a strong correlation with objects or areas of the real world contained in the image

complete - results in a set of disjoint regions corresponding uniquely with objects in the input image

partial - regions do not correspond with image objects directly

complete requires cooperation with higher level processing levels which use domain specific knowledge

but there is a whole class of segmentation problems that can be solved using lower-level processing -

- usually high-contrast objects on a uniform background
- can obtain complete segmentation using global processing

partial - divide an image into regions that are homogeneous with respect to a chosen property such as brightness, color, etc.

partially segmented images typically are input to stages which use domain knowledge and object-specific models.

### types of segmentation methods

- global knowledge - typically histogram based

- edge-based

- edge image thresholding
- edge relaxation
- border tracing -
- graph searching
- dynamic programming (optimization)
- Hough transform
- a priori border location info - divide & conquer from endpoints
- region construction from borders.

- region-based

- merging
- splitting
- split-merge
- watershed
- matching

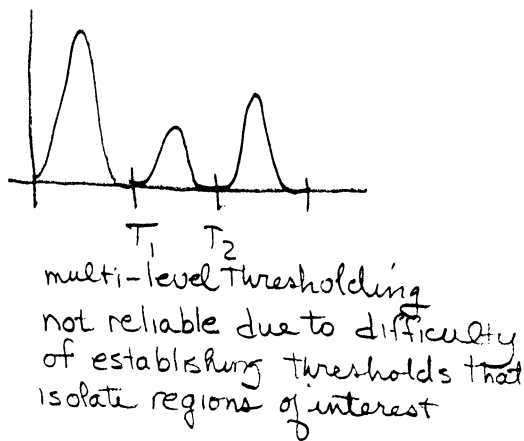
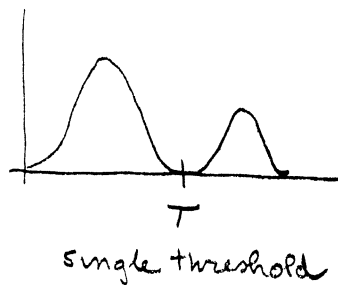
other  
template matching  
texture

duals  
since boundary encloses a region and vice versa

- 7.3 Thresholding
- 7.4 Region-Oriented Segmentation
- 7.5 Motion in Segmentation

7.3 Thresholding - one of the most important approaches to segmentation

7.3.1. Foundation



$$\text{thresholded image } q(x,y) = \begin{cases} 1 & \text{if } f(x,y) > T & \text{objects} \\ 0 & \text{if } f(x,y) \leq T & \text{background} \end{cases}$$

global when  $T$  depends only on  $f(x,y)$ , the gray level at  $(x,y)$

local when  $T$  depends on  $f(x,y)$  and  $p(x,y)$ , some local property such as average gray level of neighborhood centered at  $(x,y)$ .

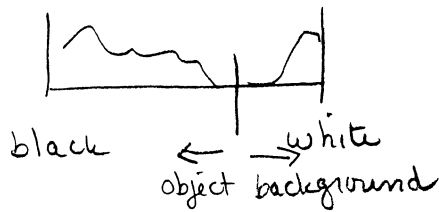
dynamic  $T$  depends on  $f(x,y)$ ,  $p(x,y)$  and is a function of  $(x,y)$ .

### 3.2.1. Automatic Thresholding

should use as much knowledge as possible

- intensity characteristics of objects
- object sizes
- fractions of an image occupied by an object
- # of different types of objects in image

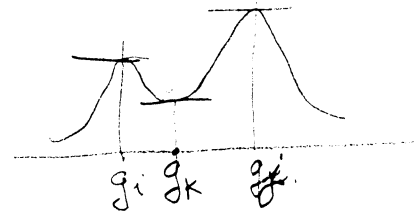
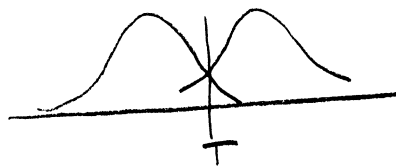
automatic thresholding  
use histogram and object knowledge to select best threshold.



#### P-tile method

if object occupies  $p\%$  of image area: pick Threshold  
so that  $p\%$  of pixels assigned to object

#### mode - method



#### Algorithm 3.1 Peakiness Algorithm

1. Find two highest local maxima in the histogram that are at some minimum distance apart. Suppose these occur at gray values  $g_i \neq g_j$
2. Find the lowest  $\dagger$  point  $g_k$  in the histogram  $H$  between  $g_i$  and  $g_j$
3. Find the peakiness, defined as  $\frac{\min[H(g_i), H(g_j)]}{H(g_k)}$
4. Use the combination  $(g_i, g_j, g_k)$  with highest peakiness to threshold the image. The value  $g_k$  is a good threshold to separate objects corresponding to  $g_i$  and  $g_j$

## Iterative thresholding

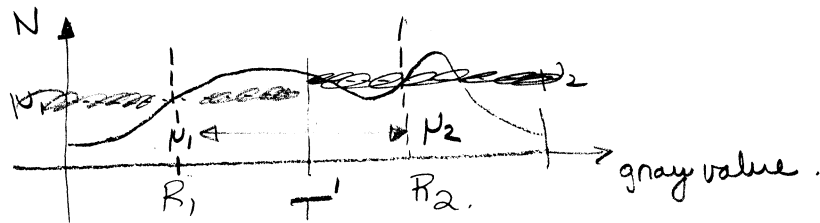
start with some approx. threshold.

use some image (object) property to update this estimate,  
i.e. area of object is a good one

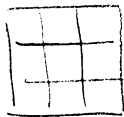
### Algorithm 3.2 Iterative Threshold Selection

1. Select an initial estimate of the threshold  $T$ . Usual initial guess is average intensity of image.
2. Partition image into two groups,  $R_1$  and  $R_2$ , using threshold  $T$
3. Calculate mean gray values  $\mu_1$  and  $\mu_2$  of partitions  $R_1$  and  $R_2$ .
4. Select a new threshold  

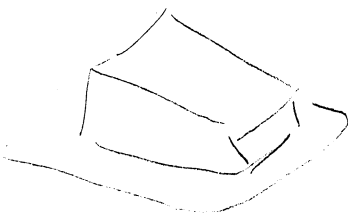
$$T' = \frac{1}{2} (\mu_1 + \mu_2)$$
5. Repeat 2 to 4 until mean values  $\mu_1$  and  $\mu_2$  in successive iterations do not change.



## Adaptive Thresholding . . . bad lighting, shadows, direction of illumination



partition into  $m \times n$  subimages.

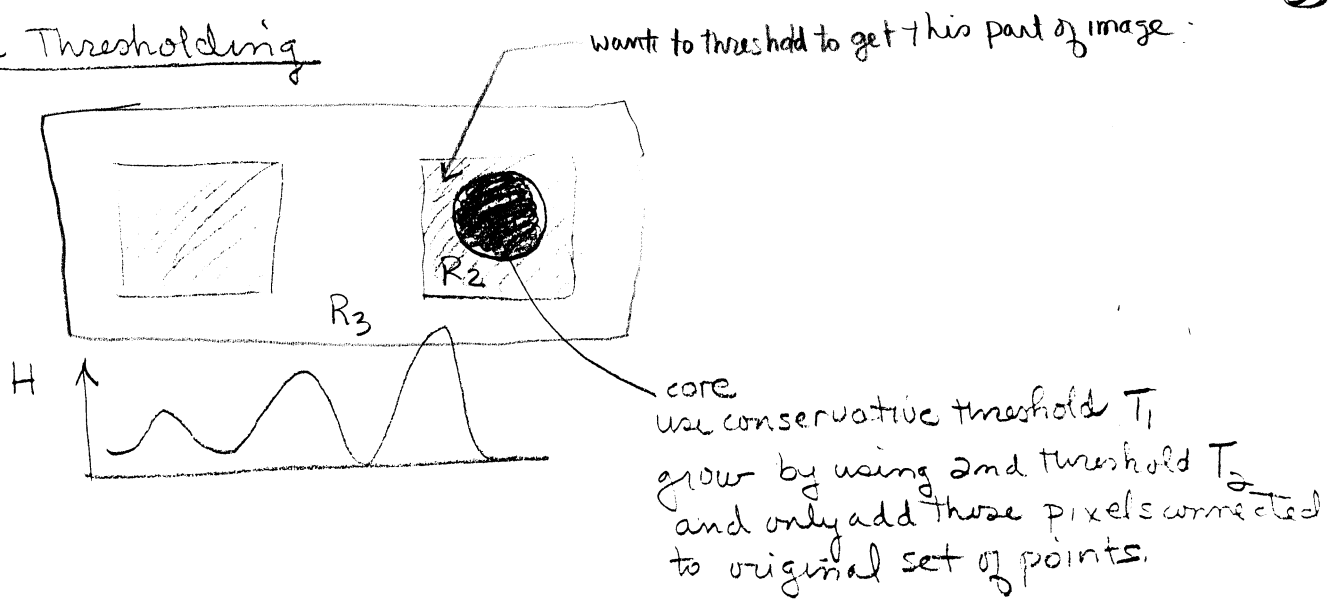


background normalization

fit function to gray scale values

difficult to threshold.

# Double Thresholding



## Algorithm 3.1

1. Select the two thresholds  $T_1$  and  $T_2$
2. Partition image into three regions:
 

$R_1$	$g < T_1$
$R_2$	$T_1 \leq g \leq T_2$
$R_3$	$g > T_2$
3. Examine all  $p \in R_2$ . If  $p$  has a neighbor in  $R_1$ , then assign  $p$  to  $R_1$ .
4. Repeat 3 until no pixels change assignment.
5. Assign any pixels left in region  $R_2$  to region  $R_3$ .

### 3.2.2. Limitations of histogram methods in general

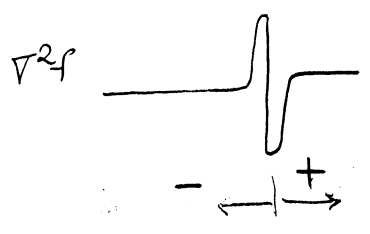
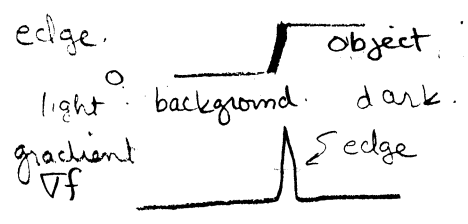
- only useful for objects with constant gray values, i.e. no lighting problems.
- histogram does not use spatial information  
     histogram is global
- does not exploit ~~spatial~~ "surface coherence", i.e. connectivity of points

### 7.3.5. Threshold Selection Based On Boundary Characteristics

You can't use thresholding for segmentation unless you get good bimodal peaks. How to improve histogram shapes?

- (1) consider only pixels on or near object/background boundary, BUT how do you find boundary in first place  
 Compute gradient or Laplacian of original gray scale image  
 Form new three-level image according to

$$s(x,y) = \begin{cases} 0 & \text{if } \nabla f < T \text{ not much change so ignore} \\ + & \text{if } \nabla f \geq T \text{ and } \nabla^2 f \geq 0 \text{ pixels on/light side of an edge} \\ - & \text{if } \nabla f \geq T \text{ and } \nabla^2 f < 0 \text{ pixels on dark side of edge.} \end{cases}$$



interior of object all zero, possibly +, but only - denotes edge from object to background.

(0 0 0) (-, +) (0 or +) (+, -) (...)

any combination

background to object transition. this is an edge.

object to background transition. this is another edge.

this might then be line of an image.

### 7.3.6. Thresholds based on Several Variables

RGB — do a 3D histogram

then do clustering in 3-D color space.

can also use HSI

hue, saturation, intensity

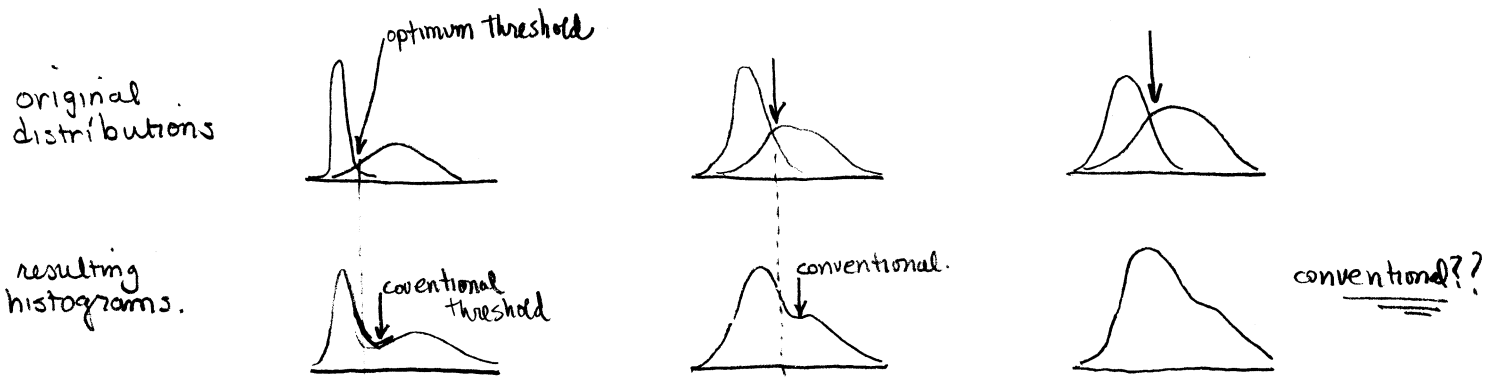
other thresholding techniques

use a band-thresholding to detect borders.

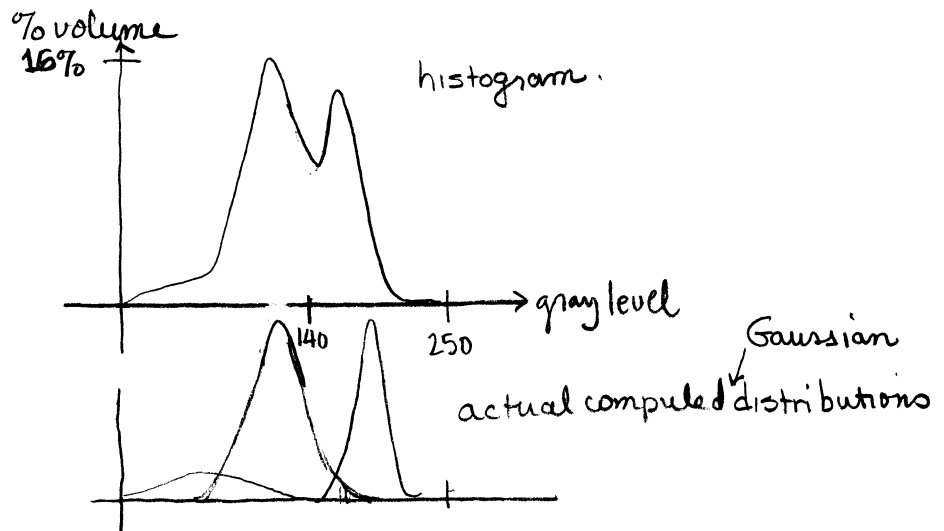
optimal thresholding

represent a histogram as a sum of probability density functions and optimize some aspect of a two-distribution mix

- minimum error segmentation - minimize # of pixels misclassified
- maximize gray-level variance between object and background (Otsu)



Example: segment 3-D T1-weighted MRI images into white matter (WM), gray matter (GM), and cerebro-spinal fluid (CSF)





### 7.3.3 Simple Global Thresholding good in highly controlled environments

### 7.3.4. Optimal Thresholding

uses probability density functions

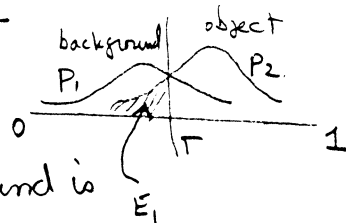
assume histogram  $\sim$  brightness pdf  $p(z)$ .

Imagine image as sum (mixture) of two densities (objects), one bright and one dark.

$$p(z) = P_1 p_1(z) + P_2 p_2(z).$$

where  $P_1, P_2$  are the a priori class probabilities and  $P_1 + P_2 = 1$ .

$$p(z) = \frac{P_1}{\sqrt{2\pi}\sigma_1} e^{-\frac{(z-\mu_1)^2}{2\sigma_1^2}} + \frac{P_2}{\sqrt{2\pi}\sigma_2} e^{-\frac{(z-\mu_2)^2}{2\sigma_2^2}}$$



Probability of (erroneously) classifying object as background is

$$E_1(T) = \int_{-\infty}^T P_2(z) dz$$

Probability of <sup>erroneously</sup> classifying background as object is

$$E_2(T) = \int_T^{\infty} P_1(z) dz.$$

prob. object  
prob. error in classifying object  
as background.

Overall probability of error is  $E(T) = P_2 E_1(T) + P_1 E_2(T)$ .

Optimum error when  $\frac{\partial E(T)}{\partial T} = 0$ .

$$\text{i.e. } P_1 p_1(T) = P_2 p_2(T).$$

For gaussian case when

$$AT^2 + BT + C = 0 \quad \text{where}$$

$$A = \sigma_1^2 - \sigma_2^2$$

$$B = 2(\mu_2 \sigma_2^2 - \mu_1 \sigma_1^2)$$

$$C = \sigma_1^2 \mu_2^2 - \sigma_2^2 \mu_1^2 + 2\sigma_1^2 \sigma_2^2 \ln \left( \frac{\sigma_2 P_1}{\sigma_1 P_2} \right)$$

Good in theory, not in practice since distributions not generally known.

Problem is that you have only the "mix" histogram  $h(z)$  and must use it to estimate the mix pdf  $p(z) = P_1 p_1(z) + P_2 p_2(z)$

i.e. find parameters which minimize

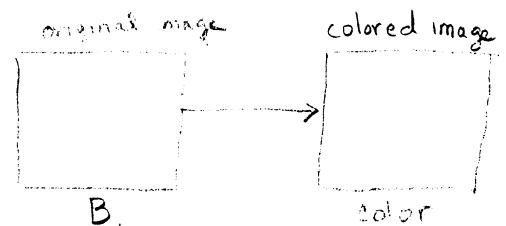
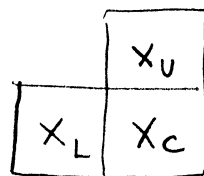
$$e_{\text{mix}} = \frac{1}{n} \sum_{i=1}^n |p(z_i) - h(z_i)|^2$$

labeling

Simplest algorithm  
"blob" coloring

given a binary image containing four-connected blob's of 1's on a background of 0's, assign each blob a different label, i.e. color.

Scan the image left to right, top to bottom with the L-shaped template shown below.



Algorithm 5.1 (Ballard & Brown).

Let the initial color,  $k=1$ . Scan  $L \rightarrow R$ , top to bottom.

If  $B[x_c] = 0$  then continue

else

begin

if  $B[x_u] = 1$  and  $B[x_L] = 0$  then  $color(x_c) := color(x_u)$ .

if  $B[x_L] = 1$  and  $B[x_u] = 0$  then  $color(x_c) := color(x_L)$

if  $B[x_L] = 1$  and  $B[x_u] = 1$  then

begin

$color(x_c) := color(x_L)$

$color(x_L)$  is equivalent to  $color(x_u)$

end

if  $B[x_L] = 0$  and  $B[x_u] = 0$ .

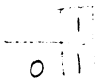
then begin

$color(x_c) := k$ ;  $k := k+1$

end.

comment: new color

end.

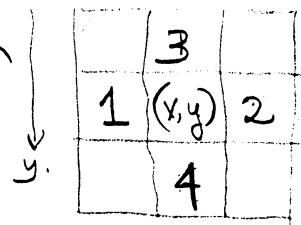
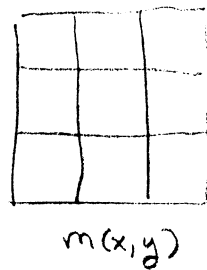


# Recursive region growing (Snyder)

label memory  $m(x, y)$   
 picture memory  $p(x, y)$

label memory

picture memory  $\rightarrow x$



Examine pixels in this order, around each pixel.

Initial color  $N := 0$

Repeat until no unlabeled  $\langle x, y \rangle$  for which  $B[x, y] = 1$

Find a  $\langle x, y \rangle$  which has  $B[x, y] = 1$  and  $m(x, y) = 0$ . \*  $m=0$  unlabeled  
 $p=0$  "black"

Push  $\langle x, y \rangle$  onto stack. Color  $N := N + 1$ .

While stack not empty

Begin

Pop  $\langle x, y \rangle$  from stack.

If  $B[x-1, y] = 1$  and  $m(x-1, y) = 0$   
 push  $\langle x-1, y \rangle$  onto the stack.

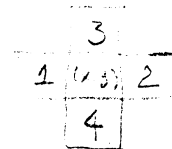
If  $B[x+1, y] = 1$  and  $m(x+1, y) = 0$   
 push  $\langle x+1, y \rangle$  onto the stack.

If  $B[x, y-1] = 1$  and  $m(x, y-1) = 0$   
 push  $\langle x, y-1 \rangle$  onto the stack.

If  $B[x, y+1] = 1$  and  $m(x, y+1) = 0$   
 push  $\langle x, y+1 \rangle$  onto the stack.

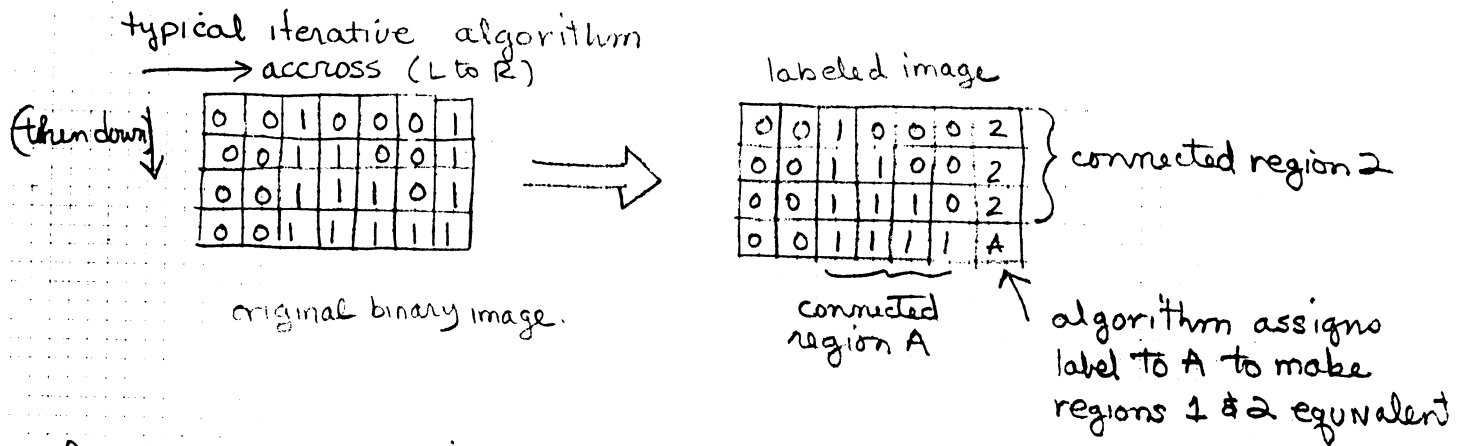
$m(x, y) := N$   
 end.

this algorithm has problems with equivalences.



check around  $\langle x, y \rangle$  in this order. As soon as you find unlabeled pixel push onto stack check all then pop.

## 2.3.2 Connected components algorithm



How to compare algorithms

1. what label should be assigned to A?
2. How does algorithm keep track of equivalence of two (or more labels)?
3. How does algorithm use equivalence information?

### 2.3.3. An Iterative algorithm

procedure Iterate

/\* initialize each 1-pixel to a unique value label

for L=1 to NLINES do

for P:=1 to NPIXELS do

if I(L,P)=1

then LABEL(L,P) := NEWLABEL() else LABEL(L,P) := 0

end for

end for

/\* iteration of top-down pass followed by bottom up pass \*/  
repeat till no changes.

/\* top-down pass

CHANGE := false;

for L:=1 to NLINES do

for P:=1 to NPIXELS do

if LABEL(L,P) <> 0 then

begin

M := MIN (LABELS (NEIGHBORS (L,P)) U (L,P));

if M <> LABEL(L,P) then CHANGE := true;

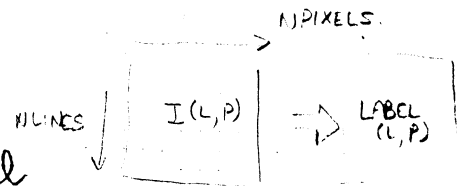
LABEL(L,P) := M

end

end for

end for;

basically repeat for bottom-up pass.  
except starts at lower right.



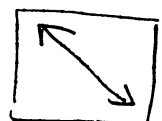
1	2
3	4

returns the minimum label

returns the set of labels

returns set of already-labeled neighbors on its own line or above

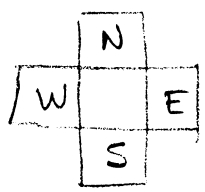
including current pixel



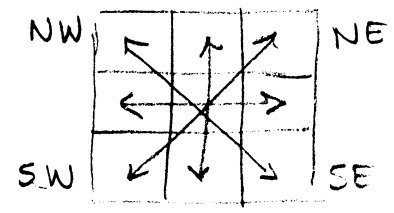
until no change

definitions and issues in computer vision

two 1-pixels  $p$  &  $q$  belong to same connected component  $C$  if there is a sequence of 1-pixels  $(p_0, p_1, \dots, p_n) \in C$  where  $p_0 = p$ ,  $p_n = q$  and  $p_i$  is a neighbor of  $p_{i-1}$  for  $i=1, \dots, n$



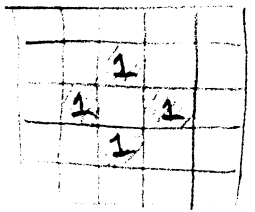
4-connected



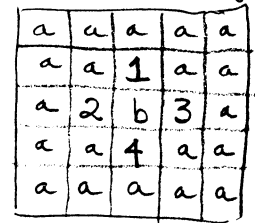
neighbors of a pixel are adjacent to that pixel.

border of a connected component of 1-pixels is the subset of pixels belonging to  $C$  that is also adjacent to  $\phi$ -pixels.

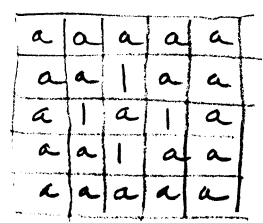
same image



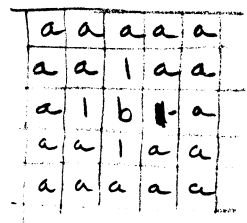
4-connected object & background.



8 connected object & background.



8 connected 1-pixels (object)  
4 connected  $\phi$ -pixels (background).



letters - background objects

numbers - foreground objects

This leads to Minkowski set algebra.  
Euler number  
Image morphology.

# Euler number & Connectivity (Hom)

$$\text{Euler \#} \stackrel{\Delta}{=} \# \text{ of objects} - \# \text{ of holes}$$

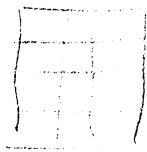
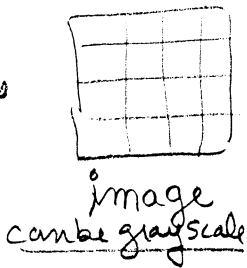
a concavity is NOT a complete hole.

Probably in next lecture.

### 3.3. Region Representation

- array representations
- hierarchical representations
- symbolic representations

array representations

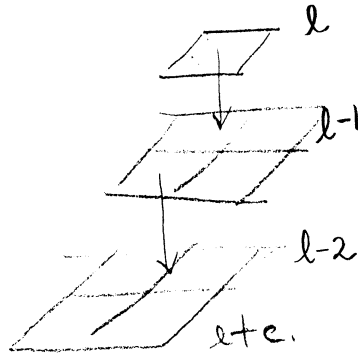


(1) label or region image - already talked about from connected component analysis

(2) multiple membership arrays  
each one is a binary mask which can be overlaid on original image allows multiple memberships

hierarchical representations

Pyramid



pixel at level  $l$  combines info. from multiple pixels at level  $l-1$

This is what is used for generating Thumbnail sketches (images) for photoshop, etc.

quad trees

(next page)

variable resolution - just like a pyramid but only continues until all pixels in region are uniform

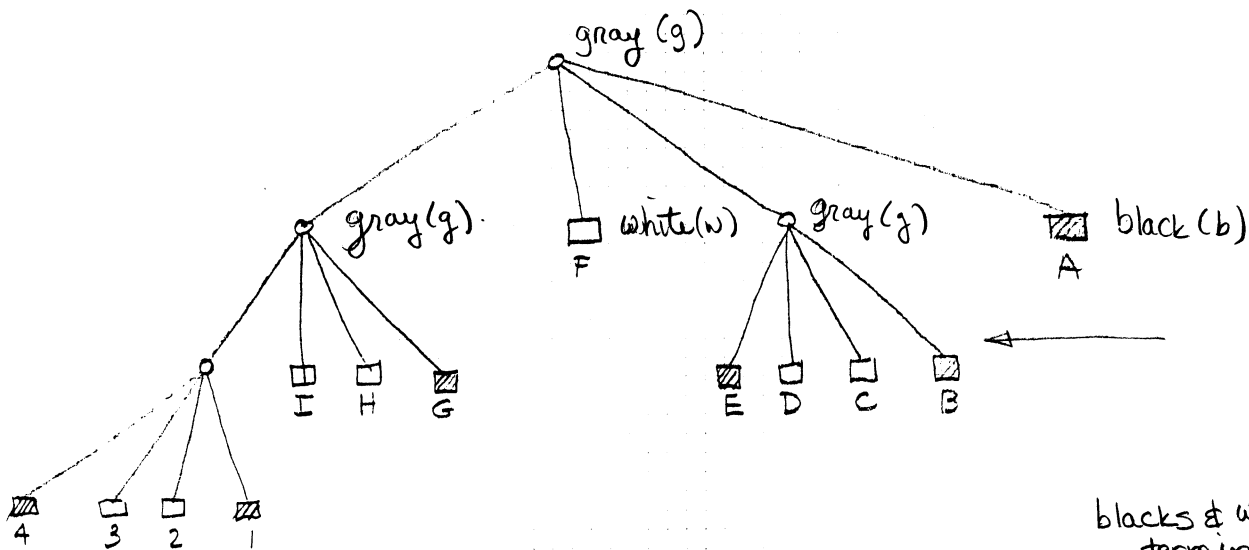
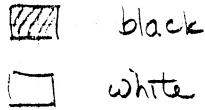
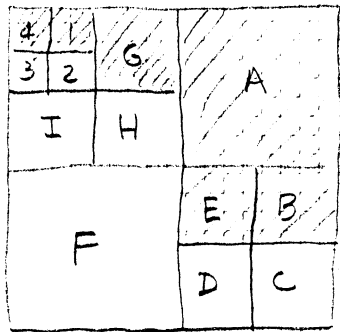
Symbolic representations

- enclosing rectangles
- centroid
- moments
- Euler #'s.

# quad-tree representation

(how to represent shape of objects)

alternative to run-length encoding



blacks & whites are terminal  
grays recurse.

code gbgbwbbwgbbwgbwbb

decode g (bg (bwbb) w g (bbwg (bbwb)))

→ always in groups of four

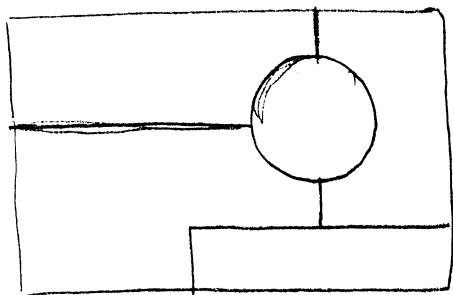
## quad-tree representation

- more efficient in terms of compression than RLE
- perimeters & moments more difficult computing

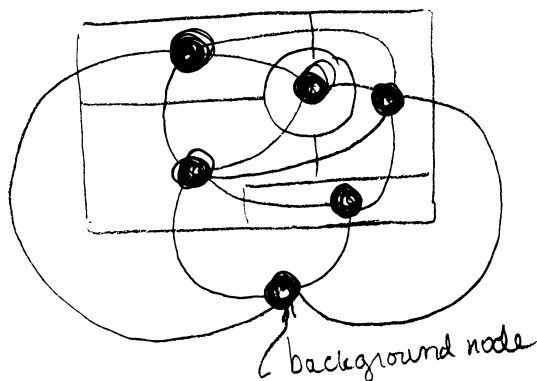
Ref: H. Samet, "Region Representation: Quadrees from Boundary Codes,"  
Comm. ACM 23 (March 1980): 163-170



# Region Adjacency Graphs

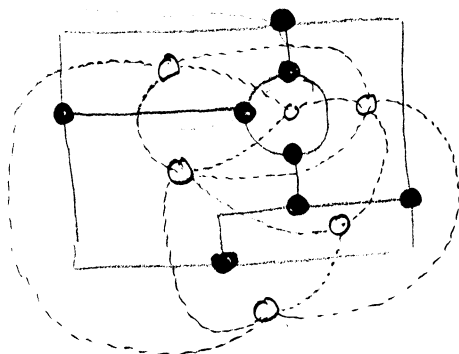


Nodes - represent regions  
arcs between nodes represent common boundaries



Region adjacency graph.

this is the dual graph  
nodes represent boundaries  
arcs represent regions



label array

## Constructing the Region Adjacency Graph from a labeled array.

1. Scan the membership array  $a$  and perform the following steps at each pixel index  $[i, j]$
2. Let  $r_1 = a[i, j]$  region 1. i.e. look at the label
3. Visit the neighbors  $[k, l]$  of the pixel at  $[i, j]$   
For each neighbor of  $[i, j]$  perform 4.
4. Let  $r_2 = a[k, l]$ . <sup>label of region 2</sup> If  $r_1 \neq r_2$ , add an arc between nodes  $r_1$  and  $r_2$  in the region adjacency graph.

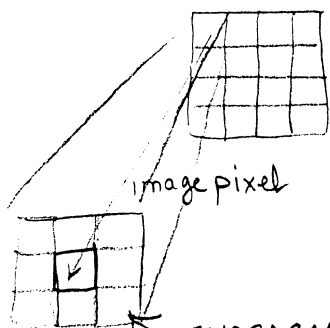
### Picture Trees

symbolic trees

Simply a tree showing the inclusion of one region within another

### Super Grid

put original image into an expanded image called the super grid.  
Non-image pixels contain the boundary information



$$4 \times 4$$

$$N = 4$$

$$(2N + 1) \times (2N + 1) \leftarrow \text{super grid is much bigger image}$$

$$9 \times 9$$

supergrid pixels indicate whether there is a boundary between the two pixels, and in what direction the boundary runs.

A variation of this is crack edges — edges located between pixels.

### 3.4 Split/merge.

thresholding usually doesn't work well & gives too many regions because of

- high-frequency noise
- gradual transition between gray values in different regions

#### split/merge

- eliminate false boundaries & spurious regions by merging adjacent regions which belong to the same object.

could also use a quadtree

#### Algorithm 3.5

1. Form initial regions in the image using thresholding (or similar approach) followed by component labeling.
2. Prepare a region adjacency graph (RAG) for the image.
3. For each region:
  - (a) Consider its adjacent region and test to see if they are similar
  - (b) for regions that are similar, merge them and modify the RAG
4. Repeat (3) until no regions are merged.

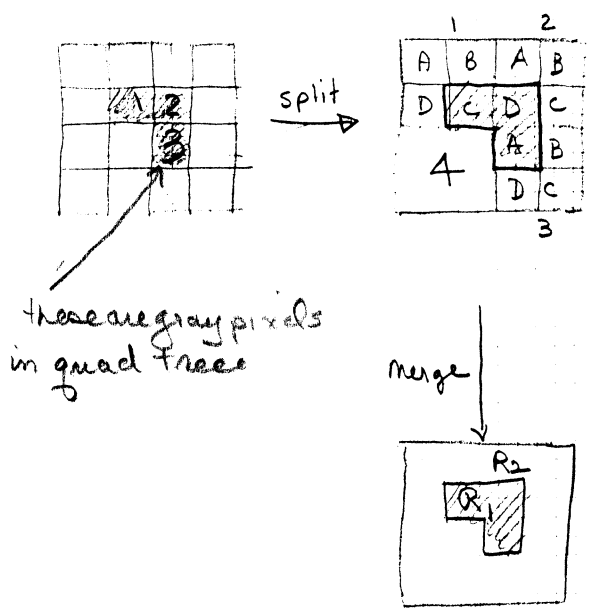
#### strategies

- + merge adjacent regions with similar characteristics (similarity measure)
- + remove questionable edges (low edge value)
- + use topological properties of the regions (get rid of holes)
- + use shape information about objects in the scene (clean up edges)
- + use semantic information about the scene (usually texture)

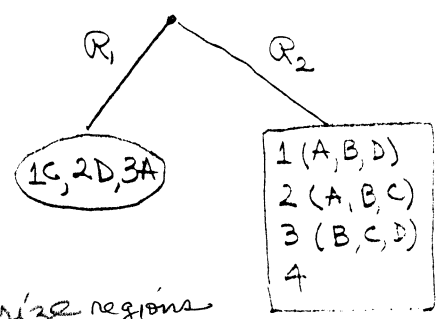
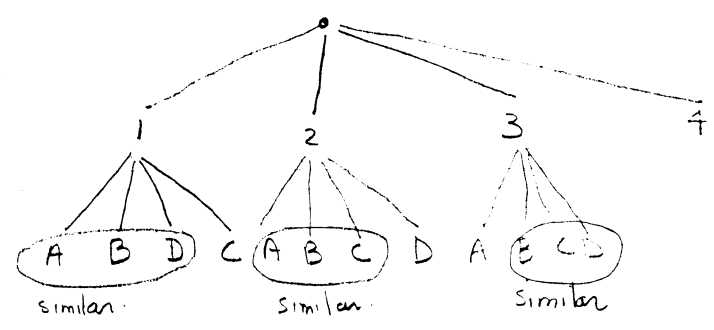
~~How to merge regions for region growing~~  
 Representation of object using quad trees

Do all splitting first. For example, a quad tree.

SKIP THIS



these are gray pixels in quad tree



You need to segment & parameterize regions

How to merge. (region growing) One algorithm

- Merge  $R_i$  and  $R_j$  if  $\frac{w}{P_m} > \theta_1$ 
  - large # of weak boundaries, pixels say the # below a threshold
  - ~~is one of the objects small, i.e. small perimeter.~~

where  $P_m = \min(P_i, P_j)$  where  $P_i, P_j$  are perimeters of  $R_i$  and  $R_j$   
 $w$   $\xrightarrow{\text{(these are between } P_i \text{ \& } P_j)}$   $w$  is # of weak boundary locations (i.e. magnitude change across boundary  $< \sigma$ , some threshold)  
 $\theta_1$  controls size of region to be merged.

usually  $\theta_1 = 0.5$

$\theta_1 \cong 1 \Rightarrow$  two regions will be merged only if one of the regions almost surrounds the other.

- merge  $R_i$  and  $R_j$  if  $\frac{w}{I} \geq \theta_2$ 
  - large # of weak boundary points
  - short common boundary

$I$  = length of common boundary between regions  
 merge regions if boundary is sufficiently weak.  
 typically  $\theta_2 = 0.75$

- merge  $R_i$  and  $R_j$  if there are no strong edges between them. (RLC connected component analysis is essentially this)
- merge  $R_i$  and  $R_j$  if their similarity distance is less than a threshold. we have not talked about similarity

### 3.4 Split and merge.

intensity <sup>segmentation</sup> alone gives too many regions

- high frequency noise
- gradual transition between gray values in different regions

#### 3.4.1 Region merging

Algorithm 3.5

1. Form initial regions in the image using thresholding (or something similar) followed by component labeling.
2. Prepare a region adjacency graph (RAG) for the image.
3. For each region in an image, perform the following steps:
  - (a) consider its adjacent regions, and test to see if they are similar
  - (b) for regions that are similar, merge them and modify the RAG.

Continue of previous algorithm

#### Region-Based Merging

means of pixel characteristics

1. if  $|\mu_1 - \mu_2| < T$  then merge  
can also do with surface fitting
2. merge based upon hypothesis testing (similar to Kullback Inf.)

hypothesis  $H_0$ : both <sup>set of pixels</sup> belong to same region  
 $H_1$ : regions belong to different objects

this one is based on gray levels  $g_i$

probability of gray levels within a region  $\rightarrow P(g_i) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(g_i - \mu)^2}{2\sigma^2}}$

normally distributed in region

under hypothesis  $H_0$

$$p(g_1, g_2, \dots, g_{m_1+m_2}) = \prod_{i=1}^{m_1+m_2} P(g_i | H_0)$$

# of points  $m_1+m_2$

probability that all these pixels in region  $H_0$  is product of individual pixels

$$= \prod_{i=1}^{m_1+m_2} \frac{1}{\sqrt{2\pi}\sigma_0} e^{-\frac{(g_i - \mu_0)^2}{2\sigma_0^2}}$$

all pixels have same dist.

This is for combined region basically its the product of probabilities

$$= \frac{1}{(\sqrt{2\pi}\sigma_0)^{m_1+m_2}} e^{-\frac{\sum_{i=1}^{m_1+m_2} (g_i - \mu_0)^2}{2\sigma_0^2}}$$

these just add

basically the result is a composite

$$= \frac{1}{(\sqrt{2\pi}\sigma_0)^{m_1+m_2}} e^{-\frac{(m_1+m_2)}{2} \sigma_0^2}$$

these just multiply

the  $\sigma_0^2$ 's cancel out

where we used definitions

$$\mu = \frac{1}{n} \sum_{i=1}^n g_i$$

$$\sigma^2 = \frac{1}{n} \sum_{i=1}^n (g_i - \mu)^2$$

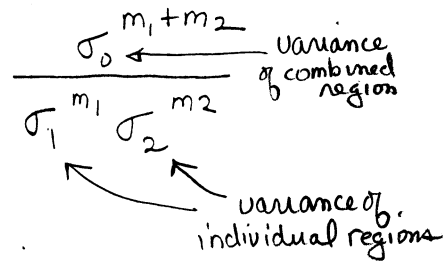
under hypothesis  $H_1$  (different regions)

$$P(g_1, g_2, \dots, g_{m_1+m_2} | H_1) = \frac{1}{(\sqrt{2\pi} \sigma_1)^{m_1}} e^{-\frac{m_1}{2}} \cdot \frac{1}{(\sqrt{2\pi} \sigma_2)^{m_2}} e^{-\frac{m_2}{2}}$$

← same cancellation →

Likelihood ratio which hypothesis best fits the data...

$$L = \frac{P(g_1, g_2, \dots | H_1)}{P(g_1, g_2, \dots | H_0)}$$



- estimate standard deviations for the two regions and the combined region.
- if  $L < \text{threshold}$  then combine regions

### Algorithm 3.6 Region Splitting

1. Form initial regions in the image
2. For each region in the image, recursively perform
  - (a) compute variance in gray scale for region
  - (b) if  $\sigma > T$ , split region along appropriate regions

This is quad-tree.

### Algorithm 3.7 Split and merge segmentation

1. Start with entire image as a single region
2. Pick a region  $R$ . If  $H(R)$  is false then split into 4 sub regions.
3. Consider any two or more neighboring subregions,  $R_1, R_2, \dots, R_n$  in the image. If  $H(R_1 \cup R_2 \cup \dots \cup R_n)$  is true then merge the  $n$  regions into a single region.
4. Repeat these steps until no further splits or merges take place.

homogeneity measure

problems

1. how to select initial seeds that represent regions of interest
2. what properties to use for region growing

one way in IR images - use brightest points (hottest targets) as seeds.  
 another way is to use histogram to pick most common values.

descriptors

- color
- texture
- intensity
- spatial properties such as moments.

} can all be used for seeds.

must also include connectivity & adjacency info to get meaningful results.

problem is how to stop. Use

all require a priori knowledge {

- size
- moving average of a descriptor as a similarity measure
- shape

sample algorithm

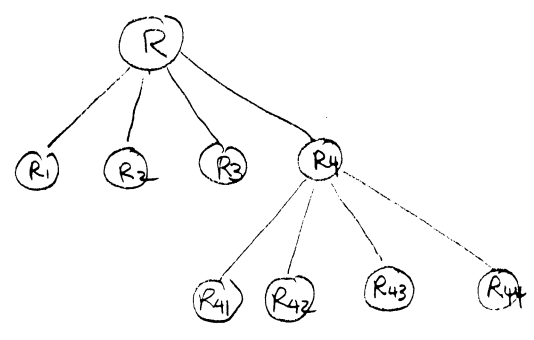
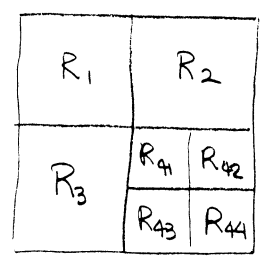
$$1. |f_i - f_j| < 10\% \left( \frac{\max - \min}{\text{entire image}} \right)$$

2. any pixel added must be 8-connected to at least one pixel previously included.

### 7.4.3 Region splitting & merging

- (1) split into four disjoint quadrants any region  $R_i$  where  $P(R_i) = \text{FALSE}$   
↑ some logical predicate
- (2) merge any adjacent regions for which  $P(R_j \cup R_k) = \text{TRUE}$
- (3) stop when no further merging or splitting is possible

quadtree



predicates do not need to be 100% to be true

e.g.  $P(R_i) = \text{TRUE}$  if  $\geq 80\%$  of pixels in  $R_i$  satisfy  $|z_i - m_i| \leq 2\sigma_i$

$m_i$   
mean of region

$\sigma_i$   
std dev of region

basically an intro to texture which we will not do.